

TRUSTEES OF THE CALIFORNIA STATE UNIVERSITY

California State University
Office of the Chancellor—Glenn S. Dumke Auditorium
401 Golden Shore
Long Beach, CA 90802

Agenda
March 21-22, 2017

Time*	Committee	Location ¹
<u>Tuesday, March 21, 2017</u>		
10:00 a.m.	Call to Order	
10:00 a.m.	Board of Trustees—Closed Session Executive Personnel Matters Government Code §11126(a)(1) Pending Litigation Government Code §11126(e)(1) Burns v. CSU Mackey v. CSU Hicks v. CSU Butts v. CSU Sargent v. CSU Benjamin v. CSU Williams v. CSU Young America's Foundation v. Covino, et al.	Munitz Conference Room
11:30 a.m.	Committee on Collective Bargaining—Closed Session Government Code §3596(d)	Munitz Conference Room
12:00 p.m.	Luncheon	

¹ All committees meet in the Dumke Auditorium unless otherwise noted.

*The Board of Trustees is a public body, and members of the public have a right to attend and participate in its meetings. This schedule of meetings is established as a best approximation of how long each scheduled meeting will take to complete its business. Each meeting will be taken in sequence, except in unusual circumstances. Depending on the length of the discussions, which are not possible to predict with precision in advance, the scheduled meeting times indicated may vary widely. For two-day meetings, items scheduled for one day may be heard either the day before or the day after depending upon the time spent on each matter. The public is advised to take this uncertainty into account in planning to attend any meeting listed on this schedule.

Tuesday, March 21, 2017 (cont.)

12:45 p.m. Committee on Collective Bargaining—Open Session

Discussion

1. Adoption of Initial Proposals for a Successor Collective Bargaining Agreement with Bargaining Unit 4, the Academic Professionals of California (APC), *Action*
2. Adoption of Initial Proposals for a Successor Collective Bargaining Agreement with Bargaining Unit 1, the Union of American Physicians and Dentists (UAPD), *Action*
3. Adoption of Initial Proposals for a Successor Collective Bargaining Agreement with Bargaining Unit 10, the International Union of Operating Engineers (IUOE), *Action*
4. Adoption of Initial Proposals for Salary and Benefits Negotiations for Fiscal Year 2017-2018 with Bargaining Unit 6, the State Employees Trades Council United (SETC), *Action*

1:45 p.m. Committee on Audit

Consent

1. Report on Compliance with National Collegiate Athletic Association Requirements for Financial Data Reporting, *Information*

Discussion

2. Status Report on Current and Follow-up Internal Audit Assignments, *Information*

2:00 p.m. Committee on Governmental Relations

Discussion

1. Legislative Update, *Information*

2:30 p.m. Committee on Institutional Advancement

Discussion

1. Naming of the Lawrence de Graaf Center for Oral and Public History – California State University, Fullerton, *Action*

3:00 p.m. Committee on Educational Policy

Consent

1. Academic Planning, *Action*

Discussion

2. Recommended Amendments to Title 5 Regarding Degree Requirements, Admission and Transfer, *Information*
3. Academic Preparation, *Information*

4:00 p.m. Board of Trustees—Closed Session

Executive Personnel Matters

Government Code §11126(a)(1)

Munitz Conference Room

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Wednesday, March 22, 2017

8:00 a.m. Committee on Finance

Consent

1. California State University Annual Debt Report, *Information*

Discussion

2. Report on Risk Management at the California State University, *Information*
3. Conceptual Approval of a Public-Private Partnership to Develop an Extended Learning and Student Services Project on Real Property Adjacent to California State University, San Marcos, *Action*
4. Tuition Increase Proposal for the 2017-2018 Academic Year, *Action*

10:00 a.m. Board of Trustees

Call to Order

Roll Call

Public Speakers

Chair's Report

Chancellor's Report

Report of the Academic Senate CSU: Chair—Christine Miller

Report of the California State Student Association: President—David Lopez

Report of the California State University Alumni Council: President—Dia S. Poole

Board of Trustees

Consent

Approval of the Minutes of the Board of Trustees Meeting of February 1, 2017

1. Appointment of Five Members to the Committee on Committees for 2017-2018, *Action*
2. General Counsel's Annual Litigation Report, *Information*
3. Approval of Committee Resolutions as follows:

Committee on Institutional Advancement

1. Naming of the Lawrence de Graaf Center for Oral and Public History – California State University, Fullerton, *Action*

Committee on Educational Policy

1. Academic Planning, *Action*

Committee on Finance

3. Conceptual Approval of a Public-Private Partnership to Develop an Extended Learning and Student Services Project on Real Property Adjacent to California State University, San Marcos, *Action*

Discussion

Committee on Finance

4. Tuition Increase Proposal for the 2017-2018 Academic Year, *Action*

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Addressing the Board of Trustees

Members of the public are welcome to address agenda items that come before standing and special meetings of the board, and the board meeting. Comments should pertain to the agenda or university-related matters and not to specific issues that are the subject of collective bargaining, individual grievances or appeals, or litigation. Written comments are also welcome and will be distributed to the members of the board. The purpose of public comments is to provide information to the board, and not to evoke an exchange with board members. Questions that board members may have resulting from public comments will be referred to appropriate staff for response.

Members of the public wishing to speak must provide written or electronic notice to the Trustee Secretariat by **two working days before the committee or board meeting** at which they desire to speak. The notice should state the subject of the intended presentation. An opportunity to speak before the board on items that are on a committee agenda will only be provided where an opportunity was not available at that committee, or where the item was substantively changed by the committee.

In fairness to all speakers who wish to speak, and to allow the committees and Board to hear from as many speakers as possible, while at the same time conducting the public business of their meetings within the time available, the committee or board chair will determine and announce reasonable restrictions upon the time for each speaker, and may ask multiple speakers on the same topic to limit their presentations. In most instances, speakers will be limited to no more than three minutes. The totality of time allotted for public comment at the board meeting will be 30 minutes, and speakers will be scheduled for appropriate time in accord with the numbers that sign up. Speakers are requested to make the best use of the public comment opportunity and to follow the rules established.

Note: Anyone wishing to address the Board of Trustees, who needs any special accommodation, should contact the Trustee Secretariat at least 48 hours in advance of the meeting so appropriate arrangements can be made.

Trustee Secretariat
Office of the Chancellor
401 Golden Shore, Suite 136
Long Beach, CA 90802
Phone: 562-951-4020
Fax: 562-951-4949
E-mail: trusteesecretariat@calstate.edu

*The Board of Trustees is a public body, and members of the public have a right to attend and participate in its meetings. This schedule of meetings is established as a best approximation of how long each scheduled meeting will take to complete its business. Each meeting will be taken in sequence, except in unusual circumstances. Depending on the length of the discussions, which are not possible to predict with precision in advance, the scheduled meeting times indicated may vary widely. For two-day meetings, items scheduled for one day may be heard either the day before or the day after depending upon the time spent on each matter. The public is advised to take this uncertainty into account in planning to attend any meeting listed on this schedule.

AGENDA

COMMITTEE ON COLLECTIVE BARGAINING

Meeting: 11:30 a.m., Tuesday, March 21, 2017
Munitz Conference Room—Closed Session
Government Code §3596(d)

12:45 p.m., Tuesday, March 21, 2017
Glenn S. Dumke Auditorium —Open Session

Adam Day, Chair
Peter J. Taylor, Vice Chair
Debra S. Farar
Lillian Kimbell
Hugo N. Morales
John Nilon
J. Lawrence Norton

Open Session– Glenn S. Dumke Auditorium

Consent Item

Approval of Minutes of the Meeting of January 31, 2017

Discussion Items

1. Adoption of Initial Proposals for a Successor Collective Bargaining Agreement with Bargaining Unit 4, the Academic Professionals of California (APC), *Action*
2. Adoption of Initial Proposals for a Successor Collective Bargaining Agreement with Bargaining Unit 1, the Union of American Physicians and Dentists (UAPD), *Action*
3. Adoption of Initial Proposals for a Successor Collective Bargaining Agreement with Bargaining Unit 10, the International Union of Operating Engineers (IUOE), *Action*
4. Adoption of Initial Proposals for Salary and Benefits Negotiations for Fiscal Year 2017-2018 with Bargaining Unit 6, the State Employees Trades Council United (SETC), *Action*

**MINUTES OF MEETING OF
COMMITTEE ON COLLECTIVE BARGAINING**

**Trustees of The California State University
Office of the Chancellor
Glenn S. Dumke Conference Center
401 Golden Shore
Long Beach, California**

January 31, 2017

Members Present

Adam Day, Chair
Peter J. Taylor, Vice Chair
Debra S. Farar
Hugo N. Morales
John Nilon
J. Lawrence Norton
Timothy P. White, Chancellor
Rebecca Eisen, Chair of the Board

Chair Day called the Committee on Collective Bargaining to order.

Approval of Minutes

The minutes of the November 16, 2016 meeting were approved as submitted.

Presentation of Action Item

Interim Vice Chancellor Andrew Jones presented the action item.

Public Speakers

The committee heard from eight public speakers who spoke on various topics.

Action Item

The committee then unanimously approved the following action item:

1. Adoption of Initial Proposals for a Successor Collective Bargaining Agreement with Bargaining Units 2, 5, 7, and 9, the California State University Employees Union (CSUEU), SEIU Local 2579

Chair Day then adjourned the committee meeting.

COMMITTEE ON COLLECTIVE BARGAINING

Adoption of Initial Proposals for a Successor Collective Bargaining Agreement with Bargaining Unit 4, the Academic Professionals of California (APC)

Presentation By

Andrew Jones
Interim Vice Chancellor
Human Resources

Summary

The adoption of initial proposals for a successor collective bargaining agreement between the California State University and Bargaining Unit 4, the Academic Professionals of California (APC), will be presented to the Board of Trustees. The proposals are attached to this item.

Recommended Action

The following resolution is recommended for adoption:

RESOLVED, by the Board of Trustees of the California State University, that the initial proposals for a successor collective bargaining agreement between the California State University and Bargaining Unit 4, the Academic Professionals of California (APC), is hereby adopted.



**The California State University's
Initial Collective Bargaining
Proposals**

Between

**The Board of Trustees
Of
The California State University
And
Bargaining Unit 4
Academic Professionals of California**

March 23, 2017

Bargaining Unit 4
2017 Successor Agreement Negotiations
California State University Bargaining Proposals

ARTICLE 2 – Definitions

- Review existing contractual definitions against campus operational needs.
- Update definitions to reflect any substantive changes elsewhere in the Agreement.

ARTICLE 5- Reconsideration Procedure

- The CSU will make proposals to amend reconsideration procedure to increase efficiency and effectiveness.

ARTICLE 8- Union Rights

- Review and amend as appropriate current procedures in relation to the provision of information to the union; contractual provisions relating to union leave; and the provision of resources for union business.

ARTICLE 10 – Grievance Procedure

- The CSU will make proposals to amend grievance procedure to increase efficiency and effectiveness.

ARTICLE 11- Personnel File

- The CSU will make proposals in relation to the content of, and access to, employee personnel files.

ARTICLE 13 – Appointment

- Review and amend as appropriate current contractual provisions in relation to appointment and classification review.

ARTICLE 14 – Probation and Permanency

- Review and amend as appropriate current contractual provisions in relation to probation and permanency.

ARTICLE 16- Professional Development

- Review and amend as appropriate current contractual provisions in relation to employee professional development.

ARTICLE 17 –Assignment/Reassignment

- Review and amend as appropriate current contractual provisions in relation to assignments and reassignments to promote efficiency and meet campus operational needs.

ARTICLE 18- Evaluations

- Review and amend as appropriate current contractual provisions relating to employee evaluations.

ARTICLE 20 –Leaves of Absence with Pay

- Review and amend as appropriate current contractual provisions in relation to use and reporting of employee leaves with pay for clarity and conformance with law.

ARTICLE 21- Non-Discrimination

- Review and amend as appropriate current contractual provisions in relation to non-discrimination to be consistent with CSU Executive Order processes and prevailing law.

ARTICLE 22 –Leaves of Absence without Pay

- Review and amend as appropriate current contractual provisions in relation to use and reporting of employee leaves without pay for clarity and conformance with law.

ARTICLE 23- Salary

- The CSU will make proposals to amend the salary Article, including but not limited to employee salary rates and in-range progressions procedures.

Attachment A

CB – Agenda Item 1

March 21-22, 2017

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ARTICLE 24- Benefits

- The CSU will make proposals in relation to employee benefits.

ARTICLE 28- Hours of Work

- Review and amend as appropriate current contractual provisions in relation to establishing and assigning work schedules for exempt and non-exempt employees consistent with prevailing law and campus operational needs.
- Review and amend as appropriate current contractual provisions in relation to use of overtime and Compensatory Time Off consistent with prevailing law and campus operational needs.

ARTICLE 32 – Work Environment

- The CSU will make proposals in relation to employee work environment, including but not limited to increases in parking rates.

ARTICLE 33- Layoff

- Review and amend as appropriate current contractual provisions in relation to layoff.

ARTICLE 34 – Duration

- The CSU will make proposals on the duration of any successor Agreement.

Side Letters of Agreement

- Review and amend as appropriate current side letters.

The University reserves the right to add to, modify, or delete proposals for any/all Articles during the course of negotiations, in accordance with applicable laws.



Lee O. Norris, Labor Relations Manager 8726-D South Sepulveda Blvd., #C172, Los Angeles, CA 90045 (310) 745-8608 Fax (310) 439-1382 lnoorris@apc1002.org

February 19, 2017

Via Email Only: jswarbrick@calstate.edu

Mr. John A. Swarbrick
The California State University
401 Golden Shore, 4th Floor
Long Beach, California 90802-4210

Re: APC's Sunshine Proposals

Dear Mr. Swarbrick:

In this letter you will find APC's "sunshine proposals" as required by HEERA, but on a more fundamental level you will find the concerns of our bargaining unit members: concerns that we believe need to be addressed during negotiations. These concerns were identified through consultation with the Union's Statewide Council, Executive Board and most importantly the membership.

Please understand the proposals included here are an overview of the Union's position on each Article it wishes to open, but this list should not be viewed as demonstrating either their order of priority or the entire list of Articles APC may choose to open during bargaining. Instead, these proposals should be seen as the beginning of a process in which the Parties work collaboratively to improve the working conditions of all of the employees APC represents.

APC hereby proposes modifications to the current collective bargaining agreement in the following areas:

- Article 12, Corrective and Disciplinary Action –
 - Address the conduct of Skelly meetings.
- Article 13, Appointment –
 - Ensure proper bargaining unit representation on hiring committees;
 - Provide a meaningful mechanism to address classification issues.

Attachment B

CB – Agenda Item 1

March 21-22, 2017

Page 2 of 3

- Article 14, Probation and Permanency –
 - Clarify when a recruitment must occur.
- Article 16, Professional Development –
 - Seek equity for employees pursuing doctoral degrees.
- Article 17, Assignment/Reassignment –
 - Clarify employee rights for an intra-campus transfer.
- Article 23, Salary –
 - Provide for general salary increases in each year of the contract;
 - Clarify the duration of the various bonus programs;
 - Ensure Merit Bonus monies continue to be placed in the Budget Shortfall Mitigation Bonus;
 - Clarify the eligibility date for the Budget Shortfall Mitigation Bonus;
 - Expand the criteria for which employees may be granted an In-Range Progression (IRP) and ensure a minimum percentage increase for those receiving an IRP.
- Article 24, Benefits –
 - Provide parity with other employees to unit 4 employees who use non-industrial leave.
- Article 26, Vacation –
 - Increase vacation accrual rates.
- Article 32, Work Environment –
 - Require a timely response to suggestions for workplace improvements;
 - Ensure parking rate increases are implemented in a fair and consistent manner.
- Article 34, Duration –
 - This proposal is designed to complement the Union's salary proposal.

I look forward to receiving the University's proposals and to beginning what I hope will be a collegial and productive experience.

Very truly yours,

A handwritten signature in black ink, appearing to read 'Lee O. Norris', with a long horizontal stroke extending to the right.

Lee O. Norris,
Labor Relations Manager

cc: APC Executive Board
APC Bargaining Team

COMMITTEE ON COLLECTIVE BARGAINING

Adoption of Initial Proposals for a Successor Collective Bargaining Agreement with Bargaining Unit 1, the Union of American Physicians and Dentists (UAPD)

Presentation By

Andrew Jones
Interim Vice Chancellor
Human Resources

Summary

The adoption of initial proposals for a successor collective bargaining agreement between the California State University and Bargaining Unit 1, the Union of American Physicians and Dentists (UAPD), will be presented to the Board of Trustees. The proposals are attached to this item.

Recommended Action

The following resolution is recommended for adoption:

RESOLVED, by the Board of Trustees of the California State University, that the initial proposals for a successor collective bargaining agreement between the California State University and Bargaining Unit 1, the Union of American Physicians and Dentists (UAPD), is hereby adopted.



**The California State University's
Initial Collective Bargaining
Proposals**

Between

**The Board of Trustees
Of
The California State University**

And

**Bargaining Unit 1
California Federation of the Union of
American Physicians and Dentists**

March 21, 2017

Bargaining Unit 1
2017 Successor Contract Negotiations
California State University Bargaining Proposals

ARTICLE 1 – Recognition

- Review existing language and amend to reflect newly created and approved classifications.

ARTICLE 2 – Definition

- Review existing contractual definitions against campus operational needs.
- Update definitions to reflect any substantive changes elsewhere in the Agreement.

ARTICLE 6 – Union Rights

- Review current procedures and amend as appropriate in relation to the provision of information to the union; contractual provisions relating to union leave; and the provision of resources for union business.

ARTICLE 8 – Grievance Procedures

- The University will make proposals to amend grievance procedure to increase efficiency and effectiveness.

ARTICLE 11 - Employee Status

- The University will make proposals to incorporate newly proposed classification standards.
- The University will make proposals to facilitate a classification review of employees to determine placement within newly proposed classification standards.
- The University will make proposals to preserve flexibility to make bargaining unit appointments that are consistent with the operational needs of the appointing campus.

ARTICLE 14 – Sick Leave

- Review current contractual provisions and amend as appropriate in relation to use and reporting of accrued sick leave.

ARTICLE 15 – Leaves of Absence with Pay

- Review current contractual provisions and amend as appropriate to ensure compliance with Family and Medical Leave Act, California Family Rights Act, and California Family Paid Leave Act and in relation to use and reporting of employee leaves with pay.

ARTICLE 16 – Leaves of Absence without Pay

- Review current contractual provisions and amend as appropriate to ensure compliance with Family and Medical Leave Act, California Family Rights Act, and California Family Paid Leave Act and in relation to use and reporting of employee leaves without pay.

ARTICLE 19 – Salary

- The CSU will make proposals to amend the salary Article, including but not limited to employee salary rates and in-range progressions procedures.

ARTICLE 20 – Benefits

- Review and amend as appropriate current contractual provisions in relation to employee benefits consistent with system and campus operational needs, and prevailing law.

Attachment A

CB – Agenda Item 2

March 21-22, 2017

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ARTICLE 22 – Vacation

- Review current contractual provisions and amend as appropriate in relation to use and reporting of accrued employee vacation.

ARTICLE 25 – Layoff

- Review current contractual provisions in relation to layoff and amend as appropriate to ensure that the existing processes and procedures contained in this Article are operationally efficient and provide the necessary procedural and definitional clarity.

ARTICLE 26 – General Provisions

- Review current contract provisions in relation to non-discrimination and amend as required to be consistent with federal and state law as well as CSU Executive Order and policy.

ARTICLE 28 - Duration and Implementation

- The University will make proposals regarding the duration of any successor Agreement.

The University reserves the right to add to, modify or delete proposals for any/all Articles during the course of negotiations, in accordance with applicable laws.



UNION OF AMERICAN PHYSICIANS AND DENTISTS
AFFILIATED WITH AFSCME, AFL-CIO

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EXECUTIVE DIRECTOR

March 3, 2017

Leslie Freeman
Manager Labor Relations
California State University
401 Golden Shore, 4th Floor
Long Beach, CA 90802

Re: Demand to Bargain and Proposals for Negotiations

Leslie,

Per Article 28 of the CBA, UAPD is requesting to resume bargaining in April of 2017. UAPD and CSU are currently engaged in a JLM regarding three (3) new classifications and a salary survey that will impact the starting point of negotiations around salary.

UAPD cannot accurately request monetary proposals that are tied to salary until the information from CSU is presented to UAPD. That will happen on March 9, 2017 and UAPD will file its monetary proposals on March 10, 2017.

UAPD reserves the right to submit additional non-monetary proposals that may be necessary due to the new classifications that may be implemented during negotiations. Any such proposals shall be submitted prior to any planned negotiation session.

Attached separately are the sunshine proposals and the information requests and proposals that can be submitted without the data from the JLM salary survey.

UAPD looks forward to the upcoming negotiations and is hopeful to reach agreement prior to the expiration of the current CBA on June 1, 2017.

Best,

Jake Baxter
Lead Negotiator
UAPD Los Angeles

CC: Rene Castro – CSU Assistant Vice Chancellor HR & ER
John Murillo – UAPD Regional Administrator
Zegory Williams – UAPD Executive Director

Attachment B

CB – Agenda Item 2

March 21-22, 2017

Page 2 of 2

March 3, 2017

Sunshine Proposals
Leslie Freeman
Labor Relations
CSU BU 1

Re: Sunshine Proposals

Dear Leslie,

UAPD wishes to sunshine the following articles for upcoming negotiations in the spring of 2017:

Article 1: Recognition
Article 2: Definitions
Article 6: Union Rights
Article 8: Grievance Procedure
Article 11: Employee Status
Article 12: Assignment/Reassignment
Article 14: Sick Leave
Article 15: Leaves of Absence with Pay
Article 16: Leaves of Absence without Pay
Article 18: Hours of Work
Article 19: Salary
Article 20: Benefits
Article 21: Holidays
Article 22: Vacation
Article 23: Professional Development
Article 24: Health and Safety
Article 25: Layoff
Article 26: General Provisions
Article 28: Duration and Implementation

UAPD will also be introducing new proposals regarding Equity Pay based on Gender Discrimination & Compensation for employees where a Holiday falls on their regular day off.

Lastly, UAPD will be requesting a side letter in which there will be transparency around the new classifications and the pay codes and abbreviated titles. 1, 2, 6, and 8 or A, B, C, and D all refer to time base and not a different salary range and that should be reflected in a side letter to minimize confusion of bargaining unit members.

COMMITTEE ON COLLECTIVE BARGAINING

Adoption of Initial Proposals for a Successor Collective Bargaining Agreement with Bargaining Unit 10, the International Union of Operating Engineers (IUOE)

Presentation By

Andrew Jones
Interim Vice Chancellor
Human Resources

Summary

The adoption of initial proposals for a successor collective bargaining agreement between the California State University and Bargaining Unit 10, the International Union of Operating Engineers (IUOE), will be presented to the Board of Trustees. The proposals are attached to this item.

Recommended Action

The following resolution is recommended for adoption:

RESOLVED, by the Board of Trustees of the California State University, that the initial proposals for a successor collective bargaining agreement between the California State University and Bargaining Unit 10, the International Union of Operating Engineers (IUOE), is hereby adopted.



The California State University's
Initial Collective Bargaining
Proposals
Between
The Board of Trustees
Of
The California State University
And
Bargaining Unit 10
International Union of Operating Engineers
March 21, 2017

Bargaining Unit 10
2017 Successor Agreement Negotiations
California State University Bargaining Proposals

ARTICLE 2 – Definitions

- Review existing contractual definitions against campus operational needs.
- Update definitions to reflect any substantive changes elsewhere in the Agreement.

ARTICLE 8- Non-Discrimination

- Review and amend as appropriate current contractual provisions in relation to non-discrimination so as to be consistent with CSU Executive Order processes and prevailing law.

ARTICLE 9 – Grievance Procedure

- The CSU will make proposals to amend grievance procedure to increase efficiency and effectiveness.

Article 22 –Assignment/Reassignment

- Review and amend as appropriate current contractual provisions in relation to assignment and reassignment.

ARTICLE 24- Salary

- The CSU will make proposals to amend the salary Article, including but not limited to employee salary rates and in-range progressions procedures.

ARTICLE 25- Benefits

- Review and amend as appropriate current contractual provisions in relation to employee benefits consistent with system and campus operational needs, and prevailing law.

ARTICLE 31 – Duration and Implementation

- The CSU will make proposals on the duration of any successor Agreement.

The University reserves the right to add to, modify, or delete proposals for any/all Articles during the course of negotiations, in accordance with applicable laws.



Stationary Engineers, Local 39

INTERNATIONAL UNION OF OPERATING ENGINEERS AFL-CIO

JERRY KALMAR

February 27, 2017

*Sent Via U.S. Certified Mail
7016 0750 0000 2190 0097
And via facsimile (562) 951-4890
And email: nshah@calstate.edu*

Ms. Neha R. Shah
Manager of Labor Relations
California State University
401 Golden Shore, 4th Floor
Long Beach, CA 90802-4210

Dear Neha,

This letter is to notify you of the Union's desire to re-open the below articles in the bargaining unit agreement between the Maritime Academy and Stationary Engineers, Local 39.

In order to satisfy the Sunshine requirements for fiscal years 2016/2017 we wish to re-open:

The Union wishes to make modifications to these sections in the agreement:

- **Article 6, Contracting Out**
- **Article 11, Hours of Work**
- **Article 12, Overtime**
- **Article 14, Vacation**
- **Article 22, Assignment/Reassignment**
- **Article 24, Salary** – The Union is proposing fair and equitable wage increases for all bargaining employees.
- **Article 25, Benefits** – The Union is proposing improvements in health and welfare plans with elimination or reduction in co-pays for all bargaining unit employees.
- **Article 27, Apprenticeship Program**
- **Article 28, Training**
- **Article 29, Health & Safety**

We reserve the right to add to, modify, or delete proposals during the course of negotiations, in accordance with applicable laws.

Please contact me so we can calendar dates for meetings at (916) 928-0399.

Sincerely,

Stan Eichenberger
Business Representative

SA:tt:IUOE#39/afl-cio

COMMITTEE ON COLLECTIVE BARGAINING

Adoption of Initial Proposals for Salary and Benefits Negotiations for Fiscal Year 2017-2018 with Bargaining Unit 6, the State Employees Trades Council United (SETC)

Presentation By

Andrew Jones
Interim Vice Chancellor
Human Resources

Summary

The adoption of initial proposals for salary and benefits negotiations for fiscal year 2017-2018 between the California State University and Bargaining Unit 6, the State Employees Trades Council United (SETC), will be presented to the Board of Trustees. The proposals are attached to this item.

Recommended Action

The following resolution is recommended for adoption:

RESOLVED, by the Board of Trustees of the California State University, that the initial proposals for salary and benefits negotiations for fiscal year 2017-2018 between the California State University and Bargaining Unit 6, the State Employees Trades Council United (SETC), is hereby adopted.



The California State University's

Initial Collective Bargaining

Proposals

Between

**The Board of Trustees
Of**

The California State University

And

Bargaining Unit 6

State Employees Trades Council United

March 21, 2017

Bargaining Unit 6

2017 Salary and Benefit Re-Opener Negotiations

California State University Bargaining Proposals

ARTICLE 24- Salary

- The CSU will make proposals to amend the salary Article, including but not limited to employee salary rates and in-range progressions procedures.

ARTICLE 25- Benefits

- Review and amend as appropriate current contractual provisions in relation to employee benefits consistent with system and campus operational needs, and prevailing law.

The University reserves the right to add to, modify, or delete proposals for any/all Articles during the course of negotiations, in accordance with applicable laws.



**STATE EMPLOYEES
TRADES COUNCIL UNITED**
We've been on your side since 1976



February 24, 2017

John Swarbrick
Associate Vice Chancellor
Labor Relations & Chief Negotiator
401 Golden Shore
Long Beach, CA 90802

Sent via regular mail [return receipt], hand delivery, and email: jswarbrick@calstate.edu

Re: SETC-UNITED request for a reopener for salary and/or benefits per 24.4d of the in-force MOU

Dear Mr. Swarbrick;

SETC-UNITED is formally requesting a salary and/or benefit reopener per the relevant section of the current, in-force MOU, quoted:

"GENERAL SALARY INCREASE

24.4 d. The CSU or SETC-United may request salary and/or benefits reopener bargaining in either fiscal year 2017/2018 and/or 2018/2019 by providing written notice between January 1 and February 28 of the fiscal year for which bargaining is being requested."

We will contact you in March to establish meeting dates convenient for both of us.

We'll look forward to meeting and conferring with you, and anticipate significant positive adjustments in salary and/or benefits.

Very truly yours,

Hector Fernandez
Business Manager, SETC-UNITED
Chief Negotiator

C: SETC-UNITED, EXECUTIVE BOARD
Fred G. Quiel, Attorney for SETC-UNITED

AGENDA

COMMITTEE ON AUDIT

Meeting: **1:45 p.m., Tuesday, March 21, 2017**
 Glenn S. Dumke Auditorium

Douglas Faigin, Chair
John Nilon, Vice Chair
Lillian Kimbell
Hugo N. Morales
Lateefah Simon

Consent Items

Approval of Minutes of the Meeting of January 31, 2017

1. Report on Compliance with National Collegiate Athletic Association Requirements for Financial Data Reporting, *Information*

Discussion Item

2. Status Report on Current and Follow-up Internal Audit Assignments, *Information*

MINUTES OF THE MEETING OF COMMITTEE ON AUDIT

**Trustees of the California State University
Office of the Chancellor
Glenn S. Dumke Conference Center
401 Golden Shore
Long Beach, California**

January 31, 2017

Members Present

Douglas Faigin, Chair
John Nilon, Vice Chair
Hugo N. Morales
Rebecca Eisen, Chair of the Board
Timothy P. White, Chancellor

Trustee Douglas Faigin called the meeting to order.

Approval of Minutes

The minutes of November 15, 2016, were approved as submitted.

Status Report on Current and Follow-up Internal Audit Assignments

With the concurrence of the committee, Trustee Faigin presented agenda item 1 as a consent information item.

Calendar Year 2017 Plan for Audits, Advisory Services, and Investigations

Vice Chancellor and Chief Audit Officer Larry Mandel, provided information regarding the calendar year plan for audits, advisory services, and investigations. He indicated that the audit plan for calendar year 2017 begins phase-in of the new organization structure and that resources will initially be directed towards the completion of risk assessments and the gathering of campus specific information for topics to be reviewed. Mr. Mandel noted that due to the re-tooled approach to the assessment of risk by campus, the total number of campus audits in 2017 will be reduced.

Mr. Mandel provided an overview of the audit plan allocation, with audits representing the largest portion of the plan at 71 percent and consisting of campus audits, information technology audits, sponsored programs audits, and construction audits. Mr. Mandel explained that the next largest portion of the plan representing 12 percent is advisory services. He reminded the trustees that advisory services was introduced as a new function in 2013 and continues to be well received within the system. He explained that its goal is to essentially prevent risk, rather than detecting problems after the fact. Mr. Mandel noted that the final components of the plan are investigations representing 7 percent, staff time for committees and special projects representing 1 percent, and audit support representing 9 percent.

Chair Faigin called for a motion to approve the committee resolution (RAUD 02-17-01). A motion was made and the resolution was unanimously approved.

Report of the Systemwide Financial Statements Including the Report to Management

Assistant Vice Chancellor and Controller Mary Ek presented the Report of the Systemwide Financial Statements Including the Report to Management for the period ending June 30, 2016 and provided an overview of revenues and expenses from fiscal year 2015-2016. There were no audit findings in the systemwide financial statements, however, there were six auxiliary organizations that had audit findings in fiscal year 2015-2016. The Chancellor's Office is following up with each auxiliary organization's board of directors to ensure appropriate corrective actions are taken.

Ms. Ek reported that net position has improved year over year in large part because of the pension liability and how it is amortized over time. She explained that page 68 of the report provides the CSU's portion of the State of California Miscellaneous Plan. Ms. Ek commented that the CSU is allocated a 22 percent factor of the net pension liability. Trustee Adam Day asked if it is viewed as a positive to see the plan fiduciary net position as a percentage decreasing year over year, to which Ms. Ek responded, no. Trustee Day inquired about the CSU's unrestricted reserves. Ms. Ek stated that unrestricted designated reserve is at \$1.3 billion.

Trustee Day asked for clarification and summarization of data on page ten of the report as it relates to pension obligations. Ms. Ek and Mr. Mark Thomas of KPMG explained that CalPERS provides the CSU numbers that are based on two-year old data and noted that the variables that change the liability from year-to-year are amortized over a five-year period for a smoothing effect—the referenced section of the report on page ten identifies the variables.

Trustee Day inquired about page one of Mr. Thomas' letter to the board—which reflects that KPMG did not audit the financial statements of 88 of the 90 aggregate component units—asking if it is the 88 units that account for 95 percent of all the other revenues, or the two. Mr. Thomas explained that one of the two entities KPMG audits is the California State University Risk Management Pool and the other big chunk of assets and revenues relates to the foundations of each of the campuses, which are primarily audited by other auditors. Trustee Day referenced page 50 of the report and asked which leases are at a 36 percent interest rate. Ms. Ek responded that the staff would get back to Trustee Day with specific information.

Trustee Peter Taylor asked for confirmation that “clean” and “unmodified” are the same thing, to which Mr. Thomas confirmed, yes. Trustee Taylor referenced page 41 of the report and asked why the \$756 million in U.S Treasury securities is not rated. Mr. Thomas stated that under new standards, they are not required to be categorized. Trustee Taylor referenced page 58 of the report and noted the significant 33 percent increase in contributions to CalPERS over two fiscal years and commented that he sees it as a major threat to the university's financial viability. Ms. Ek noted that CalPERS' discount rate is going down by 50 basis points over three years. Trustee Taylor followed up by asking what that will do to the CSU's unfunded liability. Ms. Ek responded that for each 100 basis points it will go up almost \$3 billion.

Chair Faigin asked if the board should be worried about the CSU's pension liability. Ms. Ek commented that it is a long-term horizon. Mr. Thomas noted that the rules requiring pension liability be included on financial statements will also apply to post-retirement health care benefits next year.

Trustee Silas Abrego asked how much of CSU revenue is lottery funds and Ms. Ek stated it is approximately \$45 million with roughly 10 percent reserved. Ms. Ek deferred to Assistant Vice Chancellor for Budget Ryan Storm to respond as to whether there is a compounding lottery number and Mr. Storm stated that he would get back to Trustee Abrego.

Single Audit Reports of Federal Funds

Ms. Ek presented an overview of the report of the Single Audit Reports of Federal Funds. She reported that there were two audit findings related to the administration of financial aid programs and one additional finding related to non-financial aid federal awards. Ms. Ek explained that corrective actions for all of these items are in progress and that a status update will be provided at a future board meeting.

Mr. Thomas provided a report on the status of the CSU systemwide audit and reiterated that the single audit also received a clean, unmodified opinion, and the items reported were in the category of administrative-type items. Mr. Thomas discussed the conduct of the audit and explained there are no significant items to report.

Trustee Taylor commented on the \$25,000 materiality threshold for the single audit report and asked what the materiality threshold is for the other audit. Mr. Thomas noted that it is about \$24 million overall. Trustee Taylor asked Ms. Ek about page 18 of the report, seeking clarification as to whether the significant deficiencies in internal controls over major programs relates to the findings or something else. Mr. Thomas stated that yes, they relate to the findings. Trustee Taylor asked if Mr. Thomas received complete and total access to the information necessary to reach his opinion and Mr. Thomas responded that he had complete and total access and cooperation from management.

Trustee Faigin adjourned the Committee on Audit.

COMMITTEE ON AUDIT

Report on Compliance with National Collegiate Athletic Association Requirements for Financial Data Reporting

Presentation By

Mary Ek
Assistant Vice Chancellor/Controller
Financial Services

Summary

Colleges and universities with intercollegiate athletic programs in the National Collegiate Athletic Association (NCAA) are required to submit financial data detailing operating revenues and expenses related to their intercollegiate athletics programs to the NCAA by January 15 following the end of the fiscal year. The financial data is subject to agreed-upon procedures performed by a qualified independent accountant and must be submitted to the president or chancellor prior to submission to the NCAA.

California State University campuses required to report include nine campuses in NCAA Division I and eleven in Division II. One campus is in a transition period to become a Division II member and thus not required to report. Two campuses do not have athletic programs in the NCAA. Division I schools are required to issue the agreed-upon procedures and submit financial data to the NCAA annually. Division II schools are required to issue the agreed-upon procedures report every three years, but must submit financial data to the NCAA annually.

Eight of nine Division I campuses met NCAA requirements by issuing the agreed-upon procedures report for fiscal year ended June 30, 2016 in a timely manner. One Division I campus did not comply with the NCAA requirement to issue the agreed-upon procedures report by January 15, 2017. Campus management is in the process of working with its audit firm to issue the report. All nine Division I campuses submitted the required financial data to the NCAA.

Seven Division II campuses were required to submit their agreed-upon procedures report for fiscal year ended June 30, 2016. Six of the seven campuses submitted in a timely manner. One Division II campus did not comply with the NCAA requirement to issue the agreed-upon procedures by January 15, 2017. Campus management is in the process of working with its audit firm to issue the report.

All eleven campuses in Division II submitted the financial data to the NCAA in a timely manner.

COMMITTEE ON AUDIT

Status Report on Current and Follow-up Internal Audit Assignments

Presentation By

Larry Mandel
Vice Chancellor and Chief Audit Officer
Office of Audit and Advisory Services

Summary

This item includes both a status report on the 2017 audit plan and follow-up on past assignments. For the 2017 year, assignments were made to develop and execute individual campus audit plans, as well as to conduct audits of Information Technology (IT), Sponsored Programs and Construction; and to provide advisory services and investigation reviews. Follow-up on current/past assignments (Auxiliary Organizations, Information Security, Student Activities, Academic Departments, Delegations of Authority, Cloud Computing, Emergency Management, International Activities, and IT Disaster Recovery) was also being conducted on approximately 35 prior campus/auxiliary reviews. Attachment A summarizes the audit assignments in tabular form.

Status Report on Current and Follow-up Internal Audit Assignments

AUDITS

Campus Audits

The new organization structure provides for individual campus audit plans that are better aligned with campus and auxiliary organization risks. Risk assessments and initial audit plans have been completed for all campuses. Fieldwork is being conducted at seven campuses.

Information Technology Audits

The initial audit plan indicated that reviews of Information Security, Logical Access and Security, IT Disaster Recovery and General Computer Controls would be performed at those campuses where a greater degree of risk was perceived for each topic. Scheduled reviews may also include campus-specific concerns or follow-up on prior campus issues. Fieldwork is being conducted at two campuses, and report writing is being completed for one campus.

Sponsored Programs

The initial audit plan indicated that reviews of post award activities based on recent changes in federal regulations, as well as a systemwide review of campus policies, procedures, and practices relating to indirect cost recovery would be performed. Scheduled reviews may also include campus-specific concerns or follow-up on prior campus issues relating to sponsored programs activities. Fieldwork is being conducted at one campus.

Construction

The initial audit plan indicated that reviews of recently completed construction projects, including activities performed by the campus, general contractor, and selected subcontractors would be performed. Areas to be reviewed include approval of project design, budget and funding; administration of the bid and award process; the closeout process; and overall project accounting and reporting. Fieldwork is being conducted for one project, and report writing is being completed for one project.

ADVISORY SERVICES

The Office of Audit and Advisory Services partners with management to identify solutions for business issues, offer opportunities to improve the efficiency and effectiveness of operating areas, and assist with special requests, while ensuring the consideration of related internal control issues. Advisory services are more consultative in nature than traditional audits and are performed in response to requests from campus management. The goal is to enhance awareness of risk, control and compliance issues and to provide a proactive independent review and appraisal of specifically identified concerns. Reviews are ongoing.

INVESTIGATIONS

The Office of Audit and Advisory Services is periodically called upon to provide investigative reviews, which are often the result of alleged misappropriations or conflicts of interest. In addition, whistleblower investigations are being performed on an ongoing basis, both by referral from the state auditor and directly from the CSU Chancellor's Office.

COMMITTEES/SPECIAL PROJECTS

The Office of Audit and Advisory Services is periodically called upon to provide consultation to the campuses and/or to participate on committees such as those related to information systems implementation and policy development, and to perform special projects.

AUDIT SUPPORT

Annual Risk Assessment

The Office of Audit and Advisory Services annually conducts a risk assessment to determine the areas of highest risk to the system, as well as campus-specific risks.

Administration

Day-to-day administration of the Office of Audit and Advisory Services includes such tasks as scheduling, personnel administration, maintenance of department standards and protocols, administration of the department's automated workpaper system and SharePoint website, and department quality assurance and improvement.

2017 AUDITS		FOLLOW-UP ON CURRENT/PAST AUDITS					
Campus	Information Technology (IT)	Sponsored Programs	Auxiliary Organizations			Information Security	
			●No.	*Recs	**Mo.	*Recs **Mo.	
BAK	Emergency Management (FW)		4	35/35	-	10/10	-
CHI	Student Activities (FW)		3	32/32	-	21/21	-
CI			3	32/32	-	3/17	4
DH			3	36/36	-		
EB			3	31/31	-	7/9	6
FRE		Post Award (RW)	6	36/36	-	11/11	-
FUL	International Activities (FW)		4	30/30	-		
HUM			4	8/14	6	4/4	-
LB	Counseling & Psychological Svcs. (FW)		4	18/18	-	6/6	-
LA			4			13/13	-
MA		Information Security (FW)	2	13/13	-	7/7	-
MB			2	23/23	-		
NOR			5	19/19	-	6/8	6
POM		IT Disaster Recovery (FW)	2	20/20	-		
SAC			5	41/41	-		
SB	Cashiering (FW)		4	23/23	-	10/10	-
SD	Facilities Management (FW)		4	12/15	7	6/7	10
SF			3	17/17	-	9/9	-
SJ			5	26/26	-	17/17	-
SLO	Hazardous Materials Management (FW)		3	11/11	-		
SM			4	17/17	-		
SON			3	4/4	-		
STA			4	0/14	3	21/21	-
CO			2	1/1	-		
SYS							

FW = Field Work In Progress
RW = Report Writing in Progress
AI = Audit Incomplete (awaiting formal exit conference and/or campus response)
AC = Audit Complete

* The number of recommendations satisfactorily addressed followed by the number of recommendations in the original report.
** The number of months recommendations have been outstanding from date of report.
● The number of auxiliary organizations reviewed.
Numbers/letters in green are updates since the agenda mailout.

- * The number of recommendations satisfactorily addressed followed by the number of recommendations in the original report.
- ** The number of months recommendations have been outstanding from date of report.
- The number of auxiliary organizations reviewed.

**Status Report on Current and Past
 Audit Assignments**
 (as of 3/15/2017)

FOLLOW-UP ON CURRENT/PAST AUDITS														
	Student Activities		Academic Dept. Fiscal Review		Delegations of Authority		Cloud Computing		Emergency Management		International Activities		Info. Technology Disaster Recovery	
	*Recs	**Mo.	*Recs	**Mo.	*Recs	**Mo.	*Recs	**Mo.	*Recs	**Mo.	*Recs	**Mo.	*Recs	**Mo.
BAK	4/4	-											0/8	3
CHI							4/4	-			0/0	-		
CI	2/2	-			6/6	-								
DH			11/11	-					3/4	6				
EB														
FRE			7/7	-									2/7	3
FUL	5/5	-					4/4	-	1/8	1				
HUM									0/4	1				
LB			4/4	-										
LA														
MA					6/6	-								
MB											0/5	4		
NOR											1/4	3		
POM	3/3	-									0/6	1		
SAC	1/1	-			1/3	5								
SB											0/4	3		
SD											0/2	4		
SF					4/4	-	5/5	-						
SJ									1/6	3				
SLO									3/3	-				
SM	2/2	-	0/6	1			1/3	4						
SON			5/5	-	1/1	-								
STA			6/6	-										
CO					4/4	-								
SYS					0/4	2								

* The number of recommendations satisfactorily addressed followed by the number of recommendations in the original report.

** The number of months recommendations have been outstanding from date of report.

• The number of auxiliary organizations reviewed.

Numbers/letters in green are updates since the agenda mailout.

(as of 3/15/2017)

*FW = Field Work in Progress; RW = Report Writing in Progress; AI = Audit Incomplete (awaiting formal exit conference and/or response); AC = Audit Complete

***The number of months that recommendations have been outstanding from date of report.

AGENDA

COMMITTEE ON GOVERNMENTAL RELATIONS

Meeting: **2:00 p.m., Tuesday, March 21, 2017**
Glenn S. Dumke Auditorium

J. Lawrence Norton, Chair
Maggie K. White, Vice Chair
Silas H. Abrego
Lillian Kimbell
Thelma Meléndez de Santa Ana
Lateefah Simon
Steven G. Stepanek

Consent Item

Approval of Minutes of the Meeting of January 31, 2017

Discussion Item

1. Legislative Update, *Information*

**MINUTES OF THE MEETING OF
COMMITTEE ON GOVERNMENTAL RELATIONS**

**Trustees of the California State University
Office of the Chancellor
Glenn S. Dumke Conference Center
401 Golden Shore
Long Beach, California**

January 31, 2017

Members Present

J. Lawrence Norton, Chair
Maggie K. White, Vice Chair
Silas H. Abrego
Rebecca D. Eisen, Chair of the Board
Steven G. Stepanek
Timothy P. White, Chancellor

Trustee Norton called the meeting to order.

Approval of Minutes

The minutes of November 15, 2016, were approved as submitted.

Statement of State Legislative Principles for 2017-2018

The committee approved the proposed resolution (RGR 02-17-01) on consent adopting the Statement of Legislative Principles for 2017-2018.

Sponsored State Legislative Program for 2017

Mr. Garrett Ashley, vice chancellor for university relations and advancement, and Ms. Nichole Muñoz-Murillo, director of state relations, presented this item.

Mr. Ashley reported that there are 20 new members of the Assembly and 9 new members in the Senate. The Democrats captured two-thirds of seats in both chambers, giving them significant control over policy and budgetary items.

Ms. Muñoz-Murillo presented the two proposals for consideration for 2017:

CSU Doctor of Nursing Practice Authority: This proposal gives CSU permanent authority to offer the doctor of nursing practice degree. The pilot program is set to expire on July 1, 2018.

CSU Omnibus Proposal: This proposal would bundle together two separate administrative items related to international bank accounts and CSU regulatory authority.

Per California Government Code, state funds may be deposited in a bank, provided they are backed with collateral equal to 110 percent of the amount deposited. A state entity does not need to collateralize their deposit if the bank offers FDIC insurance or an equivalent. The impetus for this proposal is the fact that the CSU is unable to deposit operational funds in local accounts in certain foreign countries in which there is no FDIC insurance or an equivalent. The relatively minor amount of money in these accounts will generally cover operational activities such as stipends for local coordinator positions, transportation costs, material fees and museum tickets.

Extensive efforts have been undertaken to find bank accounts that could offer FDIC insurance; however, no accounts could be identified that would satisfy statutory requirements. The CSU was unable to find a solution within the existing government code and was advised by the State Treasurer's Office that the best course of action would be to change the code, paralleling the authority provided to the University of California. This proposal would extend the government code language that exempts the UC from these requirements to include the CSU.

Since 1996, the CSU has statutory authority to adopt, amend or repeal its own regulations instead of being required to follow the Administrative Procedures Act. This authority has been extended four times with the most recent extension set to expire on January 1, 2018. This proposal would permanently extend this authority to the CSU.

The committee recommended approval by the board of the proposed resolution (RGR 02-17-02) adopting the Sponsored State Legislative Program for 2017.

Federal Agenda for 2017

Mr. Ashley and Mr. Jim Gelb, assistant vice chancellor for federal relations, presented this item.

Mr. Ashley reported that the CSU has been a leading proponent of restoring the year-round Pell program, a central theme of the CSU's Washington, D.C. Hill Day in April.

Mr. Gelb presented an update on the current political climate and recommendations for the 2017 Federal Agenda:

- Improve college access and completion through aid to students
- Prepare students for college
- Foster degree completion for California's diverse population
- Educate students for tomorrow's workforce
- Solve societal problems through applied research
- Enhance campus infrastructure, health and safety

One key area for the CSU this year is the Deferred Action for Childhood Arrivals (DACA) program. The CSU is active in advocating for continuing the program, and specific language supporting DREAM Act students was added to the section in the federal agenda on fostering degree completion for California's diverse population.

The committee recommended approval by the board of the proposed resolution (RGR 02-17-03) adopting the Federal Agenda for 2017.

Trustee Norton adjourned the meeting.

COMMITTEE ON GOVERNMENTAL RELATIONS

Legislative Update

Presentation By

Garrett P. Ashley
Vice Chancellor
University Relations and Advancement

Kathleen Chavira
Assistant Vice Chancellor
Advocacy and State Relations

Summary

The Legislative bill introduction deadline was February 17. In total, 2,516 measures were introduced and the Advocacy and State Relations staff has identified nearly 600 for further review or monitoring. The ASR staff is working diligently with colleagues in the Chancellor's Office to determine the potential impact of these bills on the CSU and its students, faculty and staff. This presentation will review Board of Trustees sponsored legislation and highlight bills of interest to the CSU community.

Board of Trustees Sponsored Legislation

AB 422 (Arambula) – CSU Authority: Doctor of Nursing Practice Degrees

This bill authorizes the CSU to permanently offer the Doctor of Nursing Practice degree.

Status: This bill is scheduled to be heard on March 14 in the Assembly Higher Education Committee.

AB 819 (Medina) – CSU Regulatory Authority

This bill permanently grants the CSU system the authority to draft its own regulations. Since 1996, the CSU has been granted statutory authority to adopt, amend or repeal its own regulations instead of being required to follow the Administrative Procedures Act, which governs the regulatory process for state agencies (Education Code § 89030.1). The CSU's authority has been extended four times with the most recent extension set to expire on January 1, 2018.

Status: This bill has been referred to the Assembly Higher Education Committee.

Senate Banking and Finance Omnibus Bill: CSU Foreign Bank Accounts

This bill provides a modification to the California Government Code that allows the CSU to deposit operational funds for study abroad programs in local accounts in certain foreign countries in which there is no FDIC insurance or an equivalent.

Status: The Committee plans to introduce the bill by the end of March. As a committee bill, it is not subject to the same legislative deadlines as other measures.

Legislative Trend: Master Plan Exceptions

SB 577 (Dodd) – Community College Districts: Teacher Credentialing Programs of Professional Preparation

This bill expands professional preparation for teacher credentialing programs to include professional preparation programs offered at campuses of California Community Colleges.

CSU Position: Watch

Status: This bill has been referred to the Senate Education Committee.

SB 769 (Hill) – CCC Baccalaureate Degree Pilot Program

This bill removes the sunset for the California Community College Baccalaureate Degree Pilot Program; expands the number of pilot projects from 15 to 30; and modifies the duplication restriction to allow participating community colleges to offer bachelor's degrees similar to the CSU and UC if those programs are located over 100 miles away.

CSU Position: Watch

Status: This bill is awaiting referral to a policy committee.

AB 80 (Arambula) – CSU Authority: Doctoral Program: Agricultural Education

This bill authorizes Fresno State to award an education doctorate in agricultural education.

CSU Position: Neutral

Status: This bill is scheduled to be heard on March 14 in the Assembly Higher Education Committee.

AB 207 (Arambula) – CSU Authority: Doctor of Medicine Degrees

This bill authorizes Fresno State to award doctor of medicine degrees.

CSU Position: Neutral

Status: This bill has been referred to the Assembly Higher Education Committee.

AB 209 (Mathis) – CSU Authority: Agricultural Education: Professional Doctorate Degrees

This bill authorizes the CSU to offer professional doctorate degrees in agricultural education.

CSU Position: Neutral

Status: The author has decided to make this bill a two-year effort.

AB 405 (Irwin) – CCC Baccalaureate Degree Cybersecurity Pilot Program

This bill authorizes the California Community Colleges to establish a baccalaureate degree cybersecurity pilot program at no more than 10 campuses. The bill includes a requirement that these colleges consult with the CSU and UC.

CSU Position: Watch

Status: This bill has been referred to the Assembly Higher Education Committee.

Legislative Trend: Affordability/Financial Aid

SB 68 (Lara) – Exemption from Non-resident Tuition

This bill enables two years at a California Community College to count towards AB 540 eligibility. The bill also allows the completion of an associate's degree or satisfaction of the minimum requirements to transfer to the UC or CSU to qualify for in-state tuition or financial aid.

CSU Position: Watch

Status: This bill is scheduled to be heard on March 22 in the Senate Education Committee.

AB 17 (Holden) – Transit Pass Program: Free or Reduced-Fare Transit Passes

This bill requires the Controller to allocate money to the Department of Transportation to provide free or reduced transit passes to specified students.

CSU Position: Support

Status: This bill has been referred to the Assembly Transportation Committee.

AB 393 (Quirk-Silva) – CSU Tuition

This bill, sponsored by the California Faculty Association, freezes tuition and fees at the CSU and CCC until the completion of the 2019-2020 academic year. The bill requests the same of the UC.

CSU Position: Oppose

Status: This bill is scheduled to be heard on March 14 in the Assembly Higher Education Committee.

AB 766 (Friedman) – Foster Youth

This bill allows foster youth or former foster youth to use existing dollars (i.e., Aid to Families with Dependent Children-Foster Care AFDC-FC Program) to be used for a minor dependent living in a university dorm or other university designated housing.

CSU Position: Support

Status: This bill is scheduled to be heard on March 21 in the Assembly Human Services Committee.

AB 990 (Rodriguez) – CSU, UC Estimates of Off-campus Housing Costs

This bill requires each CSU and UC campus to post on its website the current cost of a one-bedroom apartment in the surrounding campus area.

CSU Position: Watch

Status: This bill has been referred to the Assembly Higher Education Committee.

AB 1178 (Calderon) – Postsecondary Education: Student Loans

This bill requires each higher education institution to annually send a letter to students who take out loans regarding specified information on debt.

CSU Position: Watch

Status: This bill is awaiting referral to a policy committee.

Other Bills of Interest

SB 1 (Beall) – Transportation Funding

This bill addresses road infrastructure and funding throughout the state. It includes a provision to direct \$2 million annually from the Road Maintenance and Rehabilitation Account to the CSU for transportation research and transportation-related workforce education, training and development.

CSU Position: Support

Status: This bill is scheduled to be heard on March 8 in the Senate Governance and Finance Committee.

SB 244 (Lara): Privacy: Agencies: Personal Information

This bill restricts the manner in which any state entity, including the CSU, can utilize and keep personal information from an applicant for public services or programs.

CSU Position: Watch

Status: This bill has been referred to the Senate Judiciary Committee.

SB 318 (Portantino) – CSU: Personal Service Contracts

This bill mandates that the CSU must follow the State Civil Service Act for the purposes of contracting out. The CSU has historically been exempted from the Civil Service Act and addresses the issue of contracting out through collective bargaining.

CSU Position: Oppose

Status: This bill has been referred to the Senate Education Committee.

SB 319 (Nguyen) – Public Postsecondary Education: Remedial Coursework

This bill requires California Community Colleges and the CSU to provide entrance counseling and assessment or other suitable means to fully inform an incoming student of any remedial coursework they will be required to register for and complete, prior to registration, and the reasons for the requirement.

CSU Position: Watch

Status: This bill is scheduled to be heard on March 22 in the Senate Education Committee.

SB 331 (Jackson) – Domestic Violence Counselor-Victim Privileges

This bill expands the list of employees who enjoy privilege to include a domestic violence counselor who works for a public or private institution of higher education.

CSU Position: Watch

Status: This bill is scheduled to be heard on March 21 in the Senate Public Safety Committee.

SB 483 (Glazer) – Education Finance: Higher Education Facilities Bond Act of 2018

This bill enacts the Higher Education Facilities Bond Act of 2018, which, upon approval by voters, would authorize the issue of \$2 billion for CSU and UC education facilities.

CSU Position: Watch

Status: This bill has been referred to the Senate Education Committee and the Senate Governance and Finance Committee.

SB 803 (Glazer) – The California Promise

This bill prohibits systemwide tuition fees being increased on a student who participates in a California Promise program.

CSU Position: Watch

Status: This bill is awaiting referral to a policy committee.

AB 1 (Frazier) – Transportation Funding

This bill addresses road infrastructure and funding throughout the state. It includes a provision to direct \$2 million annually from the Road Maintenance and Rehabilitation Account to the CSU for transportation research and transportation-related workforce education, training and development.

CSU Position: Support

Status: This bill has been referred to the Assembly Transportation Committee and the Assembly Natural Resources Committee.

AB 10 (Garcia) – Feminine Hygiene Product Availability

This bill requires K-12 and higher education segments to supply feminine hygiene products to all female students in school bathrooms.

CSU Position: Watch

Status: This bill is scheduled to be heard on March 15 in the Assembly Education Committee.

AB 21 (Kalra) – Public Postsecondary Education: Access to Education for Every Student

This bill, sponsored by the California Faculty Association, requires the CSU and requests the UC to provide healthcare stipends for all students who are not eligible for Medicaid and unable to afford health insurance provided by the campus, provide housing or a stipend to students between academic terms who face a significant risk of being unable to return to the campus, and provide access to legal services without cost to students who may be impacted by federal agencies. If Deferred Action for Childhood Arrivals (DACA) is reversed, this bill specifies that these students continue to receive financial aid, funding for research and that office space and designated staff be available to assist former DACA students. The bill requires the CSU and requests the UC to refrain from releasing the immigration status of students. The bill prohibits ICE officers from entering campus unless they provide written documentation 10 days prior to the proposed entry to campus.

CSU Position: Watch

Status: This bill is scheduled to be heard on March 28 in the Assembly Higher Education Committee.

AB 52 (Cooper) – Public Employees: Orientation

This bill requires public employers to allow unions to provide a presentation during an employee's orientation.

CSU Position: Oppose

Status: This bill has been referred to the Assembly Public Employees, Retirement and Social Security Committee.

AB 394 (Medina) – CSU: Assessment and Course Placement of Admitted Students

This bill requires the Trustees, as a condition of receipt of state funding for the Graduation Initiative 2025, to approve, by August 1, 2018, a pilot program where a minimum of 10 campuses use multiple measures for the assessment and course placement of admitted students.

CSU Position: Watch

Status: This bill has been referred to the Assembly Higher Education Committee.

AB 848 (McCarty) – Public Contracts: University of California: California State University: Domestic Workers

This bill restricts the CSU and UC from entering into contracts with contractors who use workers outside of the United States.

CSU Position: Watch

Status: This bill has been referred to the Assembly Higher Education Committee and the Assembly Accountability and Administrative Review Committee.

AB 856 (Levine) – Public Postsecondary Education: Hiring Policy: Geographic and Socioeconomic Diversity

This bill requires the CSU to interview at least one candidate from a geographic area or socioeconomic sector that is currently underrepresented at the segment or campus for a high-profile administrative position when such a position is available.

CSU Position: Watch

Status: This bill has been referred to the Assembly Higher Education Committee.

AB 1231 (Weber) – CSU: Support Staff Employees: Merit Salary Adjustments

This bill mandates that a support staff employee of the CSU shall receive a merit salary intermediate step adjustment of an unspecified amount each year that they receive a satisfactory performance evaluation.

CSU Position: Oppose

Status: This bill is awaiting referral to a policy committee.

AB 1435 (Gonzalez Fletcher) – Student Athletes: The Athlete Protection Act

This bill creates the Athletic Protection Commission, a nine-member body appointed by the Assembly, Senate and the Governor with the goal of protecting student athletes. The commission would regulate athletic programs at all institutions of higher education in California using fees collected from the athletic conferences in which the institutions belong. The commission would have the ability to enact regulations and penalties that could include civil liability, temporary or permanent employment in higher education, or other penalties imposed by the commission.

CSU Position: Watch

Status: This bill is awaiting referral to a policy committee.

AB 1464 (Weber) – CSU: Tenure Track Positions

This bill requires the CSU to increase the number of tenured faculty to 75 percent by mandating the system hire between 700 to 915 tenure tracked faculty positions each year over the next eight years, without displacing any lecturers in the process.

CSU Position: Oppose

Status: This bill is awaiting referral to a policy committee.

AB 1622 (Low) – Student Support Services: Dream Resource Liaisons

This bill requires the CCC and CSU and requests the UC to designate a Dream Resource Liaison on each of their respective campuses.

CSU Position: Watch

Status: This bill is awaiting referral to a policy committee.

AGENDA

COMMITTEE ON INSTITUTIONAL ADVANCEMENT

Meeting: **2:30 p.m., Tuesday, March 21, 2017**
 Glenn S. Dumke Auditorium

Silas H. Abrego, Chair
Lateefah Simon, Vice Chair
Douglas Faigin
John Nilon
Maggie K. White

Consent Item

Approval of Minutes of the Meeting of January 31, 2017

Discussion Item

1. Naming of the Lawrence de Graaf Center for Oral and Public History – California State University, Fullerton, *Action*

**MINUTES OF THE MEETING OF
COMMITTEE ON INSTITUTIONAL ADVANCEMENT**

**Office of the Chancellor
Glenn S. Dumke Auditorium
401 Golden Shore
Long Beach, California**

January 31, 2017

Members Present

Silas H. Abrego, Chair
Rebecca D. Eisen, Chair of the Board
Douglas Faigin
John Nilon
Maggie K. White
Timothy P. White, Chancellor

Trustee Abrego called the meeting to order.

Approval of Minutes

The minutes of November 15, 2016, were approved as submitted.

Naming of the Patricia A. Chin School of Nursing and the Chin Family Institute for Nursing – California State University, Los Angeles

Mr. Garrett Ashley, vice chancellor for university relations and advancement, reported that the proposed naming recognizes the \$7 million gift from Dr. Patricia A. Chin and Dr. William Chin. This gift will enhance nursing education in academic institutions, clinical practice and the community, as well as provide advocacy for patients and clients focusing on diverse and underserved populations. The gift will also support enhancements to the simulation lab, including upgrades to state-of-the-art equipment and technology.

Cal State LA President William A. Covino and Chancellor Timothy P. White thanked the Chins for their dedication and generosity toward the university.

The committee recommended approval by the board of the proposed resolution (RIA 02-17-01) that the School of Nursing in the Rongxiang Xu College of Health and Human Services at California State University, Los Angeles be named the Patricia A. Chin School of Nursing and the Chin Family Institute for Nursing be established.

Naming of the Clorinda Donato Center for Global Romance Languages and Translation Studies – California State University, Long Beach

Mr. Ashley reported that the proposed naming recognizes the \$1.1 million gift by Mario Giannini to Cal State Long Beach's College of Liberal Arts. The gift will be used to create an endowment to provide ongoing funding in support of the Clorinda Donato Center for Global Romance Languages and Translations Studies.

The committee recommended approval by the board of the proposed resolution (RIA 02-17-02) that the new academic center at California State University, Long Beach be named the Clorinda Donato Center for Global Romance Languages and Translation Studies.

Annual Report on Philanthropic Support for 2015-2016

Mr. Ashley and Ms. Lori Redfearn, assistant vice chancellor for advancement services, presented this item.

Last year, gifts commitments were a record \$489 million. Mr. Ashley thanked the presidents and their teams on this collective success.

Ms. Redfearn reported that 242,000 individuals made gifts, which is an increase of 11,000 donors over the prior year and the most in CSU history.

The CSU received gifts from over 88,000 alumni—an additional 5,500 alumni donors over last year. The number of parent donors increased by 2,000, which is a reflection of increased efforts to engage parents by the campuses.

Support from individual and organizational donors resulted in a record \$489 million in gift commitments. This is an additional \$86.7 million over last year, representing a 22 percent increase.

The CSU received \$311 million in gift receipts, which was \$3 million less than last year; however, this can be attributed to a change this past year in how the CSU values deferred gifts.

Trustee Abrego noted that only 3 percent of contributions are unrestricted; therefore, it is critical that the entire CSU community continue to advocate in Sacramento for increased investment.

The committee recommended approval by the board of the proposed resolution (RIA 02-17-03) that the Annual Report on Philanthropic Support for 2015-2016 be adopted for submission to the California Joint Legislative Budget Committee and the California Department of Finance.

Trustee Abrego adjourned the meeting.

COMMITTEE ON INSTITUTIONAL ADVANCEMENT

Naming of the Lawrence de Graaf Center for Oral and Public History – California State University, Fullerton

Presentation By

Garrett P. Ashley
Vice Chancellor
University Relations and Advancement

Mildred García
President
California State University, Fullerton

Summary

This item will consider naming the Center for Oral and Public History at California State University, Fullerton as the Lawrence de Graaf Center for Oral and Public History.

This proposal, submitted by California State University, Fullerton, meets the criteria and other conditions specified in the Board of Trustees Policy on Naming California State University Academic Entities, including approval by the system review panel and the campus academic senate.

Background

The proposed naming recognizes the distinguished service and leadership of Lawrence B. de Graaf and his \$1 million gift commitment to support the Center for Oral and Public History.

Dr. de Graaf is a founding faculty member of Cal State Fullerton and professor emeritus of history within the College of Humanities and Social Sciences. De Graaf helped establish the university's history department and curriculum, and created the Oral History Program (which later became the Center for Oral and Public History). He also set up the first campus archive, served as the university's first archivist, and authored a 360-page book, "The Fullerton Way: Fifty Years at California State University, Fullerton," which chronicles the university's 50-year history. Over the years, de Graaf became a specialist in Orange County history and the role of African Americans in the modern and urban West.

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Dr. de Graaf has always had a passion for history and for supporting students at Cal State Fullerton. His commitment to students is reflected by funding the Lawrence B. de Graaf Outstanding Graduate Student Award and his support for many years to the Center for Oral and Public History. Dr. de Graaf's distinguished career and exemplary commitment to California State University, Fullerton will stand as a powerful legacy for generations to come.

Recommended Action

The following resolution is recommended for approval:

RESOLVED, by the Board of Trustees of the California State University, that the Center for Oral and Public History at California State University, Fullerton be named as the Lawrence de Graaf Center for Oral and Public History.

AGENDA

COMMITTEE ON EDUCATIONAL POLICY

Meeting: **3:00 p.m., Tuesday, March 21, 2017**
 Glenn S. Dumke Auditorium

Lillian Kimbell, Chair
Jane W. Carney, Vice Chair
Silas H. Abrego
Douglas Faigin
Debra S. Farar
Jean P. Firstenberg
Thelma Meléndez de Santa Ana
Steven G. Stepanek
Maggie K. White

Consent Items

Approval of Minutes of the Meeting of January 31, 2017

1. Academic Planning, *Action*

Discussion Items

2. Recommended Amendments to Title 5 Regarding Degree Requirements, Admission and Transfer, *Information*
3. Academic Preparation, *Information*

**MINUTES OF MEETING OF
COMMITTEE ON EDUCATIONAL POLICY**

**Trustees of The California State University
Office of the Chancellor
Glenn S. Dumke Conference Center
401 Golden Shore
Long Beach, California**

January 31, 2017

Members Present

Debra S. Farar, Chair Pro Tem
Silas H. Abrego
Rebecca D. Eisen, Chair of the Board
Douglas Faigin
Steven G. Stepanek
Maggie K. White
Timothy P. White, Chancellor

Trustee Farar called the meeting to order.

Approval of Minutes

The minutes of November 15, 2016 were approved as submitted.

Recommended Amendments to Title 5 Regarding Admission of Veterans

Dr. Loren Blanchard, executive vice chancellor for academic and student affairs, presented the action item, noting that the proposed Title 5 changes would utilize a more comprehensive and inclusive definition of “eligible veteran” and would update language to further clarify and consolidate rules for admission of our student veterans and military. These changes provide a clear exception category for military and veteran students who demonstrate academic promise but are otherwise not eligible for admission under traditional academic pathways.

There were no questions posed during the public comment period or by trustees. The committee recommended approval of the proposed resolution.

Recommended Amendment to Title 5 Regarding Nonresident Determination Appeals

Dr. Loren Blanchard, executive vice chancellor for academic and student affairs, introduced the information item by providing an overview of the CSU process to determine a student’s residency for tuition purposes. Ray Murillo, director of student programs, presented the proposed change to Title 5. The change would establish criteria for submitting an appeal, following the campus residency determination. To clarify the process for students, an appeal could only be submitted if it were based on a factual or procedural error, an incorrect application of law or new information

becoming available after the campus determination was made. The Title 5 change would also shorten the appeal deadline from 120 days to 30 days to prevent lingering uncertainty for students and the campus, and it would specify that appeals be directed to the Office of the Chancellor, rather than specifically to the Office of General Counsel.

Following the presentation trustees questioned the rationale behind the proposal to shorten the deadline from 120 days to 30 days, citing concerns that students could be disadvantaged by the change. Executive Vice Chancellor Blanchard noted that this new timeline would be consistent with the California Community Colleges and University of California. The item will be presented at the March 2017 meeting for board action on the recommended change to Title 5.

The Wang Family Excellence Award

Chancellor Timothy P. White began the award ceremony by thanking Trustee Emeritus Stanley T. Wang for his family's history of giving to the CSU and announced that Trustee Emeritus Wang had generously contributed an additional \$2.5 million, allowing the Wang Family Excellence Award to continue in perpetuity. Board of Trustees Chair Rebecca Eisen also provided brief remarks thanking Trustee Emeritus Wang and the award selection committee, as well as commending all nominees considered for the award.

Chancellor White read a brief biography and introduced each 2017 Wang Family Excellence Award recipient. They included:

- Dr. Debra Y. Griffith, the associate vice president of Transition and Retention Services for the Educational Opportunity Program (EOP) at San José State University. Dr. Griffith led the effort to rebuild San José State's EOP and improve academic support for first-generation and economically disadvantaged students. She also helped launch the first Spartan Scholars Program, a residential program for students who need additional support in math or English, and helped create the first-ever Parent and Family Program. Dr. Griffith was honored with the Outstanding Administrator award.
- Dr. Mariappan Jawaharlal, a professor of mechanical engineering at California State Polytechnic University, Pomona. Dr. Jawaharlal was the founding advisor at Cal Poly Pomona for Engineers Without Borders. He also founded the school's K-12 outreach program, Robotics Education through Active Learning, and co-founded the Femineer Program, which inspires and empowers female K-12 students to pursue STEM disciplines. His research aims to create a more sustainable world. Dr. Jawaharlal was honored with the Outstanding Faculty award in the category of Natural Sciences, Mathematical and Computer Sciences and Engineering.

- Dr. Anita Silvers, a professor of philosophy at San Francisco State University. Dr. Silvers has conducted groundbreaking studies on disability and justice, informed by her own experiences as a person with a disability stemming from childhood polio. She was awarded the Quinn Prize for Contributions to Philosophy, the Lebowitz Prize for Excellence in Philosophical Thought and she received a presidential appointment to the National Council for the Humanities. Dr. Silvers played an integral role in the CSU's commitment to, and compliance with, the Rehabilitation Act. She was honored with the Outstanding Faculty award in the category of Visual and Performing Arts and Letters.
- Dr. Keith A. Trujillo, a professor in the Department of Psychology at California State University San Marcos. Dr. Trujillo serves as director of the Office for Training, Research and Education in the Sciences, where he oversees programs aimed at helping underserved students succeed in the sciences and as professional researchers. He also serves as co-director of the Summer Program in Neuroscience, Ethics and Survival, a program that strives to increase diversity in neuroscience. Dr. Trujillo was honored with the Outstanding Faculty award in the category of Social and Behavioral Sciences and Public Service.
- Dr. Ruth H. Yopp-Edwards, a professor in the Department of Elementary and Bilingual Education at California State University, Fullerton. Dr. Yopp-Edwards was selected by the California Commission on Teacher Credentialing and the California Department of Education to serve on a statewide panel to help revise the standards that guide teacher preparation and induction programs. She works both inside and outside of the classroom assisting prospective and current teachers, teaching courses in literacy development and integrated curriculum and instruction and conducting workshops for preschool-through-12th grade teachers. Dr. Yopp-Edwards was honored with the Outstanding Faculty award in the category of Education, and Professional and Applied Sciences.

Trustee Farar adjourned the Committee on Educational Policy.

COMMITTEE ON EDUCATIONAL POLICY

Academic Planning

Presentation By

Loren J. Blanchard
Executive Vice Chancellor
Academic and Student Affairs

Christine Mallon
Assistant Vice Chancellor
Academic Programs and Faculty Development

Summary

In accordance with California State University (CSU) Board of Trustees policy established in 1963, this item summarizes the CSU academic planning process, including the long-range program planning activity that took place over the past year. The proposed resolution approves additions and modifications to campus academic plans and the CSU Academic Master Plan.

Background

Five areas of academic planning activity are reported in this item, and a proposed resolution concerning changes to the CSU Academic Master Plan is presented. The academic planning topics include:

1. Changes to program projections:
 - New projections proposed for addition to ten-year campus academic plans and to the CSU Academic Master Plan (**Attachment A**)
 - Projections that will be removed from the CSU Academic Master Plan and campus academic plans
2. Changes to existing degree programs:
 - Degree programs suspending new admissions
 - Discontinuance of existing degree programs
3. Total units required for Bachelor of Arts and Bachelor of Science degree programs
4. Summaries of Western Association of Schools and Colleges (WASC) accreditation visits (**Attachment B**)
5. Accredited academic programs and departments (**Attachment C**)
6. CSU Degree Proposal, Review and Approval Process (**Attachment D**)

Report

1. Changes to Program Projections

New projections proposed for addition to ten-year campus academic plans and to the CSU Academic Master Plan

The Academic Programs and Faculty Development Department at the Chancellor's Office maintains the CSU Academic Master Plan, a comprehensive list of projected programs, existing degree programs and program-review schedules for authorized degree programs. The CSU Academic Master Plan, which guides program, faculty and facility development, will be updated to reflect the resolution adopted by the board at the March 21-22, 2017 meeting. Subsequently the CSU Academic Master Plan and each campus academic plan will be posted online as resources for university planning.

In addition to the CSU Academic Master Plan, the Chancellor's Office maintains the CSU Degrees Database, an online inventory of all authorized degree programs and associated concentrations (focused areas of study within the degree program). The CSU Degrees Database informs the public CSU Search Degrees website (<http://degrees.calstate.edu>), a tool for exploring the bachelor's and graduate degree programs and concentrations currently offered at CSU campuses.

The projections listed below and in **Attachment A** indicate campus intention to develop degree programs within the coming decade. Across the system, 40 new projections are proposed, 16 at the undergraduate level and 25 at the graduate level. New programs are planned in response to student demand, employer demand, faculty interest, licensure requirements and accreditation requirements. This year, elevated accreditation pressures have led to new Bachelor of Fine Arts and Master of Fine Arts projections at CSU Sacramento; Doctor of Audiology projections at CSU Los Angeles, CSU Northridge, CSU Sacramento and San José State University; and a Master of Science in Athletic Training projection at CSU Long Beach.

In addition to planning to develop 40 new programs, campuses report reduced degree program offerings this year. Campuses have removed 13 program projections from their academic plans and report that 13 degree programs have been fully discontinued. An additional 53 degree programs are in suspended-admission status—meaning that additional students are not being admitted to those programs. Included among those discontinued programs or programs in suspended-admission status are five general engineering degrees that have been superseded by more specific engineering disciplines, which are outlined on pages 7-9. In all cases, students matriculated into a degree program are allowed to complete their degree programs, even though the programs have been discontinued or are not allowing new students to enroll.

Chancellor White has requested that, in this academic year, campuses engage in a discussion about the recommendations put forward in the CSU Ethnic Studies Task Force Report. In this final year of a moratorium on changes to ethnic studies programs, departments and faculty reductions, there have been no discontinuation or admission suspension of ethnic studies programs. Over the coming decade, campuses plan to develop and implement these diversity-related degree programs:

Fullerton

- BA Chinese Studies
- BA Vietnamese Studies

Long Beach

- BA American Sign Languages and Deaf Cultures
- MA Languages and Cultures

Los Angeles

- BA Women's, Gender, and Sexuality Studies
- MA Pan-African Studies

San Diego

- BA Islamic and Arabic Studies

San Francisco

- BA Race and Resistance Studies

San José

- BA Mexican American Studies

San Marcos

- BA American Indian Studies
- BA Chicano/a Studies
- BA Ethnic Studies

Recommendations for board approval of campus plans follow Chancellor's Office review of campus projection proposals. Review includes consideration of "declared policy of the Board to encourage broadly based degrees of high academic quality and to avoid unnecessary proliferation of degrees and terminologies" (REP-91-03). Projected programs are removed from campus academic plans if a full degree proposal is not submitted to the Chancellor's Office within five years of the date originally projected for implementation.

After the board approves a projection, the campus may begin developing a full degree implementation proposal, which will be submitted to the Chancellor's Office for review and final action before students may be enrolled. With confirmation from the Chancellor's Office, a pilot degree program may enroll students for five years. Pilot programs subsequently may be proposed for conversion to permanent status, which requires the chancellor's approval. **Attachment A** presents a ten-year overview of projected degree programs, by campus.

Newly proposed program projections include:

East Bay

BS Civil Engineering

Humboldt

BS Nursing

Long Beach

BFA Acting

BS Computational
Mathematics

MA Human Experience
Design Interactions

MS Athletic Training

MS Chemical Engineering

MS Finance

Los Angeles

MA Pan-African Studies

MS Business Analytics

MS Industrial Management

MS Materials Science and
Engineering

AuD Audiology

Maritime

BS Oceanography

Monterey Bay

BA Interdisciplinary Studies

BS Plant and Soil Science

MPH Public Health

Northridge

BFA	Visual Art
MA	Education Therapy
AuD	Audiology

Sacramento

BA	Design Studies
BFA	Graphic Design
BFA	Interior Architecture
BFA	Studio Art
BS	Health Services
MFA	Studio Art
AuD	Audiology

San Bernardino

MS	Entrepreneurship and Innovation
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San Diego

MS	Big Data Analytics
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San Francisco

BA	Race and Resistance Studies
MFA	Broadcast and Electronic Communication Arts
MS	Business Analytics

San José

MS	Bioinformatics
MS	Criminology
MS	Hospitality, Tourism, and Meeting Management
AuD	Audiology

San Luis Obispo

MS	Environmental Sciences and Management
MS	Packing Value Chain

Sonoma

BA	Geography and Environment
MA	Film Studies

Removed Projected Programs

Projected programs will be removed from campus academic plans by campus request or if an implementation proposal is not developed within five years of the date originally projected for implementation. Projections that have exceeded the five-year mark may be re-proposed for inclusion in the master plan.

Chico

BA	Environmental Policy and Planning
MS	Mechatronic Engineering

Long Beach

BFA	Fine Arts
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Los Angeles

BA	Computer Science
BA	Urban Studies
MS	Aerospace Engineering
MS	Systems Engineering
PhD	Complex Systems (joint)
PhD	Forensic Sciences (joint)

Monterey Bay

EdD	Educational Leadership
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San Bernardino

MA	Music
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San Diego

DNP	Nursing
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San José

BA	Design Studies
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2. Changes to Existing Degree Programs

Programs Suspending New Admissions

Campuses have reported admission suspensions for the following degree programs, which remain on the Academic Master Plan because admission may be reinstated during a future academic term. While no new matriculations will be allowed, continuously enrolled students already admitted into these programs will be allowed to complete degree objectives within a reasonable timeframe.

Chico

BA	Linguistics
BS	Special Major
MA	Geography
MS	Accountancy
MS	Botany

East Bay

MA, MS	Interdisciplinary Studies
MS	Computer Networks

Fresno

BA	German
BA	Public Administration
BA	Russian
BS	Industrial Engineering
MA	International Relations
MA	Mass Communication and Journalism
MS	Accountancy
MS	Family and Consumer Sciences
MS	Food and Nutritional Sciences

Fullerton

MS	Engineering
MA	French
MA	Interdisciplinary Studies
MAT	Science

Long Beach

MA	Applied Sociology
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Los Angeles

BS	Graphic Communication
MBt	Applied Biotechnology Studies

Monterey Bay

MA	Interdisciplinary Studies
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Northridge

MA, MS	Interdisciplinary Studies
MA	Music
MKM	Knowledge Management
MPP	Public Policy (Pilot)
MS	Assistive Technology Engineering (Pilot)
MS	Engineering

Pomona

BA	Behavioral Sciences
BA	Special Major
BS	Social Sciences
BS	Microbiology
BS	Zoology
MBA	Business Administration
MBt	Biotechnology

San Diego

MA	Education
MA	Music

San José

MA	French
MA	Theater Arts
MS	Quality Assurance
MS	Recreation

San Luis Obispo

BA	Liberal Studies
BS	Environmental Soil Science
MS	Agribusiness
MS	Business and Technology
EdD	Educational Leadership (offered jointly with UCSB)

Sonoma

BA, BS Special Major (Interdisciplinary Studies)

Stanislaus

BA French

Discontinuance of Existing Degree Programs

The following degree programs will no longer be offered at the reporting campus after currently enrolled students have completed degree requirements. These programs will be removed from the CSU Academic Master Plan and campus academic plans. Discontinuances are expected to be carried out according to each campus' discontinuation policy, in accordance with Coded Memorandum AAP-91-14.

Long Beach

BA Kinesiology
BS Engineering
MA Family and Consumer Science

Los Angeles

BS General Engineering
MA French
MA Health Sciences

Monterey Bay

BS World Languages and Cultures
MS Management and Information Technology

Sacramento

MA International Affairs

San Diego

MA Television, Film and New Media

Sonoma

BA Environmental Studies
BA Geography

Stanislaus

BA Cognitive Studies

3. Total Units Required for Bachelor of Arts and Bachelor of Science Degree Programs

Ninety-six percent of all CSU Bachelor of Arts (BA) and Bachelor of Science (BS) degree programs require no more than 120 units for degree completion. The improvement over last year's 94 percent is attributable to three factors: (1) CSU Los Angeles reduced units required in some high-unit degree programs during the conversion from quarter to semester terms; (2) system counts for exceeding 120 units no longer include integrated teacher preparation (ITP) degrees (for which Title 5 allows a 135-unit limit); and (3) the Chancellor's Office has worked with campuses to reduce proposed high-unit degree programs to 120 units before approving planned programs.

Title 5 allows more than a 120-unit total for Bachelor of Fine Arts, Bachelor of Music, Bachelor of Architecture, Bachelor of Landscape Architecture, and integrated teacher preparation programs. Data collection now contains a flag for identifying ITP programs, which integrate requirements for a bachelor's degree and teacher credentialing in one program.

As the Chancellor's Office reviews new BA and BS degree proposals, the 120-unit limit remains a central consideration in evaluating curricular coherence and quality, student-learning outcomes, quality assurance, access, fiscal responsibility and service to students and employers.

4. Summaries of Western Association of Schools and Colleges Accreditation Visits

The CSU Board of Trustees adopted a resolution in January 1991 that requires an annual agenda item on academic planning and program review, including information on recent campus accreditation visits from the Western Association of Schools and Colleges (WASC). WASC granted full 10-year accreditation approval to CSU Fresno, San Diego State University and CSU San Marcos. Summaries of the three WASC accreditation visits appear in **Attachment B**.

5. Accredited Academic Programs and Departments

In 1968, the CSU Board of Trustees resolved that "each State College be encouraged, in cooperation with the Office of the Chancellor, to seek accreditation of appropriate instructional programs by national professional accrediting agencies." Campuses are therefore expected, as reasonable, to seek professional accreditation for degree programs and academic departments, schools and colleges. **Attachment C** contains the list of all reported accredited units and degree programs.

6. CSU Degree Proposal, Review and Approval Process

Attachment D details the descriptions and illustrations of procedures and policies guiding degree proposal development, review and approval.

The following resolution is recommended for adoption and refers to changes in the CSU Academic Master Plan and campus academic plans described in this agenda item.

RESOLVED, by the Board of Trustees of the California State University, that the amended projections to the Academic Plans for the California State University campuses (as identified in Agenda Item 1 of the March 21-22, 2017 meeting of the Committee on Educational Policy) be approved and accepted for addition to the CSU Academic Master Plan and as the basis for necessary facility planning; and be it further

RESOLVED, that those degree programs proposed to be included in campus academic plans be authorized for implementation, at approximately the dates indicated, subject in each instance to the chancellor's approval and confirmation that there exists sufficient societal need, student demand, feasibility, financial support, qualified faculty, facilities and information resources sufficient to establish and maintain the programs; and be it further

RESOLVED, that degree programs not included in the campus academic plans be authorized for implementation only as pilot or fast-track programs or as modifications of existing degree programs, subject in each instance to Chancellor's Office approval and CSU policy and procedures.

CSU Academic Master Plan Ten-Year Overview of Planned Programs

Projections Proposed to the Board of Trustees
Planned for Implementation between 2017-18 and 2027-28

Planned (“projected”) degree programs appearing in bold red font are proposed for board approval at this meeting. Projected degree programs may remain on the California State University Academic Master Plan for five years after the originally approved implementation date, which appears in the far right column. Within that five-year window, planned launch years may be adjusted in response to societal need or campus schedules and resources. Current planned implementation years appear in the column to the left of the degree program. Subsequent to approval of a projection, the campus may develop a full degree implementation proposal, which requires the chancellor’s approval in order for a program to enroll students.

Campus	Currently Planned Implementation Year	Degree Designation	Title	Year Originally Approved for Implementation
Bakersfield	2019	MS	Computer Science	2017

Campus	Currently Planned Implementation Year	Degree Designation	Title	Year Originally Approved for Implementation
Channel Islands	2017	MA	History	2012
		MPA	Public Administration	2012
		MS	Coastal Sustainability	2012
	2018	BA	Freedom and Justice Studies	2013
		BS	Mechatronics Engineering	2016
		MA	Psychology	2016
		MS	Applied Sociology	2013
	2019	BA	Philosophy	2014
		MS	Nursing	2014

Campus	Currently Planned Implementation Year	Degree Designation	Title	Year Originally Approved for Implementation
Chico	2019	MA	Teaching	2015

Campus	Currently Planned Implementation Year	Degree Designation	Title	Year Originally Approved for Implementation
Dominguez Hills	2017	MS	Cybersecurity	2015
	2018	BS	Information Technology	2015
		MA	International Peace and Security	2018
	2020	MA	Communication Disorders	2014
		MA	Kinesiology	2014
		MA	Spanish	2016
		MHA	Healthcare Administration	2016

Campus	Currently Planned Implementation Year	Degree Designation	Title	Year Originally Approved for Implementation
East Bay	2018	BS	Civil Engineering	2018
		MS	Nursing	2018

Campus	Currently Planned Implementation Year	Degree Designation	Title	Year Originally Approved for Implementation
Fresno	2017	BA	Liberal Arts	2017
	2018	BA	Dance	2016
		MS	Athletic Training	2018
	2019	BS	Emergency Management and Homeland Security	2014

Campus	Currently Planned Implementation Year	Degree Designation	Title	Year Originally Approved for Implementation
Fullerton	2017	BA	Chinese Studies	2012
		BA	Vietnamese	2014
		BS	Environmental Engineering	2017
		MS	Accounting and Finance	2013
	2018	BS	Software Engineering	2016
		MA	Criminal Justice	2011
		MA	Liberal Studies	2010
		MS	Athletic Training	2018
		MS	Engineering Management	2016
		MS	Human Services	2016

Campus	Currently Planned Implementation Year	Degree Designation	Title	Year Originally Approved for Implementation
Humboldt	2017	BA	Child Development	2015
		MA	Spanish	2017
	2018	BS	Nursing	2017

Campus	Currently Planned Implementation Year	Degree Designation	Title	Year Originally Approved for Implementation
Long Beach	2017	BA	Biochemistry	2016
		MA	Languages and Cultures	2015
		MS	Chemical Engineering	2017
		MS	Finance	2017
		MS	Hospitality Management	2015
		MS	Information Systems	2014
		MS	International Affairs	2016
		MS	Marketing	2016
		MS	Sustainability	2016
	2018	BFA	Acting	2018
		BS	Computational Mathematics	2018
		MA	Human Experience Design Interactions	2018
		MS	Athletic Training	2018

Campus	Currently Planned Implementation Year	Degree Designation	Title	Year Originally Approved for Implementation
Los Angeles	2017	BA	Women's, Gender, and Sexuality Studies	2015
		MA	Liberal Studies	2013
		MPH	Public Health	2017
	2018	MA	Pan-African Studies	2018
		MS	Business Analytics	2018
		MS	Industrial Management	2018
		MS	Materials Science and Engineering	2018
		AuD	Audiology	2018

Campus	Currently Planned Implementation Year	Degree Designation	Title	Year Originally Approved for Implementation
Maritime Academy	2019	BS	Oceanography	2019

Campus	Currently Planned Implementation Year	Degree Designation	Title	Year Originally Approved for Implementation
Monterey Bay	2018	BA	Interdisciplinary Studies	2018
		BS	Plant and Soil Science	2018
		BS	Public Safety	2018
		MPH	Public Health	2018
	2019	MA	Teaching English to Speakers of Other Languages	2018
		MPA	Public Administration	2013
		MS	Accounting	2015
		MS	Physician Assistant	2018
	2021	BS	Computer Engineering	2016

Campus	Currently Planned Implementation Year	Degree Designation	Title	Year Originally Approved for Implementation
Northridge	2017	BA	Earth and Environmental Sciences	2017
		MA	Higher Education Leadership	2017
		MA	Instructional Design	2015
		MS	Finance	2013
		MS	Management	2014
		MS	Real Estate	2017
	2018	BFA	Visual Art	2018
		MA	Educational Therapy	2018
		MS	Entrepreneurship	2018
		AuD	Audiology	2018
	2020	MS	Information Systems Management	2020
	2021	BS	Neuroscience	2021
		MA	Sustainability Practices	2021
		PhD	Complex Systems	2021

Campus	Currently Planned Implementation Year	Degree Type	Title	Year Originally Approved for Implementation
Pomona	2017	BA	Physics	2016
		BS	Regenerative and Sustainable Studies	2017
		MS	Architecture	2016
		MS	International Apparel Management	2014
		MS	Mechatronics and Robotics Engineering	2017
	2018	BM	Music	2018
		MS	Dietetics	2018

Campus	Currently Planned Implementation Year	Degree Designation	Title	Year Originally Approved for Implementation
Sacramento	2017	BS	Health Services	2017
		MS	Finance	2013
	2018	BFA	Studio Art	2018
		AuD	Audiology	2018
	2019	BA	Design Studies	2019
		BFA	Graphic Design	2019
		BFA	Interior Architecture	2019
		MFA	Studio Art	2019

Campus	Currently Planned Implementation Year	Degree Designation	Title	Year Originally Approved for Implementation
San Bernardino	2017	MA	World History and Comparative Civilizations	2017
		MS	Finance	2017
		MS	Information Systems and Technology	2016
		MS	Kinesiology	2015
	2018	MS	Entrepreneurship and Innovation	2018

Campus	Currently Planned Implementation Year	Degree Designation	Title	Year Originally Approved for Implementation
San Diego	2017	BFA	Graphic Design	2012
		PhD	Hearing Science (with UC San Diego)	2014
	2018	MS	Big Data Analytics	2018

Campus	Currently Planned Implementation Year	Degree Designation	Title	Year Originally Approved for Implementation
San Francisco	2017	MA	East Asian Languages and Literatures	2016
		MA	Modern European Languages and Literatures	2016
	2018	BA	Race and Resistance Studies	2018
		MFA	Broadcast and Electronic Communication Arts	2018
		MS	Business Analytics	2018

Campus	Currently Planned Implementation Year	Degree Designation	Title	Year Originally Approved for Implementation
San José	2017	BA	Mexican American Studies	2017
		MS	Criminology	2017
	2018	MS	Bioinformatics	2018
		MS	Hospitality, Tourism and Meeting Management	2018
	2019	AuD	Audiology	2019

Campus	Currently Planned Implementation Year	Degree Designation	Title	Year Originally Approved for Implementation
San Luis Obispo	2017	BS	Environmental Product Design	2016
		BS	Public Health	2016
		BS	Sustainable Designed and Built Environments	2016
		MEng	Civil and Environmental Engineering	2015
		MS	Environmental Sciences and Management	2017
		MS	Packing Value Chain	2017
	2018	MS	Food Science	2014

Campus	Currently Planned Implementation Year	Degree Designation	Title	Year Originally Approved for Implementation
San Marcos	2017	BA	American Indian Studies	2016
		BA	Arts, Media and Design	2016
		BA	Chicano/a Studies	2016
		BA	Ethnic Studies	2015
		BA	Philosophy	2016
		BA	Theatre	2015
		BS	Wildfire Science	2017
		MS	Chemistry	2017
	2019	BS	Computer Engineering	2019
		BS	Software Engineering	2019
	2024	BS	Electrical Engineering	2024

Campus	Currently Planned Implementation Year	Degree Designation	Title	Year Originally Approved for Implementation
Sonoma	2017	MA	Film Studies (fast track)	2017
	2018	BA	Geography and Environment	2018

Campus	Currently Planned Implementation Year	Degree Designation	Title	Year Originally Approved for Implementation
Stanislaus	2017	MFA	Theatre Production	2017

Summaries of WASC Senior College and University Commission Accreditation Visits

California State University, Fresno

California State University, Fresno hosted a commission accreditation visit on October 20-22, 2015. The institution's leadership team was lauded for its open and inclusive planning process, sound financial management and the completion of a \$200 million fundraising campaign. The team commended the institution for improving the six-year graduation rate, making progress toward closing the achievement gap, and "its disciplined focus on its mission as a community-engaged, regional university dedicated to providing baccalaureate and advanced degree opportunities to all students, especially to those from disadvantaged circumstances."

The team recommended the development of a five-year plan for the implementation of core competencies in all degree programs and a five-year business plan to accompany its strategic plan. The institution was encouraged to move forward with implementation of an eportfolio assessment instrument and to establish a schedule for assessment of core competencies.

The commission endorsed the commendations and recommendations above and acted to reaffirm accreditation for 10 years. The next accreditation visit is scheduled for spring 2025. The institution has been asked to schedule a mid-cycle review in spring 2021 and to submit an interim report by November 2020, documenting the implementation of a five-year plan for assessment of the core competencies with evidence of outcomes, the implementation of eportfolios with results and development of a five-year business plan.

San Diego State University

On July 8, 2016, the commission issued a formal notification reaffirming accreditation for another 10 years. The campus was commended for: (1) the deep dedication of all stakeholders to collaboration, transparency and partnership; (2) improvements in retention and graduation rates, increasing student body diversity and improvements toward closing achievement gaps; (3) development of a comprehensive strategic plan with broad participation; (4) remarkable fundraising success; (5) capital improvements designed to strengthen student success, particularly addressing the needs of commuter students; (6) maintaining a high volume of research grants and contracts in a very competitive national environment; (7) astute financial management while mitigating deep cuts in state appropriations; (8) implementing an integrated program of high-impact practices; and (9) outreach to underserved local communities.

For further development, the commission advised the campus to: (1) review the general education program to strengthen its alignment with institutional goals, enhance its integration into the overall curriculum, improve understanding among students as to its purposes and create an effective infrastructure to support coordination across academic programs; (2) develop or

strengthen systems, organizational relationships and governance infrastructure involved in information technology and data management to promote ready access; (3) develop a plan for allocating faculty and staff positions to address multiple and competing needs and enhance efforts to retain faculty and staff through professional development opportunities; (4) sustain and enhance progress in assessing educational effectiveness; (5) prioritize outcomes of greatest concern; (6) facilitate the full participation of all units and programs in the assessment process; and (7) establish a platform to document assessment outcomes, results and actions taken to make expectations and outcomes explicit and public.

California State University San Marcos

California State University San Marcos hosted a commission accreditation visit from March 16-18, 2016. On July 8, the institution received formal notification of the commission's decision to reaffirm accreditation for 10 years.

The visiting review team commended the campus on its accomplishments and high-quality practices, specifically noting: (1) a robust and sophisticated program review process; (2) well-crafted university learning outcomes; (3) scaffolding of assessment practices; (4) inclusive and intentional professional development programs; and (5) addressing the challenges of the last decade with a creative entrepreneurial spirit and institutional pride.

The team recommended: (1) giving strong consideration to personnel and other needs of established programs previously affected by state budget constraints; (2) building on promising student-learning assessment work and using evidence for program improvement and decision-making; (3) developing a unified definition of student success and using it as the basis for analyzing program effectiveness; (4) building on the Diversity Mapping Project to promote richer and nuanced campuswide conversations culminating in appropriate action to realize the institution's long-standing commitment to diversity, educational equity and inclusion; and (5) constructing a new, university-wide, integrated strategic plan that retains as much of the former planning they consider as valuable and applicable to meeting future challenges and opportunities.

California State University Accredited Program, by Campus

California State University, Bakersfield

Program	First Granted	Renewal Date
Business Administration BS	not specified	2017-2018
Business Administration MBA	not specified	2017-2018
Biochemistry	not specified	2018-2019
Chemistry BS	not specified	2018-2019
Counseling MS	not specified	2021-2022
Education MA	not specified	2021-2022
Nursing BS	not specified	2021-2022
Public Administration MPA	not specified	2015-2016

California State University Channel Islands

Program	First Granted	Renewal Date
Education–Administrative Services Preliminary Credential	2009	2018
Education–Bilingual Authorization Spanish	2011	2018
Education–Mild/Moderate Disabilities Credential	2009	2018
Education–Mild/Moderate Disabilities Intern Credential	2009	2018
Education–Multiple Subject Credential	2009	2018
Education–Multiple Subject Intern Credential	2009	2018
Education–Single Subject Credential	2009	2018
Education–Single Subject Intern Credential	2009	2018
Nursing BS	2006	2017

California State University, Chico

Program	First Granted	Renewal Date
Art BA	not specified	2017
Art BFA	not specified	2017
Art MA	not specified	2017
Art MFA	not specified	2017
Business Administration BS	1997	2018
Business Administration MBA	1997	2018
Business Information Systems BS	1997	2018
Chemistry BS	not specified	2018
Civil Engineering BS	1968	2018
Communication Design BA–Graphic Design Option	not specified	2017
Communication Sciences and Disorders MA	2003	2018
Computer Engineering BS	1989	2018
Computer Information Systems BS	2007	2018
Computer Science BS	1987	2022
Construction Management BS	1987	2022
Education MA	not specified	2022
Electrical and Electronic Engineering BS	1971	2018
Health Science: Health Services Admin Option BS	2004	2018
Journalism BA	1997	2022
Mechanical Engineering BS	1971	2018
Mechatronic Engineering BS	1998	2018
Music BA	1995	2019
Musical Theatre BA	2009	2015
Nursing BS	1995	2018
Nursing MS	1995	2018
Nutrition and Food Sciences BS	1999	2021
Nutritional Science MS	2001	2021
Psychology: Applied/School Psychology Option MA	1998	2017
Public Administration MPA	1996	2017
Recreation Administration BS	1986	2019

Chico (continued)

Program	First Granted	Renewal Date
Recreation Administration MA	1986	2019
Social Work BA	not specified	2016 (Campus visit occurred in Fall 2016. Outcome pending.)
Social Work MSW	not specified	2016 (Campus visit occurred in Fall 2016. Outcome pending.)
Sustainable Manufacturing BS	1980	2020
Theatre Arts BA	2009	2017

California State University, Dominguez Hills

Program	First Granted	Renewal Date
Clinical Science BS	1995	2026
Clinical Science BS–Cytotechnology	1993	2017
Computer Science BS	1996	2016-17
Education MA	not specified	2019
Health Science MS–Orthotics and Prosthetics	2015	2020
Music BA	not specified	2017
Nursing BSN	2004	2018
Nursing MSN	2004	2018
Occupational Therapy MS	2007	2022
Public Administration BS	2005	2022-23
Public Administration MPA	2005	2022-23
Social Work MSW	2007	2022
Special Education MA	not specified	2019
Theatre Arts BA	1987	2016 (Campus visit occurred in Fall 2016. Outcome pending.)

California State University, East Bay

Program	First Granted	Renewal Date
Accountancy MS*	2013	2018-19
Business Administration BS	1973-1974	2018-19
Business Administration MBA	1982-1983	2018-19
Business Analytics MS**	1982-1983	2018-19
Chemistry BS	1970-1971	2019-20
Computer Engineering BS	2013-2014	2020-21
Counseling MS, Option in School Psychology	1982-1983	2016-17
Economics BA	1973-74	2018-19
Economics MA	1973-74	2018-19
Education, MS***		
Educational Leadership MS***		
Industrial Engineering BS	2006-2007	2017-18
Music BA	1973-1974	2019-20
Music MA	1973-1974	2019-20
Nursing BS	1974-1975	2025-26
Social Work MSW	2006-2007	2017-18
Special Education MS***		
Speech-Language Pathology MS	1992-1993	2019-20

*The MS Accountancy program was implemented in 2013 and falls under the College of Business and Economics' AACSB accreditation. It does not have AACSB's specialized accountancy accreditation.

**The MSBA was approved for an option elevation to a stand-alone MS Business Analytics, effective Fall 2017.

***East Bay withdrew from NCATE this year, so these master's programs no longer have national accreditation. All of our teacher education programs have CTC-Approved Educator Preparation Programs.

California State University, Fresno

Program	First Granted	Renewal Date
Accountancy MS	1967	Suspended 2011
Agricultural Education BS	1967	2020
Agricultural Specialist Credential	Not specified	2021
Business Administration BS: Accountancy, Computer Information Systems, Entrepreneurship, Finance, Human Resource Management, International Business, Logistics and Supply Chain Strategies, Management, Marketing, Real Estate and Urban Land Economics, Special, and Sports Marketing	1957, 1963	2018-19
Business Administration MBA	1974	2018-19
Civil Engineering BS	1968	2019
Civil Engineering MS	1986	2019
Clinical Rehabilitation and Mental Health Counseling MS	1976	2017-18
Communicative Disorders BA	1979, 1994, 2004	2016, 2018-19
Communicative Disorders MA	1979, 1994, 2004	2016, 2018-19
Computer Engineering BS	1999	2019
Construction Management BS	1992/2008	2019-20
Counseling MS	1995	2019
Education MA	1967, 1988	2021
Education–Early Childhood Education Specialist (NAEYC Accredited, currently under review)	Not specified	
Education–Multiple Subject Internship	Not specified	2021
Education–Multiple Subject Bilingual Authorization, Spanish and Hmong	Not specified	2021
Education–Preliminary Administrative Services	Not specified	2021
Education–Preliminary Administrative Services Internship	Not specified	2021
Education–Preliminary Multiple Subject	Not specified	2021
Education–Preliminary Single Subject	Not specified	2021
Education–Pupil Personnel Services, School Counseling	Not specified	2021
Education–Reading/language Arts Specialist	Not specified	2021
Education–Single Subject Internship	Not specified	2021

Fresno (continued)

Program	First Granted	Renewal Date
Education Specialist, Mild/Moderate and Moderate/Severe	Not specified	2021
Education Specialist, Deaf and Hard of Hearing -Education Specialist Clear, Mild/Moderate and Moderate/Severe -Education Specialist Level II, Mild/Moderate and Moderate/Severe	Not specified	2021
Educational Leadership EdD	UC Davis JDPEL, 1991; independent DPELFS program, 2007; Bakersfield Joint Program, 2011	2021
Electrical Engineering BS	1965	2019
Food and Nutritional Sciences BS–Dietetics and Food Administration Option	2005, 1979	2021
Food and Nutritional Sciences Certificate of Advanced Study–Internship Program	2005, 1979	2021
Geomatics Engineering BS	1979	2019
Industrial Technology, BS	2016	2020
Interior Design BA	1988	2016 (accreditation done in 2016. Received initial approval. Final approval pending soon)
Kinesiology BS	2008	2017-18
Liberal Studies BA	not specified	2021
Mechanical Engineering BS	1965	2019
Music BA	1979	2019-20
Music MA	1979	2019-20
Nursing BS	2005	2016, 2020
Nursing DNP	2012	2019
Nursing MS	2005	2016, 2018
Physical Therapy DPT	2012	2015
Public Administration MPA	1991	2019
Public Health MPH	1998	2020

Fresno (continued)

Program	First Granted	Renewal Date
Pupil Personnel Services, School Psychology		2021
Recreation Administration BS	1986	2014, 2020
School Nursing Advanced Credential (Clear)	Not specified	2021
School Psychology EdS	1994	2017
Social Work MSW	1967	2016 (Accreditation done in 2016. Received initial approval. Final approval pending soon)

California State University, Fullerton

Program	First Granted	Renewal Date
Accounting MS	1966	2018
Art BA	1974	2024
Art BFA	1994	2024
Art MA	1974	2024
Art MFA	1994	2024
Business Administration BA	1965	2018
Business Administration MBA	1972	2018
Chemistry BS	1970	2017
Civil Engineering BS	1985	2022
Communications BA	1971	2021
Communications MA	1971	2021
Communicative Disorders BA	1969	2018
Communicative Disorders MA	1969	2018
Computer Engineering BS	2007	2022
Computer Science BS	1988	2018
Counseling MS	2007	2023
Dance BA	1993	2024
Education Credentials	1960	2022
Education MS	1970	2022
Electrical Engineering BS	1985	2018
Human Services BS	1982	2017
International Business BA	1984	2018
Kinesiology BS (Athletic Training Program)	2001	2017
Mechanical Engineering BS	1985	2022
Music BA	1966	2024
Music BM	1975	2024
Music MA	1966	2024
Music MM	1975	2024
Nursing BS	NLN accreditation (1981-2007); Commission on Collegiate Nursing Education (CCNE) accreditation since 2007	2017
Nursing DNP	2013	2019
Nursing MS	2002	2017

Fullerton (continued)

Program	First Offered	Renewal Date
Public Administration MPA	1989	2017
Public Health MPH	2008	2020
Social Work MSW	2011	2023
Taxation MS	1996	2018
Theatre Arts BA	1974	2016 (The program is currently drafting response to the commission)
Theatre Arts BFA	2005	2016 (The program is currently drafting response to the commission)
Theatre Arts MFA	1985	2016 (The program is currently drafting response to the commission)

Humboldt State University

Program	First Granted	Renewal Date
Art BA	1978	2024-25
Chemistry BA, BS	prior to 1976	2014 pending (next review: 2019)
Child Development Laboratory, Child Development	1989	2017
School of Education–Administrative Services	2002	2022
School of Education–Multiple Subjects Credential	2002	2022
School of Education–Single Subjects Credential	2002	2022
School of Education–Special Education Credential	2002	2022
School of Education and Department of Kinesiology/ Recreation Administration–Adapted Physical Education	2002	2022
Environmental Resources Engineering (ERE) BS	1981	2017
Forestry Curriculum–Society of American Foresters (SAF)	1979	2025-26
Music BA	1979	2021
Psychology MA	2002	No longer seeking accreditation
Registered Professional Foresters (RPF) License–State Board of Forestry (BOF)	not specified	periodic
Social Work BA	2004	2019 reaffirmation
Social Work MSW	2004	2019 reaffirmation

California State University, Long Beach

Program	First Granted	Renewal Date
Accountancy MS	2015	2019
Aerospace Engineering BS	2001	2018
American Language Institute	2007	2017
Art BA	1974	2018
Art BFA	1974	2018
Art MA	1974	2018
Art MFA	1974	2018
Athletic Training BS	2006	2021
Biochemistry BS	2015	2018
Business Administration BS	1972	2019
Business Administration MBA	1972	2019
Chemical Engineering BS	1980	2018
Chemistry BS	1958	2018
Civil Engineering BS	1963	2018
Computer Engineering BS	1974	2018
Computer Science BS	1995	2018
Construction Engineering Management BS	2012	2017
Dance BA	1982	2017
Dance BFA	1982	2017
Dance MA	1982	2017
Dance MFA	1982	2017
Design BA	2007	2018
Didactic Program in Dietetics	1975	2021
Dietetic Internship	1975	2021
College of Education: Teaching Credentials and School Professionals	2001	2023 CAEP and CTC Review
Electrical Engineering BS	1963	2018
Family and Consumer Sciences BA	1977	2017
Health Care Administration BS	1992	2021
Health Care Administration MS	2001	2019
Health Science MPH	1990	2022
Hospitality Management BS	2010	2017
Industrial Design BS	1974	2018
Interior Design BFA	1974	2018
Journalism and Mass Communication BA	1978, 2014	2020
Mechanical Engineering BS	1963	2018

Long Beach (continued)

Program	First Granted	Renewal Date
Music BA	1968	2023
Music BM	1968	2023
Music MA	1968	2023
Nursing BS	1967	2021
Nursing MS	1978	2021
Physical Therapy DPT	2012	2022
Psychology MS, Human Factors Option	2012	2018
Public Policy and Administration	1990	2017
Recreation Administration MS	1976	2021
Social Work BA	1975	2017
Social Work MSW	1985	2017
Supply Chain Management, MS	2015	2019
Theatre Arts BA	1973	2017
Theatre Arts MFA	1973	2017

California State University, Los Angeles

Program	First Granted	Renewal Date
Art BA	1974	2019-20
Art MA	1974	2019-20
Art MFA	1974	2019-20
Accountancy MS	1964	2021-22
Business Administration BS	1960	2021-22
Business Administration MBA	1964	2021-22
Business Administration MS	1964	2021-22
Communicative Disorders BA	1987	2016-17
Communicative Disorders MA Speech Language Pathology option	1987	2016-17 SLP will also be included in CTC review in 2020-21 in addition to ASHA
Computer Information Systems BS	1964	2021-22
Computer Science BS	2005	2018-19
Counseling MS	1994	2018-19
Counseling MS, Rehabilitation Counseling Option	1956	2016-17
Counseling MS, School-Based Family Counseling Option	1978	2020-21 CTC and CAEP; CACREP
Criminalistics MS	2011	2017-18
Education Credentials	1959	2020-21
Education MA	1959	2020-21
Educational Administration MA	1959	2020-21
Educational Leadership EdD	2011	2020-21
Engineering, Civil BS	1965	2018-19
Engineering, Electrical BS	1965	2018-19
Engineering, Mechanical BS	1965	2018-19
Healthcare Management, MS		2021-22
Industrial Technology BS	2013	2017-18
Music BA	1970	2017-18
Music BM	1970	2017-18
Music MA	1970	2017-18

Los Angeles (continued)

Program	First Granted	Renewal Date
Music MM	1995	2017-18
Nursing BS	2007	2019-20
Nursing MS	2007	2019-20
Nursing DNP	2011	2019-20
Nutritional Science MS–Coordinated Dietetics Program	1974	2020-21
Nutritional Science MS - Didactic Program in Dietetics	1976	2020-21
Public Administration MPA	1984	2021-22
Social Work BA	1979	2018-19
Social Work MSW	1979	2018-19
Special Education MA	1959	2020-21
Special Education PhD	1971	2020-21
Teaching English to Speakers of Other Languages MA	1989	2020-21

California Maritime Academy

Program	First Granted	Renewal Date
Business Administration BS–International Business and Logistics	2003	2019-20
Facilities Engineering Technology BS	1999	2019-20
Marine Engineering Technology BS	1978	2019-20
Mechanical Engineering BS	2002	2019-20

California State University, Monterey Bay

Program	First Granted	Renewal Dates
Nursing	January 2016	Spring 2019
Social Work, MSW	2014	2018
Teacher Education	2009	Transition Plan: March 2017

California State University, Northridge

Program	First Granted	Renewal Date
Accountancy BS	1976	2019
Accountancy MS	1976	2019
Art BA	1993	2020
Art MA	1993	2020
Art MFA	2006	2020
Athletic Training BS	1995	2017
Biochemistry BS	1978	2018
Business Administration BS	1976	2019
Business Administration MBA	1976	2019
Chemistry BS	1966	2018
Civil Engineering BS	1994	2019
Communicative Disorders MS	1976	2021
Computer Engineering BS	2006	2019
Computer Science BS	1985	2019
Construction Management BS	2010	2019
Counseling MS	1997	2018
Counseling MS, Career Counseling Option	1996	2018
Education MA	1997	2018
Educational Administration MA	1997	2018
Electrical Engineering BS	1994	2019
Environmental and Occupational Health BS	1972 1973	2019 2019
Environmental and Occupational Health MS	1972 1978	2019 2019
Family and Consumer Sciences BS	1973	2023
Family and Consumer Sciences BS–Didactic Program in Dietetics	1985	2019
Family and Consumer Sciences BS–Interior Design option	1998	2017
Family and Consumer Sciences MS–Dietetic Internship	1985	2019
Finance BS	1976	2019
Health Administration BS	1971	2022
Information Systems BS	1976	2019
Journalism BA	1967	2021
Management BS	1976	2019

Northridge (continued)

Program	First Granted	Renewal Date
Manufacturing Systems Engineering BS	2001	2019
Marketing BS	1976	2019
Mechanical Engineering BS	1994	2019
Music BA	1968	2018
Music BM	1968	2018
Music MM	1968	2018
Nursing BS	1999	2024
Physical Therapy DPT	1969	2025
Public Health Education MPH	1980	2018
Radiologic Sciences BS	1977	2018
Social Work MSW	2008	2020
Special Education MA	1997	2018
Taxation MS	1976	2019
Theatre BA	1991	2025
Theatre MA	1991	2025
Tourism, Hospitality, and Recreation Management BS	2014	2021

Northridge Credential Programs

Program	First Granted	Renewal Date
Counseling – Pupil Personnel Services Credential	1997	2018
Educational Administration – Preliminary Administrative Services Credential	1997	2018
Educational Administrative Services Credential – Tier 1 and Tier 2	1997	2018
Elementary Education – Multiple Subject Bilingual Authorization	2011	2018
Elementary Education – Multiple Subject Preliminary Teaching Credential	1974	2018
Elementary Education – Multiple Subject Preliminary Teaching Credential – Blended or Intern	2002	2018
Elementary Education – Multiple Subject Preliminary Teaching Credential – CLAD	1997	2018
Elementary Education – Reading and Language Arts Specialist Credential	2002	2018

Northridge (continued)

Program	First Granted	Renewal Date
Secondary Education – Single Subject Teaching Credential	1974	2018
Secondary Education – Single Subject Teaching Credential– Bilingual Authorization	2011	2018
Secondary Education – Single Subject Teaching Credential - Preliminary – Blended or Intern	2002	2018
Secondary Education – Single Subject Teaching Credential- Preliminary – CLAD	1997	2018
Special Education – Education Specialist Authorization Advanced Teaching Credential	2010	2018
Special Education – Education Specialist Clear Teaching Credential	2013	2018
Special Education – Education Specialist Clear Teaching Credential – Deaf and Hard of Hearing	2013	2018
Special Education – Education Specialist Clear Teaching Credential – Mild/Moderate	2013	2018
Special Education – Education Specialist Clear Teaching Credential – Moderate/Severe	2013	2018
Special Education – Education Specialist Preliminary Teaching Credential – Deaf/Hard of Hearing	2013	2018
Special Education – Education Specialist Preliminary Teaching Credential Early Childhood Special Education Credential	2013	2018
Special Education – Education Specialist Preliminary Teaching Credential – Mild/Moderate	2013	2018
Special Education – Education Specialist Preliminary Teaching Credential – Moderate/Severe	2013	2018
Special Education – Education Specialist Teaching Credential – Deaf/Hard of Hearing – Lev I and Lev II	1997	2018
Special Education – Education Specialist Teaching Credential – Early Childhood – Lev I and Lev II	1997	2018
Special Education – Education Specialist Teaching Credential – Mild/Moderate – Lev I and Lev II	1997	2018
Special Education – Education Specialist Teaching Credential– Moderate/Severe – Lev I and Lev II	1997	2018

California State Polytechnic University, Pomona

Program	First Granted	Renewal Dates
Adapted Physical Education Authorization	1997	2021-22
Administrative Services Preliminary (Tier 1) and Preliminary (Tier 1) Intern Credentials	2002	2021-22
Aerospace Engineering BS	1970	2018-19
Agricultural Specialist Authorization	1976	2021-22
Animal Health Science BS	1997	2017-18
Architecture BArch	1981	2022-23
Architecture MArch	1978	2022-23
Art (Art History, Fine Art) BA	1997	2018-19
Bilingual Authorization (Chinese and Mandarin Chinese)	2011	2021-22
Business Administration BS	1995	2018-19
Business Administration MBA	1995	2018-19
Business Administration MS	1995	2018-19
Chemical Engineering BS	1972	2018-19
Civil Engineering BS	1970	2018-19
Computer Engineering BS	2004	2018-19
Computer Science BS	1994	2018-19
Construction Engineering Technology BS	1976	2018-19
Didactic Program in Dietetics	1993	2019-20
Dietetic Internship Program	1993	2019-20
Education Specialist Mild/Moderate Level I and Level II Teaching and Intern Credentials	1997	2021-22
Education Specialist Mild/Moderate Preliminary and Preliminary Intern Credential	2011	2021-22
Education Specialist Moderate/Severe Level I and Level II Teaching and Intern Credentials	1997	2021-22
Education Specialist Moderate/Severe Preliminary and Preliminary Intern Credential	2011	2021-22
Electrical Engineering BS	1970	2018-19
Electronics and Computer Engineering Technology BS	1976	2018-19
Engineering Technology BS	1976	2018-19
Graphic Design BFA	1997	2018-19
Hospitality Management BS	1994	2018-19
Industrial Engineering BS	1976	2018-19

Pomona (continued)

Program	First Granted	Renewal Date
Interior Architecture MIA	2010	2019-20
Landscape Architecture BS	1963	2017-18
Landscape Architecture MLA	1975	2017-18
Manufacturing Engineering BS	1988	2018-19
Mechanical Engineering BS	1970	2018-19
Multiple Subject Intern Teaching Credentials	1998, 2003–2042	2021-22
Multiple Subject Preliminary Teaching Credentials	1973, 2003–2042	2021-22
Music	2013	2018-19
Public Administration MPA	2006	2019-20
Reading Certificate Authorization	2012	2021-22
Single Subject Intern Teaching Credentials	1998, 2003–2042	2021-22
Single Subject Preliminary Teaching Credentials	1973/, 2003–2042	2021-22
Urban and Regional Planning BS	1967	2021-22
Urban and Regional Planning MURP	1971	2021-22

California State University, Sacramento

Program	First Granted	Renewal Date
Administrative Services Credential, Intern, EDLP	1974	2016
Administrative Services Credential, Level I, Preliminary, EDLP	1984	2016
Administrative Services Credential, Level II, Professional, EDLP	1985	2016
Art BA	1974	2025
Art Education BA	~1984	2018
Art History BA	1974	2025
Art History MA	1974	2025
Art Studio BA	1974	2025
Athletic Training BS	1976	2023
Business Administration BS, MBA	1963	2017
Business Administration Accountancy MS	Not specified	2017
Civil Engineering BS	1965	2022
Computer Engineering BS	1987	2022
Computer Science BS	1986	2022
Construction Management BS	1989	2019
Didactic Program in Dietetics	1996	2017
Dietetic Internship	2003	2017
Education Specialist, Early Childhood Special Education, EDS	1974	2018
Education Specialist, Level II, EDS	not specified	2018
Education Specialist, Mild/Moderate, EDS	not specified	2018
Education Specialist, Mild/Moderate w/Multiple Subjects, EDS	not specified	2018
Education Specialist, Moderate/Severe and Moderate/Severe with Multiple Subjects, EDS	not specified	2018
Electrical and Electronic Engineering BS	1969	2022
English Education	<1980	2022
Graphic Design BS	2005	2025
Interior Design BA	2001	2018
Liberal Studies BA	2004	2018
Mechanical Engineering BS	1965	2022
Multiple Subjects BCLAD Emphasis (Bilingual Authorization), BMED	1975	2018

Sacramento (continued)

Program	First Granted	Renewal Date
Multiple Subjects, BMED	not specified	2018
Multiple Subjects, EDTE	not specified	2018
Music BA	1964	2021
Music Education BM	not specified	2019
Nursing Graduate MS	1986	2019(CCNE) BRN (2022)
Nursing-Post Licensure BS	1962	2019(CCNE) BRN (2022)
Nursing-Pre Licensure BS	1962	2019(CCNE) BRN (2022)
Photography BA	2005	2015
Physical Education BS	1952	2019
Physical Therapy DPT	1997	2025
Pupil Personnel Services, School Counseling, EDC	1975	2018
Pupil Personnel Services, School Social Work	1996	2019
Pupil Personnel Services Credential, School Psychologist, EDS	1977	2018
Reading Specialist Certificate and Credential, EDTE	1974	2018
Recreation, Parks and Tourism Administration BS	1978	2021
Rehabilitation Counselor Education Program, CORE	not specified	2020
School Counseling; Career Counseling; Marital, Couple and Family Counseling, Therapy, EDC	2006	reaccreditation pending
School Psychology, EDS	2001	2018
Single Subject BCLAD Emphasis (Bilingual Authorization, BMED	1975	2018
Single Subject, BMED	not specified	2018
Single Subject, EDTE	not specified	2018
Social Science BA	not specified ~1992	2018
Social Work BA, MSW	1966	2017
Speech Pathology MS	1985	2019

California State University, San Bernardino

Program	First Granted	Renewal Date
Accountancy MS	2009	2018-19
Administration BA	1994	2018-19
Administration BS	1994	2018-19
Art BA	1983	2021-22
Business Administration MBA	1994	2018-19
Chemistry BS	1970	2016-17
Computer Engineering BS	2014	2020-21
Computer Science BS	1988	2020-21
Education Credential MA, MS	2002	2018-19
Health Science BS	2009	2019-20
Health Science, Environmental Health BS	2004	2018-19
Information Systems and Technology BS	2015	2018-29
Music BA	2003	2021-22
Nursing BS	1984	2017-18
Nursing MS	1984	2017-18
Nutrition and Food Sciences BS	1989	2017-18
Public Administration MPA	1989	2017-18
Robert and Frances Fullerton Museum of Art	2008	2022-23
Rehabilitation Counseling MA	1988	2018-19
Social Work BA	2006	2017-18
Social Work MSW	1993	2021-22
Theatre Arts BA	2004	2016-17

San Diego State University

Program	First Granted	Renewal Date
School of Accountancy BS, MS	1979	2017-2018
Administration, Rehabilitation and Postsecondary Education MS	1978	2022
Aerospace Engineering BS	1964	2021-2022
Art BA, MA, MFA	1975	2022
Art–Interior Design BA, MA, MFA	1984	2018
College of Business Administration BA, BS, MBA, MS, MBA/JD	1955	2018
Chemistry BS	1950	2017
Civil Engineering BS	1964	2021-2022
Computer Engineering BS	2004	2021-2022
Computer Science BS	1994	2018
Construction Engineering BS	2009	2021-2022
Education MA	1998	2018
Electrical Engineering BS	1964	2021-2022
Environmental Engineering BS	2004	2021-2022
Exercise and Nutritional Sciences BS, DPT	before 1961, 2000	2019, 2021-2022
Health Management and Policy division in the Graduate School of Public Health BS, MS, MSW, MPH, PhD	1983	2019
Journalism and Media Studies BA, MA	1971-1978 and 1985-1997	2021
Marriage and Family Therapy MS	2009	2022
Mechanical Engineering BS	1964	2021-2022
Nursing BS, MS	not specified, 1998, 1953, 2001	2020
Nutrition BS, DPD	~1980	2019
Policy Studies in Language and Cross-Cultural Education	1998	2018
Preventive Medicine Residency Certificate Program - SDSU/UCSD; Graduate School of Public Health MPH	1983	2017
Public Health, Graduate School MS, MPS, PhD	1983, 1985	2021
Recreation and Tourism Management	1981	2012, Not seeking reaccreditation

San Diego (continued)

Program	First Granted	Renewal Date
School Counseling, School Psychology MA, MS, EdS	1998, 1989	2018, 2023
Social Work BA	1974	2018
Social Work MSW, MPH, MSW/JD	1966	2018
Special Education MA	1998	2018
Speech, Language, and Hearing Sciences– Audiology Program AuD	2006	2019
Speech, Language, and Hearing Sciences– Speech-Language Pathology Credential MA, AuD	1979	2017
Speech, Language, and Hearing Sciences– Speech-Language Pathology Program PhD	1987	2019
Teacher Education BA, MA	1998	2018

San Francisco State University

Program	First Granted	Renewal Date
Accountancy MS	1979	2019
Apparel Design and Merchandising BS	2003	2023
Art BA	1983	2023
Art MA	1983	2023
Art MFA	1983	2023
Business Administration BS	1963	2019
Business Administration MBA	1963	2019
Cinema BA	1983	2023
Cinema MFA	1983	2023
Cinema Studies MA	1983	2023
Civil Engineering BS	1988	2018
Clinical Laboratory Science Graduate Internship Program	1977	2019
Clinical Rehabilitation and Mental Health Counseling		
Communicative Disorders MS	1971	In Progress
Counseling MS	1978	2018
Didactic Program in Dietetics BS	1987	2019
Dietetics BS and Graduate Internship Program	1991	2019
Drama BA	1982	2021
Drama MA	1982	2021
Education MA	1954	Discontinued
Electrical Engineering BS	1988	2018
Family and Consumer Sciences BA	2003	2023
Health Education BS	2009	In Progress
Hospitality and Tourism Management BS	1990	2019
Interior Design BS	2003	2023
Journalism BA	1985	2020
Mechanical Engineering BS	1988	2021
Music BA	1963	In Progress
Music BM	1963	In Progress
Music MA	1963	In Progress
Music MM	1963	In Progress
Nursing BS	2003	2023
Nursing MS	2003	2023

San Francisco (continued)

Program	First Granted	Renewal Date
Physical Therapy DPT	2001	2021
Public Administration MPA	2000	2021
Public Health MPH	2003	In Progress
Recreation, Parks and Tourism Administration BS	1990	2022
Social Work BA	1975	2018
Social Work MSW	1971	2018
Special Education MA and Concentration in PhD in Education	1954	Discontinued
Teacher Education Credential Program	1900	2020
Theatre Arts MFA: Concentration in Design and Technical Production	1982	2021

San José State University

Program	First Granted	Renewal Date
Accountancy MS	1964	2021
Advertising BS	1971	2016-2017
Aerospace Engineering BS	1991	2018
Art BA	1974	2020
Art BFA	1974	2020
Art MA	1974	2020
Athletic Training BS	not specified	2019
Biomedical Engineering BS	2011	2021-2022
Business Administration BS	1964	2021
Business Administration MBA	1973	2021
Chemical Engineering BS	1958	2018
Chemistry BS	not specified	
Child and Adolescent Development Counselor Education Credential	1958	2018
Civil Engineering BS	1958	2018
Communicative Disorders EDAU BA	1974, 1989	2018
Communicative Disorders EDAU MA	1989	2018
Computer Engineering BS	1958	2018
Computer Science BS	1996, 2001	2018
Counselor Education Credential	1958	2018
Dance BA	1987	2019
Dance BFA	1987	2019
Educational Leadership Credential	1958	2018
Electrical Engineering BS	1958	2018
Elementary Education Credential	1958	2018
General Engineering BS	1958	2018
Human Factors/Ergonomics MS	2014	2021
Industrial and Systems Engineering BS	1958	2018
Industrial Design BS	1974	2020
Industrial Technology BS	1980, 2010	2017
Journalism BS	1971	2016-2017
Kinesiology MS	1989	2019
Library Information Science	1969	2021
Materials Engineering BS	not specified	2018
Mechanical Engineering BS	1958	2018

San José (continued)

Program	First Granted	Renewal Date
Music BA	1958	2017
Music BM	1958	2017
Music MA	1958	2017
Nursing BS	not specified	not specified
Nursing MS	1959, 1998	2017
Nutritional Science BS –Dietetics	1986	2017
Occupational Therapy MS	1991	2025-2026
Public Administration MPA	1988	2017
Public Health MPH	1974, 1976	2020
Public Relations BS	1971	2016-2017
Pupil Personnel Services Credential	1958	2018
Recreation BS	1987	2020
Secondary Education Credential	1958	2018
Social Work BS	1977	2023
Social Work MS	1977	2023
Special Education Credential	1958	2018
Speech Pathology Credential	1958	2018
Taxation MS	1964	2021
Teacher/Librarian Services Credential	1958	2018
Theatre Arts BA	1982	2018
Transportation Management MS	1964	2021
Urban Planning MUP	1972, 1988	2017-2018

California Polytechnic State University, San Luis Obispo

Program	First Granted	Renewal Date
Accounting MS	2014	2018
Aerospace Engineering BS	1969	2021
Architectural Engineering BS	1975	2021
Architecture BArch	1980	2017
Art and Design BFA	1995	2016-17
Biomedical Engineering BS	2015 retroactive to 2012	2021
Bioresource and Agricultural Engineering BS	1973	2021
Business Administration BS	1981	2018
Business Administration MBA	1981	2018
Business and Technology MS	2014	2018
City and Regional Planning BS	1973	2019
City and Regional Planning MCRP	1993	2019
Civil Engineering BS	1973	2021
Computer Engineering BS	1997	2017
Computer Science BS	1986	2021
Construction Management BS	1978	2020
Economics BS	1981	2018
Electrical Engineering BS	1969	2021
Engineering Management MBA/MS	2014	2018
Environmental Engineering BS	1971	2021
Forestry and Natural Resources BS	1994	2024
Graphic Communication BS	2003	2022
Industrial Engineering BS	1969	2021
Industrial Technology BS	1974	2020
Landscape Architecture BLA	1975	2020
Manufacturing Engineering BS	1997	2021
Materials Engineering BS	1971	2021
Mechanical Engineering BS	1969	2021
Music BA	2003	2018-19
Nutrition BS–Applied Nutrition Concentration	2005	2023
Recreation, Parks, and Tourism Administration BS	1986	2018
Software Engineering BS	2007	2021

California State University San Marcos

Program	First Granted	Renewal Date
Education MA	1995	2022
Nursing BS	2008	2023
Nursing MS	2012	2017
Social Work MSW	2016	2020
Speech Language Pathology MS	2015	2019

Sonoma State University

Program	First Granted	Renewal Date
Art BA	1982	2019-20
Art History BA	1982	2019-20
Business Administration BS, MBA	2007	2016-17
Counseling MA	1984	2016-17
Education MA	2005	2019-20
Music BA	1972	2016-17
Nursing BS, MS	1974	2020-21

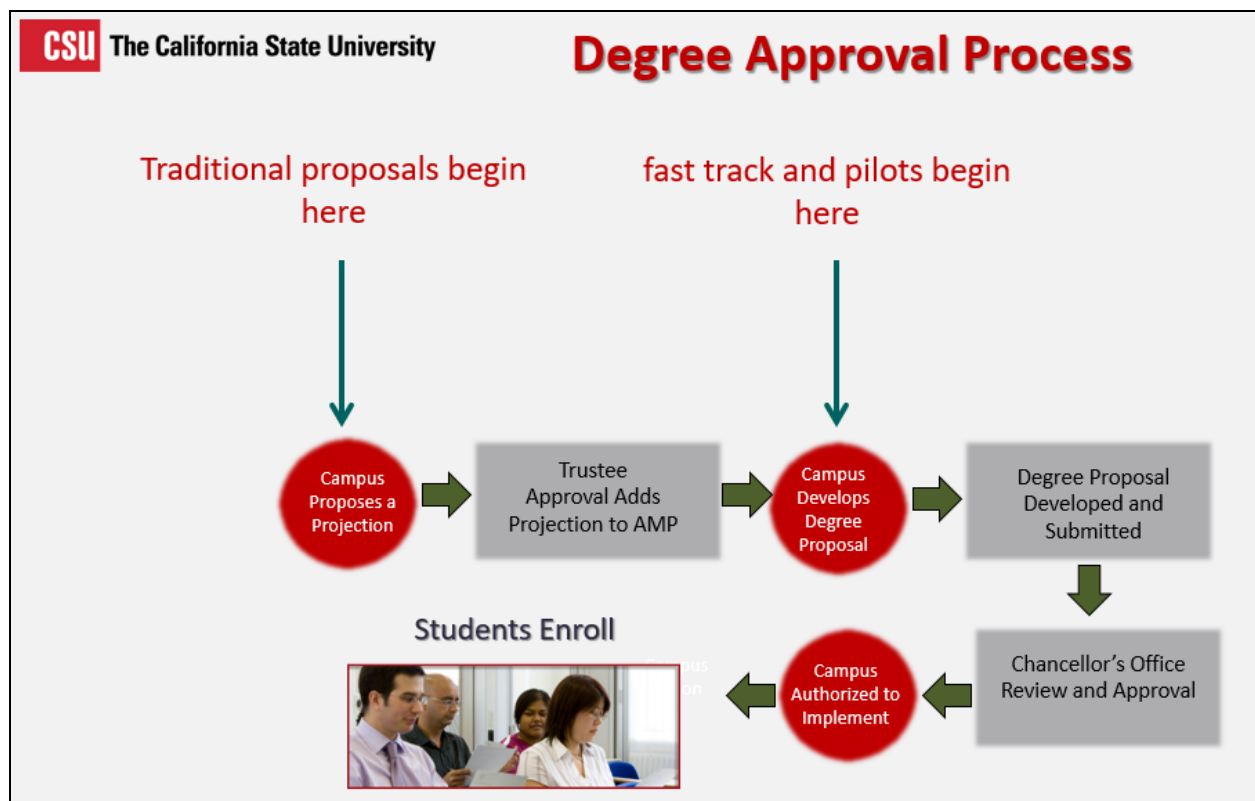
California State University, Stanislaus

Program	First Granted	Renewal Date
Art BA	1983	2019-20
Art BFA	1983	2019-20
Business BS	2003	2017-18
Business MBA	2003	2017-18
Business MS	2003	2017-18
Education MA	1991	2017-18
Genetic Counseling MS	2008	2016-17
Music BA	1981	2022-23
Music BM	1981	2022-23
Nursing BS	1986	2019-20 2016-17
Nursing MS	2010	2016-17
Public Administration MPA	1982	2016-17
Social Work MSW	1998, retroactive to class of 1996	2017-18
Theatre Arts BA	1983	2022-23

CSU Degree Proposal, Review and Approval Process

The CSU degree planning process begins with campus departmental plans and ends with a campus enrolling students in the program. Along the way, plans are subjected to review and approval by the campus, the board and the Chancellor's Office. Campuses may pursue one of three approaches to proposal review and approval, depending on the kind of program envisioned. The approaches are: (1) the traditional process, (2) the fast-track process, or (3) the pilot process. Each process will be explained in this review. The traditional process is shown in Illustration 1 below.

Illustration 1.



The Traditional Process

Degree Projections

The traditional process begins with degree projections. Each January, campuses submit projection proposals to the Chancellor's Office for preliminary review. These proposals are very general, long-term plans to develop and implement a degree program, to the Chancellor's Office for preliminary review. Projection proposals must make a supportable case that the desired degree program will meet the following criteria in order to obtain a Chancellor's Office recommendation for board approval at the March trustees meeting.

Chancellor's Office Projection Review Criteria (All Degree Levels)

1. Degree designation and title (e.g., BS Biochemistry);
2. Date approved by the academic senate;
3. Projected implementation date;
4. Delivery mode: fully face-to-face, hybrid or fully online program;
5. A brief summary of the purpose and characteristics of the proposed degree program;
6. Support mode: state-support or self-support/extended education;
7. Anticipated student demand;
8. Workforce demands and employment opportunities for graduates;
9. Other relevant societal needs;
10. An assessment of the required resources and a campus commitment to allocating those resources; and
11. As applicable:
 - a. If the projection is a pilot program, the academic years during which the program will operate in pilot status.
 - b. For new degree programs that are not already offered in the CSU, a compelling rationale explaining how the proposed subject area constitutes a coherent, integrated degree program that has potential value to students and meets CSU requirements for an academic program at the undergraduate or graduate level.

Additional Criteria for Projected Bachelor's Degree Programs

Projected bachelor's degrees are general, characterized by breadth and are as enduring as possible in content and title. Graduate programs are more appropriately specialized.

Resource: http://www.calstate.edu/APP/documents/Breadth_EPR85_13.pdf.

Additional Criteria for Projected Graduate Degree Programs

Master's degree programs should be projected only when the sponsoring department is well established and has achieved a level of quality that has been affirmed by a program review or in subjects for which national accreditation, including review by a visiting team, is available. Further requirements of new graduate programs include that:

1. They include at least five full-time faculty with the appropriate terminal degree;
2. The programs have enrollment sufficient to support offering at least four graduate-level courses each year;
3. Evidence is provided that the department can support the level of research required of a graduate program; and
4. Not less than one half of the units required for the degree shall be in courses organized primarily for graduate students.

Resources:

http://www.calstate.edu/APP/documents/Graduate_Level_EPR_82_39.pdf and

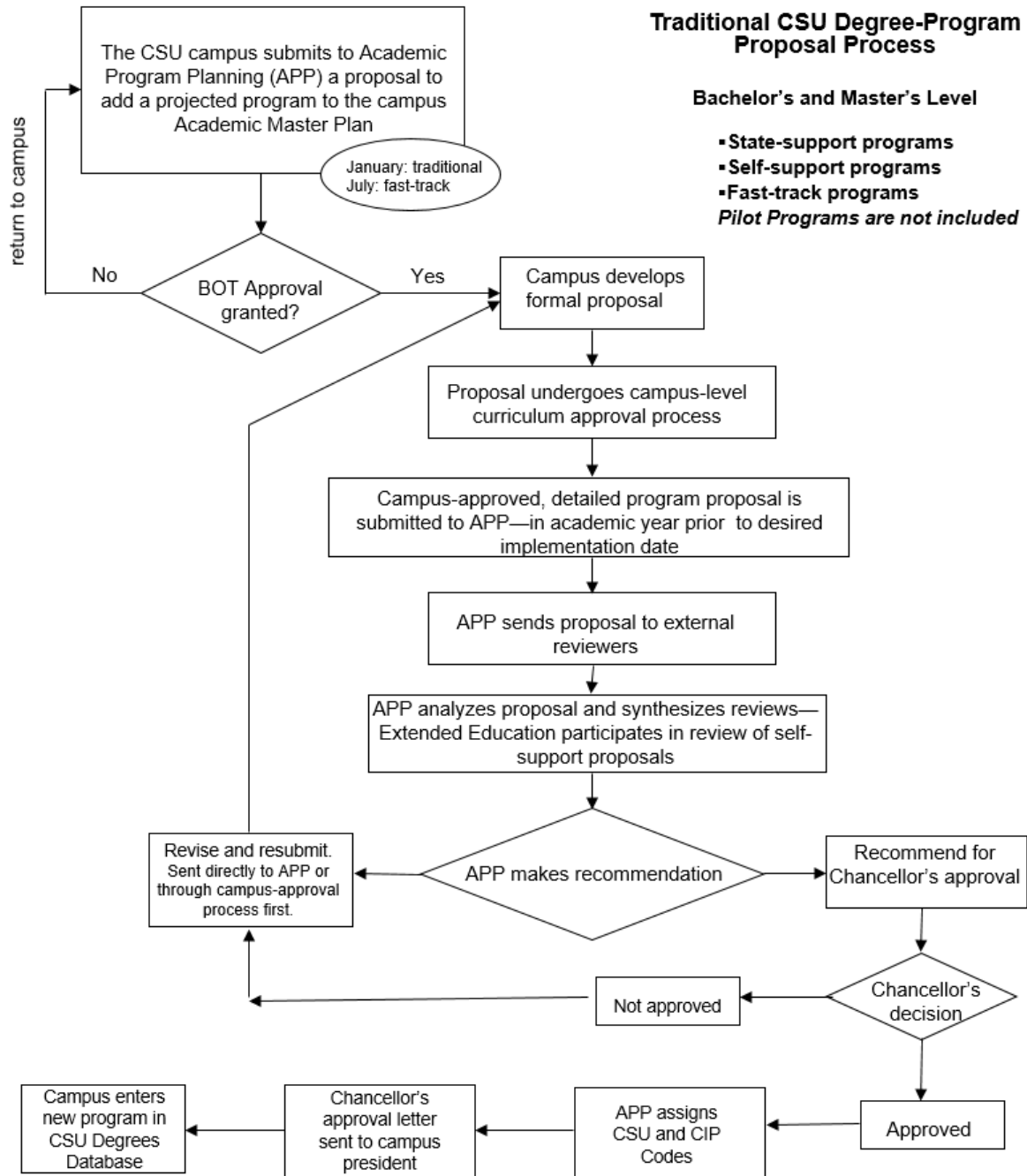
http://www.calstate.edu/APP/documents/AAP_91_04_Recommendations_Graduate_Education.pdf.

Degree Program Proposal Development and Review Process

Subsequent to obtaining board approval for a proposed projection, the campus may begin developing a full detailed degree proposal, which must obtain campus approvals before being subjected to a system-level review. Campus-approved degree proposals are reviewed by Chancellor's Office staff, who have faculty experience and curriculum-development and review experience. Additionally, as needed, external experts review degree programs that have highly specialized curricular requirements. It is not unusual for the Chancellor's Office to request modifications to the degree requirements or the assessment plans during the review process. Proposals must obtain the chancellor's approval before the degree program can be implemented and enroll students. All degree program proposals are governed by California Education Code sections and systemwide policy, including Title 5 regulations, executive orders and coded memoranda.

Illustration 2, which appears on the next page, depicts the process for the "traditional degree program proposal process." This process is used for bachelor's, master's, and doctoral programs that will be run either through state support or through self-support extended education. In this process, the full degree proposal is submitted to the Chancellor's Office a year ahead of planned implementation.

Illustration 2



Degree Proposal Review Criteria

During the proposal review process, reviewers evaluate proposed programs and resources according to these review criteria.

Faculty

Does the faculty appear qualified to offer this program and at this level? Does the faculty expertise span all appropriate specializations, and are there sufficient faculty members for the projected size of the program? Do they appear to have appropriate research or professional experience? Are the arrangements for administering the program sufficient to ensure that it will operate effectively?

Curriculum

Does the curriculum have appropriate breadth, depth, and coherence for an undergraduate or a graduate program in this field? Is it up-to-date, incorporating the most recent developments in the field? Is it consistent with any pertinent recommendations of professional organizations? Is it responsive to employment opportunities for graduates? If it is a baccalaureate program, would it constitute desirable preparation for graduate or doctoral study in the fields indicated in the proposal? Does the proposed bachelor's degree meet the applicable Bachelor of Arts (BA) and Bachelor of Science (BS) requirements established in Title 5? If the proposal is for a BA or BS degree, does it require no more than 120 units, or does the proposal provide a well-defended rationale for exceeding the Title 5 limit of 120 units for BA and BS degrees? Does the graduate program meet Title 5 section 40510 Master's Degree requirements?

Resources

Does the description of facilities, equipment and information resources indicate that the campus has the resources (or reliable access to resources) that will be needed for a high-quality program? If not, what information would be minimally necessary to assure that the resources are adequate? For self-support programs, does the budget contain 3-to-5 years of operation, showing multiple cohorts? Does it show full cost recovery, and are the student costs within market ranges for similar extension programs?

Assessment of Program Quality and Student Learning

Does the proposal provide an assessment plan that identifies program and student learning goals? Do the student learning outcomes match with the curriculum? Are goals measurable, and will the assessment process be manageable? Is the process meaningful, will assessment results be used to influence changes in the curriculum or pedagogy?

State Need and Student Demand

Is a program of this kind needed in California? Is there convincing evidence provided in the proposal to demonstrate student interest in the program and employer demand for graduates? Are the sources of information on need current and credible? Is the information on need for the program is not adequate, what other information might we suggest that the campuses include in the proposal?

Multi-Year Cost-Recovery Budget (for self-support programs)

Does the budget include sufficient years to follow multiple cohorts? Is an appropriate level of student attrition built in? Are costs related to hybrid or online delivery and technical support included for programs not offered entirely in face-to-face mode?

The Fast Track Process: Combined Projection and Proposal

To review, in the traditional proposal process, a campus requests trustee approval to include a projection in the campus academic plan. Subsequent to trustee approval of the projection, the campus may begin developing a degree proposal that will be submitted to the Chancellor's Office for system-level review and approval. In the traditional process, proposals are to be submitted in the academic year preceding planned implementation.

As adopted by the Board in July 1997, a "fast-track" process shortens the time to implementation by allowing proposals to be submitted at the same time that the projection is proposed to the trustees. Fast-track proposals still undergo system-level review, and the fast track does not move the proposal through an expedited review process.

Fast-Track Criteria

To be proposed via fast-track, a degree program must meet all of the following six criteria:

1. The proposed program could be offered at a high level of quality by the campus within the campus's existing resource base, or there is a demonstrated capacity to fund the program on a self-support basis.
2. The proposed program is not subject to specialized accreditation by an agency that is a member of the Association of Specialized and Professional Accreditors, or it is currently offered as an option or concentration that is already recognized and accredited by an appropriate specialized accrediting agency.
3. The proposed program can be adequately housed without a major capital outlay project.
4. It is consistent with all existing state and federal law and trustee policy.
5. It is either a bachelor's or master's degree program.
6. The proposed program has been subject to a thorough campus review and approval process.

Fast-Track Timelines

We expect that fast-track proposals that are submitted to the Chancellor's Office, Office of Academic Planning, by the first Monday in January and raise no major issues can be acted on by the Board of Trustees in March, sent through system-level review, and could receive Chancellor's Office approval in July.

Those proposals that are submitted by the second Monday in June and raise no major issues can be acted on by the Board of Trustees in September, sent through system-level review, and could receive Chancellor's Office approval in December.

Submitting Fast-Track Proposals

When submitting an update to the campus academic plan, the campus notes any fast-track degree proposals and includes a very brief description of the program and a rationale for offering it through the fast-track process.

The policy is available at: http://www.calstate.edu/app/documents/Fast_Track_Pilot_Programs.pdf

The Pilot Degree Program Proposal Process

In support of the CSU tradition of experimentation in the planning and offering of degree programs, trustee policy established in July 1997 that a limited number of proposals that meet fast-track criteria may be implemented as 5-year "pilot programs" without prior review approval by the chancellor. Instead, staff conduct a review to confirm that all applicable policy requirements have been met. For self-support pilot programs, Chancellor's Office staff review proposed projected budgets to ensure all costs will be recovered through student fees and without relying on state funds.

Pilot-Program Criteria

Pilot degree programs must meet all of the following six criteria:

1. The proposed program could be offered at a high level of quality by the campus within the campus's existing resource base, or there is a demonstrated capacity to fund the program on a self-support basis.
2. The proposed program is not subject to specialized accreditation by an agency that is a member of the Association of Specialized and Professional Accreditors, or it is currently offered as an option or concentration that is already recognized and accredited by an appropriate specialized accrediting agency.
3. The proposed program can be adequately housed without a major capital outlay project.

4. It is consistent with all existing state and federal law and trustee policy.
5. It is either a bachelor's or master's degree program.
6. The proposed program has been subject to a thorough campus review and approval process.

Pilot Program Implementation Procedures

1. Prior to implementation, the campus is obligated to (1) notify the Chancellor's Office of plans to establish the program, (2) to provide a program description and list of curricular requirements, and (3) to confirm that each of the six pilot criteria apply to the pilot program.
2. While Chancellor's Office approval is not required, a pilot-program must be acknowledged by the Chancellor's Office before the program is implemented.
3. A campus may implement a pilot program without first proposing the projection on the campus Academic Plan. In such cases, the program will be identified as a pilot program in the next annual update of the campus Academic Plan.

Pilot Operational Policy

1. A pilot program is authorized to operate only for five years.
2. If no further action is taken by the end of the five years, no new students can be admitted to the pilot program.
3. The campus is obliged to make appropriate arrangements for students already enrolled to complete the program.

Pilot Conversion Procedures

For the program to continue beyond the five-year limit, the campus must propose to the Chancellor's Office converting the program from pilot to regular status. A pilot program could be converted to regular-program status and approved to continue to operate indefinitely if the following conditions are met:

1. The campus committed the resources necessary to maintain the program beyond five years;
2. A thorough program evaluation (including an on-site review by one or more experts in the field) showed the program to be of high quality, to be attractive to students and to produce graduates attractive to prospective employers and/or graduate programs, as appropriate; and
3. Approval by the Chancellor is required after review and comment by the Chancellor's Office.

COMMITTEE ON EDUCATIONAL POLICY

Recommended Amendments to Title 5 Regarding Degree Requirements, Admission and Transfer

Presentation By

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Executive Vice Chancellor
Academic and Student Affairs

Christine Mallon
Assistant Vice Chancellor
Academic Programs and Faculty Development

Summary

Title 5 amendments introduced at this meeting and presented for board action at the May 2017 meeting will ensure equity and even-handed application of degree completion requirements consistent with legislation and board policy. These proposed amendments fall into four areas:

1. Doctor of Audiology Degrees
2. Facilitating Degree Completion: Bachelor of Arts Degrees
3. Facilitating Transfer and Degree Completion: Lower-Division Transfer Patterns
4. Facilitating Transfer and Degree Completion: Student Transfer Achievement Reform Act

Background

1. Doctor of Audiology Degrees

With the passage of Assembly Bill 2317 (Mullin) in 2016, California Education Code section 66041 authorizes the California State University (CSU) to award Doctor of Audiology (AuD) degrees, which are to focus on preparing audiologists to provide health care services. Audiologists prevent, diagnose and treat hearing, auditory and balance disorders across the lifespan, including providing routine neonatal hearing screening tests.

This item proposes adopting AuD admission requirements, degree program scope, degree requirements and CSU AuD authority. These amendments mirror analogous regulations governing CSU Doctor of Education, Doctor of Nursing Practice, and Doctor of Physical Therapy degree programs.

Four new Title 5 sections are proposed:

- **40050.4** Function: Instruction Leading to the Doctor of Audiology Degree.
- **40517.** The Doctor of Audiology Degree.
- **40518.** The Doctor of Audiology Degree Requirements.
- **41023.** Admission to Doctor of Audiology Programs.

An item will be presented at the May 2017 meeting for board action to adopt the following recommended changes to Title 5.

Title 5, California Code of Regulations
Division 5 – Board of Trustees of the California State Universities
Chapter 1 – California State University
Subchapter – 2 Educational Programs
Article 1 – General Function
The Doctor of Audiology Degree
§ 40050.4 Function: Instruction Leading to the Doctor of Audiology Degree.

Notwithstanding Section 40050, the Doctor of Audiology degree may be awarded independently of any other institution of higher education, provided that the program leading to the degree satisfies the criteria in section 40517.

NOTE: Authority cited: Sections 66041, 66600, 89030 and 89035, Education Code. Reference: Sections 66041, 89030 and 66600, Education Code.

Title 5, California Code of Regulations
Division 5 – Board of Trustees of the California State Universities
Chapter 1 – California State University
Subchapter – 2 Educational Programs
Article 7 – Graduate Degrees
The Doctor of Audiology Degree
§ 40517. The Doctor of Audiology Degree.

(a) A California State University program leading to a Doctor of Audiology degree may be offered independently of any other institution of higher education. California State University Doctor of Audiology programs shall:

(1) provide curriculum grounded in evidence-based practice;
(2) prepare graduates to enter the field of audiology practice; and
(3) be consistent with the requirements of a professional accrediting body and California state licensure laws.

(b) Each campus offering a program leading to a Doctor of Audiology degree shall establish requirements for admission to the program. The requirements for admission shall include, at a minimum, the requirements stated in Section 41023.

(c) The program leading to the Doctor of Audiology degree shall conform to the following specifications:

(1) The curriculum shall include learning experiences that balance research, theory, clinical education and practice. The core curriculum shall provide professional preparation focusing on critical thinking and decision making, including but not limited to: foundational sciences, clinical sciences and behavioral sciences; professional practice; patient/client management; and practice management.

(2) The postbaccalaureate pattern of study shall be composed of at least 110 semester units (165 quarter units) earned in graduate standing. All semester/quarter units required for the degree shall be in courses organized primarily for doctoral students.

(3) At least 75 semester (112 quarter units) shall be completed in residence at the campus awarding the degree. At the discretion of the appropriate campus authority, courses required for California State University Doctor of Audiology programs that are completed at another CSU campus may apply toward the residency requirement at the CSU campus that awards the degree.

(4) A qualifying assessment shall be required.

(5) The pattern of study shall include successful completion of a doctoral project that is expected to contribute to knowledge in hearing science or to an improvement in audiology practice, policy or client outcomes.

(A) The doctoral project shall demonstrate the student's doctoral-level mastery of research skills, hearing science and/or current evidence-based practice. It shall demonstrate critical and independent thinking and a command of the research literature.

(B) The written component of the doctoral project shall demonstrate originality, evidencing critical and independent thinking. It shall be organized in an appropriate form and shall identify the research problem and question(s), state the major theoretical perspectives, explain the significance of the undertaking, relate it to the relevant scholarly and professional literature, identify the methods of gathering and analyzing the data, analyze and interpret data and offer a conclusion or recommendation.

(C) An oral defense or presentation of the doctoral project may be required.

(D) No more than eight semester units (12 quarter units) shall be allowed for the doctoral project.

NOTE: Authority cited: Sections 66041, 66600, 89030, and 89035, Education Code.

Reference: Sections 66041, 66600, 89030, and 89035, Education Code.

Title 5, California Code of Regulations
Division 5 – Board of Trustees of the California State Universities
Chapter 1 – California State University
Subchapter – 2 Educational Programs
Article 7 – Graduate Degrees
§ 40518. The Doctor of Audiology Degree Requirements.

(a) Advancement to Candidacy. For advancement to candidacy for the Doctor of Audiology degree, the student shall have achieved classified graduate standing and met such particular requirements as the chancellor and appropriate campus authority may prescribe. The requirements shall include a qualifying doctoral assessment.

(b) To be eligible for the Doctor of Audiology degree, the candidate shall have completed a program of study that includes: a qualifying examination or other qualifying doctoral assessment, and a doctoral project that is consistent with the specifications in section 40517 and is approved by the appropriate campus authority. A grade point average of 3.0 (grade of B) or better shall have been earned in aggregate in courses taken to satisfy the requirements for the degree, except that a course in which no letter grade is assigned shall not be used in computing the grade point average.

(c) The student shall have completed all requirements for the degree within five years of achieving classified standing in the doctoral program. The appropriate campus authority may extend the time for completion of the requirements if:

(1) the student is in good standing,

(2) the extension is warranted by compelling individual circumstances, and

(3) the student demonstrates current knowledge of research and practice in audiology, as required by the campus.

NOTE: Authority cited: Sections 66041, 66600, 89030, and 89035, Education Code.

Reference: 66041, 66600, 89030, and 89035, Education Code.

Title 5, California Code of Regulations
Division 5 – Board of Trustees of the California State Universities
Chapter 1 – California State University
Subchapter – 3 Admission Requirements
Article 8 – Admission of Post Baccalaureate and Graduate Students
The Doctor of Audiology Degree
§ 41023. Admission to Doctor of Audiology Programs.

(a) An applicant may be admitted with classified graduate standing to a program leading to a Doctor of Audiology degree established pursuant to Section 40517 if the applicant satisfies the requirements of each of the following numbered subdivisions:

(1) The applicant holds an acceptable baccalaureate degree earned at an institution accredited by a regional accrediting association or the applicant has completed equivalent academic preparation as determined by the appropriate campus authority.

(2) The applicant has an overall cumulative grade point average of at least 3.00 in upper-division baccalaureate study, postbaccalaureate and master's study combined.

(3) The student has completed all campus-required prerequisite coursework.

(4) The applicant must have been in good academic standing at the last institution.

(5) The applicant has met any additional requirements established by the chancellor in consultation with the faculty and any additional requirements prescribed by the appropriate campus authority.

Note: Authority cited: Sections 66041, 66600, 89030, and 89035, Education Code.

Reference: Sections 66041, 66600, 89030, and 89035, Education Code.

2. Facilitating Degree Completion: Bachelor of Arts (BA) Degrees

In accordance with Coded Memorandum AA-2005-21 *Facilitating Graduation* and board resolution REP 05-05-04 *Achieving the Baccalaureate Degree*, an amendment is proposed to Title 5 section 40500. The change would maintain the required 12 upper-division major units for the BA degree, while striking the required overall 40 upper-division units. CSU Chico brought to our attention that they would like to have the discretion to graduate students who have earned 39 overall upper-division credits (13 three-unit courses) in BA degree programs, which Title 5 does not currently permit. Removing the 40-unit minimum will give campuses the discretion to determine the appropriate number of upper-division units in their particular BA degree requirements.

The proposed amendment would make BA requirements consistent with the Bachelor of Science (BS) requirements specified in section 40501, which does not stipulate an overall upper-division unit requirement. While BA and BS degrees are most similar in structure and variability of disciplines, there is also no overall upper-division requirement for these CSU degrees: Bachelor of Architecture, Bachelor of Fine Arts, Bachelor of Landscape

Architecture and Bachelor of Music. Additionally there are no upper-division major requirements specified for the Bachelor of Fine Arts and Bachelor of Music degrees.

In deciding upper-division requirements for BA and BS degrees, campuses are encouraged to practice “efficiency in program design” as called for in the 2005 coded memorandum and 2005 board resolution on facilitating graduation. Campuses are also advised and expected to ensure ongoing “meaning, quality and integrity” of the degree, as required by the Western Association of Schools and Colleges, our regional accreditor. Faculty are encouraged to set upper-division degree requirements based on sound pedagogical reasons.

An item will be presented at the May 2017 meeting for board action to adopt the following recommended changes to Title 5.

Title 5, California Code of Regulations
Division 5 – Board of Trustees of the California State Universities
Chapter 1 – California State University
Subchapter 2 – Educational Program
Article 6 – Undergraduate Degrees
§ 40500. Bachelor of Arts Degree: Required Curriculum.

To be eligible for the Bachelor of Arts degree, the candidate shall have completed the following requirements:

(a) General Education-Breadth Requirements. The courses in General Education-Breadth Requirements shall be distributed in the manner prescribed in Sections 40405-40405.4.

(b) Major 24 semester units (36 quarter units).

There shall be one major with a minimum of 24 semester units (36 quarter units). At least 12 semester units (18 quarter units) in the major shall be upper division courses or their equivalent. The maximum number of units shall be determined by the campus.

(c) Additional Units. Units to complete the total required for the degree may be used as electives or to meet other requirements.

(d) Total. For candidates electing, pursuant to Section 40401, to meet graduation requirements established prior to the 2000-01 academic year, the total semester units required for the Bachelor of Arts Degree, ~~of which at least 40 (60 quarter units) shall be in the upper division credit,~~ shall be 124 semester units (186 quarter units). For candidates for the Bachelor of Arts degree who are meeting graduation requirements established from the 2000-01 and through the 2013-14 academic years, a minimum of 120 semester units (180 quarter units) shall be required, ~~including at least 40 semester units (60 quarter units) in upper division courses or their equivalent.~~ For candidates for the Bachelor of Arts degree who are meeting graduation

requirements established during or after the 2014-15 academic year, no fewer and no more than 120 semester units shall be required, ~~including at least 40 semester units in upper-division courses or their equivalent~~, unless the Chancellor grants an exception.

Note: Authority cited: Section 89030, Education Code. Reference: Section 89030, Education Code.

3. Facilitating Transfer and Degree Completion: Lower-Division Transfer Patterns

Adopted by board action in 2004 (REP 07-04-04), Title 5 sections 40530, 40531 and 40532 provide a policy framework for establishing lower-division transfer patterns (LDTP), which were to be efficient transfer pathways from California Community College (CCC) campuses to the completion of CSU degree programs. These three LDTP Title 5 sections are proposed for repeal because LDTP pathways were rendered obsolete when Senate Bill 1440 (Padilla) *The Student Transfer Achievement Reform Act* (or STAR Act) was signed into law in 2010, creating Associate Degrees for Transfer.

The following sections are proposed for repeal:

- **40530. Definitions.**
- **40531. Development of Systemwide Lower-Division Transfer Patterns by Major.**
- **40532. Development of Campus-Specific Lower-Division Transfer Patterns by Major.**

An item will be presented at the May 2017 meeting for board action to adopt the following recommended changes to Title 5.

Title 5, California Code of Regulations
Division 5 – Board of Trustees of the California State Universities
Chapter 1 – California State University
Subchapter 2 – Educational Program
~~Article 9 – Lower-Division Transfer Patterns by Major~~
~~§ 40530. Definitions.~~

~~(a) The term “systemwide lower-division transfer pattern by major” means a set of lower-division curricular specifications comprising at least 45 semester units but no more than 60 semester units that will be accepted at every CSU campus offering a program leading to that degree and major. Each unit that a student completes in the systemwide lower-division transfer pattern by major reduces by one unit the total number of units that the student must complete to earn that degree with that major. A systemwide lower-division transfer pattern~~

by major will ordinarily include courses that fulfill General Education Breadth or Intersegmental General Education Transfer Curriculum requirements; United States History, Constitution, and American Ideals requirements; and major-specific, lower-division requirements. A course in a systemwide lower-division transfer pattern by major may contribute to completion of more than one requirement.

(b) The term “campus-specific lower-division transfer pattern by major” means a set of lower-division curricular specifications beyond the systemwide lower-division transfer pattern by major, comprising units that will be accepted at a particular CSU campus offering a program leading to that degree and major. Each unit that a student completes in the campus-specific lower-division transfer pattern by major reduces by one unit the total number of units that the student must complete to earn that degree with that major.

(c) For purposes of this article, majors are distinguished by the CSU program code assigned to them and the degrees to which they lead.

(d) For purposes of this article, a major will be considered high-priority if it meets criteria established by the Chancellor. In establishing criteria, the Chancellor shall consider (1) the number of CSU campuses at which the major is offered and (2) the proportion of the undergraduate student body enrolled in the major at the CSU campuses offering that major.

Note: Authority cited: Section 89030, Education Code. Reference: Section 89030, Education Code.

Title 5, California Code of Regulations
Division 5 – Board of Trustees of the California State Universities
Chapter 1 – California State University
Subchapter 2 – Educational Program
Article 9 – Lower-Division Transfer Patterns by Major
§ 40531. Development of Systemwide Lower-Division Transfer Patterns by Major.

(a) A systemwide lower-division transfer pattern by major shall be established for each high-priority major. The Chancellor, in consultation with the Academic Senate of the California State University, shall develop procedures for establishing systemwide lower-division transfer patterns by major. The procedures shall include extensive participation of faculty members in the major. The procedures shall encourage the development of systemwide lower-division transfer patterns by major that are consistent with, but not necessarily identical to, the recommended lower-division course-taking patterns of CSU first-time freshmen.

(b) If a degree and major frequently incorporate options or concentrations that would individually meet the criteria established for high-priority majors, the procedures shall allow

~~for the development of a distinct systemwide lower division transfer pattern by major for each of those common options or concentrations.~~

~~Note: Authority cited: Section 89030, Education Code. Reference: Section 89030, Education Code.~~

**Title 5, California Code of Regulations
Division 5 – Board of Trustees of the California State Universities
Chapter 1 – California State University
Subchapter 2 – Educational Program
Article 9 – Lower Division Transfer Patterns by Major**

~~§ 40532. Development of Campus-Specific Lower Division Transfer Patterns by Major.~~

~~Each CSU campus shall develop a campus specific lower division transfer pattern by major for each high priority major it offers. The number of semester units in the systemwide lower division transfer pattern by major plus the number of semester units in the campus specific lower division transfer pattern by major shall be no fewer than 60 and no more than 70. The procedures shall encourage the development of campus specific lower division transfer patterns by major that, in combination with the corresponding systemwide lower division transfer patterns by major, are consistent with, but not necessarily identical to, the recommended lower division course taking patterns of CSU first time freshmen.~~

~~Note: Authority cited: Section 89030, Education Code. Reference: Section 89030, Education Code.~~

4. Facilitating Transfer and Degree Completion: Regulations Affected by the Student Transfer Achievement Reform Act (SB 1440, the STAR Act)

Chapered into legislation as California Education Code sections 66745 through 66749, the STAR Act requires the CCC and the CSU systems to collaborate on the creation of specific degree transfer pathways. The resulting program provides community college students a direct path to the CSU, beginning with completing 60 required units of a CSU-approved community college Associate Degree for Transfer. This guarantees admission to the CSU, in which completion of a specified additional 60 units will result in earning a bachelor's degree. Adoption of the STAR Act of 2010 and amendments of 2013 necessitate Title 5 changes, which will: (1) allow the CSU to admit or redirect CCC transfer students according to STAR Act requirements; and (2) ensure equity for transfer students and CSU students.

Sections proposed for amendments include:

- **40601.** Particular Terms
- **40803.** Applicants Who Are California Residents and Who Have Completed the Prescribed Number of Units of College Credit
- **40804.** Applicants Who Were Eligible for Admission As First-Time Freshmen and Who Have Completed Fewer Than the Prescribed Number of Units of College Credit
- **40804.1** Applicants Who Were Ineligible for Admission As First-Time Freshmen for Failure to Meet Course Requirements and Who Have Completed Fewer Than the Prescribed Number of Units of College Credit.
- **40806.** Other Applicants.
- **40900.** General Exceptions.
- **40901.** Exceptions for Applicants to Special Compensatory Programs

Section 40601

While the CSU has an enrollment management policy adopted by the Board of Trustees in 2002 that defines the term “impaction” and specifies its use, this term is not currently defined in Title 5. Impaction has, however, been adopted in the Education Code, making it appropriate for the term to be included in the Title 5 section 40601 list of “particular terms.” This proposed amendment will bring use of the term “impaction” into alignment with California Education Code section 89030.5. Additional changes clarify how an eligibility index is determined. Clean-up language specifies effective dates for these changes, and updates terms for college-readiness examinations—from the previously named “American College Testing” assessment and “Scholastic Aptitude Test” to the “ACT” and “SAT,” respectively.

An item will be presented at the May 2017 meeting for board action to adopt the following recommended changes to Title 5.

Title 5, California Code of Regulations
Division 5 – Board of Trustees of the California State Universities
Chapter 1 – California State University
Subchapter 3 – Admission Requirements
Article 1 – Construction and Definitions
§ 40601. Particular Terms.

The following terms, whenever used or referred to in this subchapter, shall have the following meanings, respectively, unless a different meaning appears from the context:

- (a) The term “Chancellor” means the Chancellor of the California State University or designee.
- (b) The term “the campus” means the campus to which application for admission is made.
- (c) The term “appropriate campus authority” means the president of the campus or designee.
- (d) The term “college” means:

(1) Any institution of higher learning ~~which~~ that is accredited to offer work leading to the degree of Bachelor of Arts or to the degree of Bachelor of Science, by the applicable regional accrediting agency recognized by the United States Department of Education, except an institution which is accredited only as a “specialized institution”;

(2) Any foreign institution of higher learning which, in the judgment of the Chancellor, offers course work equivalent to that offered by institutions included within subdivision (d)(1) of this section.

(e) The term “application” means the submission to the campus, by the person applying for admission, of all documents, including official transcripts of all the applicants’ academic records and information ~~which~~ that the applicant is required to personally submit, and the payment of any application fee due, pursuant to Section 41800.1.

~~(1) For admissions prior to fall term 2004, that number derived from a weighted combination of the grade point average for the final three years of high school or of the grade point average for the final three years of high school excluding the final year or final term thereof, and in any case excluding courses in physical education and military science, and the score on either the American College Test or the Scholastic Aptitude Test pursuant to Section 40752 or Section 40802; such weighing of grade point averages and test scores shall be determined and adjusted by the chancellor on the basis of the probability of academic success in the California State University.~~

(f) The term “eligibility index” means ~~(2) For admissions commencing with fall term 2004,~~ the number derived for admission determination, from a weighted combination of the grade point average for courses taken in the comprehensive pattern of college preparatory subjects during the final three years of high school, and the score on either

the ~~American College Test~~ ACT Examination or the ~~Scholastic Aptitude Test~~ SAT Examination (examinations), pursuant to Title 5 Section 40752 or Section 40802; ~~such w~~ The weighting of grade point averages and test scores shall be determined and adjusted from time to time by the Chancellor on the basis of standards defined by a California higher education eligibility study. ~~the probability of academic success in the California State University.~~

(g) The term “good standing at the last college attended” means that at the time of application for admission and at the time of admission, the applicant was not under disciplinary or academic suspension, dismissal, expulsion or similar action by the last college attended and was not under disciplinary suspension, dismissal, expulsion or similar action at any institution of ~~the~~ California State University.

(h) The term “first-time freshman” means an applicant who has earned college credit not later than the end of the summer immediately following high school graduation or an applicant who has not earned any college credit.

(i) The term “undergraduate transfer” means any person who is not a first-time freshman pursuant to Section 40601(h), and who does not hold a baccalaureate degree from any college.

(j) The term “full-time student” means any student whose program while in attendance at a college averaged twelve or more semester units per semester, or the equivalent.

(k) The term “resident” shall have the same meaning as does the same term in Section 68017 of the Education Code, and shall include all persons so treated by the provisions of that section.

(l) The term “unit” means a semester unit within the meaning of Section 40103, or the equivalent thereof.

(m) The term “transferable” when used in connection with college units, college credit or college work, shall mean those college units, credit or work which are determined to be acceptable (either for specific requirements or as electives) toward meeting the requirements of a baccalaureate degree. The Chancellor is authorized to establish and from time to time to revise procedures for the implementation of this subdivision.

(n) ~~For admissions prior to fall term 2003, the term “comprehensive pattern of college preparatory subjects” means four years of English, three years of mathematics, one year of United States history or United States history and government, one year of~~

~~laboratory science, two years of foreign language, one year of visual and performing arts, and three years of electives from any combination of English, mathematics, social science, history, laboratory science, foreign language, visual and performing arts, and other fields of study determined by the Chancellor to be appropriate preparation for California State University study.~~

~~(e)(n) Commencing with admissions for the fall term 2003,~~ The term “comprehensive pattern of college preparatory subjects” means, in each area of study, at least four years of English, three years of mathematics, two years of history or social science, two years of laboratory science, two years of foreign language, one year of visual and performing arts, and one year of electives from any combination of English, mathematics, social science, history, laboratory science, foreign language, visual and performing arts, and other fields of study determined by the Chancellor to be appropriate preparation for California State University study.

(o) The terms “impacted campus” or “impacted programs” at any campus mean that the number of applications from eligible applicants received during the initial application filing period exceeds the number of available admission spaces.

(p) The terms “redirection” or “redirect” refer to the responsibility of each CSU campus that opens to receive new undergraduate applications for any given term to admit eligible transfer applicants with Associate Degrees for Transfer or to forward their application to another CSU campus with the capacity to admit.

Note: Authority cited: Section 89030, Education Code. Reference: Section 89030, 89030.5, Education Code.

Section 40803

Section 40803 specifies conditions for undergraduate transfer to the CSU and stipulates that higher admission criteria may be established for impacted programs or campuses. Amendments to this section stipulate the conditions under which an applicant qualifies for transfer admission and under which an eligible Associate Degree for Transfer student will be assigned conditional admission priority.

This section also defines the academic standards transfer applicants must meet to be admissible to the CSU. To ensure that our exacting admission standards are interpreted equitably for all prospective students—high school applicants and applicants from colleges and other universities—this amendment establishes a systemwide minimum-grade admission threshold for four general education courses already listed as transfer admission criteria. When CSU campuses evaluate the transcripts of high-school graduates applying to enter our universities as first-time freshmen, grades are normed by suppressing the “+” and “-” designations when considering completion of college preparatory courses. A technical specification is introduced in this section,

so that grades in specific courses required for transfer applicants will be evaluated in the same manner as the course grades of high school applicants.

To protect the rigor of CSU admission criteria, these existing transfer requirements will be maintained: (1) Applicants must have a grade point average (GPA) of C or better—in the aggregate—across all transferable college courses attempted; (2) applicants must be in good standing at the last college attended; and (3) applicants must have “satisfactorily completed” general education basic skills courses in oral communication, written communication, critical thinking and quantitative reasoning. To bring the evaluation of transfer applicants in line with the process used for high school applicants, an amendment specifies that these basic skills courses, often referred to as the “Golden Four,” must be completed with no lower than a C- grade in order to satisfy CSU admission requirements. Clarifying this C- floor for “satisfactory completion” places all grades along the C continuum (and higher) specifically within the range required for transfer admission and places transfer applicants on a level playing field with first-time freshman applicants. In effect, this change puts in place the grade norming used in evaluating first-time freshman applicants. While ensuring equitable interpretation of qualifying minimum admission grades, this systemwide standard also affirms the importance of basic skills courses, holding them to a higher standard than other transfer courses, which are simply factored into the overall GPA requirement for admission purposes and for which a D- might otherwise be considered satisfactory completion.

An item will be presented at the May 2017 meeting for board action to adopt the following recommended changes to Title 5.

Title 5, California Code of Regulations
Division 5 – Board of Trustees of the California State Universities
Chapter 1 – California State University
Subchapter 3 – Admission Requirements
Article 5 – Admission as an Undergraduate Transfer
§ 40803. Applicants Who Are California Residents and Who Have Completed the
Prescribed Number of Units of College Credit

(a) An applicant who is a resident of California may be admitted to a campus as an undergraduate transfer ~~upon satisfaction of the requirements of subdivisions (1), (2), and (4) or~~ (1), (3), and (4), as appropriate:

~~(1) Commencing with admissions to the fall term 2000, the applicant has completed satisfactorily at least 30 semester (45 quarter) units in courses at a level at least equivalent to General Education Breadth courses, including courses in written communication in the~~

~~English language, oral communication in the English language, critical thinking, and mathematics and quantitative reasoning;~~

~~(2) For admission prior to fall term 2005, the applicant has attained a grade point average of 2.0 (grade of C) or better in at least 56 semester (84 quarter) units of transferable college credit;~~

~~(3) Commencing with admission to the fall term 2005, the applicant has attained a grade point average of 2.0 (grade of C) or better in at least 60 semester (90 quarter) units of transferable college credit;~~

~~(4) The applicant was in good standing at the last college attended.~~

~~(b) Commencing with admission to the fall term 2006, an applicant who has attended a California community college and who has committed to a major and campus of the California State University before earning more than 45 semester (68 quarter) units will receive the highest priority for admission to that campus and major if the applicant has completed successfully the systemwide lower-division transfer pattern for that major and the campus-specific lower-division transfer pattern for that major and campus, as defined in Section 40530. "Highest priority" as used herein means a guarantee of admission subject to enrollment demand, available space, and satisfactory completion of any impact criteria for that campus and major.~~

~~if the applicant:~~

~~(a) has completed with a grade of C- or better: courses in written communication in the English language; oral communication in the English language; critical thinking, and mathematics or quantitative reasoning at a level satisfying general education requirements;~~

~~(b) has completed at least 60 semester (90 quarter) units of transferable college credit, of which 30 semester (45 quarter) units are at a level equivalent to general education breadth courses;~~

~~(c) has attained a grade point average of 2.0 (grade of C) or better in all transferable college courses attempted; and~~

~~(d) is in good standing at the last college attended.~~

Impacted campuses or programs may require supplemental admission criteria, including an overall higher grade point average and/or the completion of additional specified courses.

Eligible students who meet the above admission requirements and who earn an appropriate Associate Degree for Transfer from a California Community College will receive a guarantee of admission with junior status to the California State University, but

not to any particular campus or academic program. Students admitted with an Associate Degree for Transfer will receive priority over all other community college transfer students and will have priority for admission to a program or major that is similar to his or her community college major or area of emphasis, as determined by the campus to which the student is admitted.

NOTE: Authority cited: Section 66746, 66747, 89030, Education Code. Reference: Section 89030, Education Code.

Sections 40804 and 40804.1

Proposed adjustments to sections 40804 and 40804.1 specify the conditions under which exceptions may be permitted for transfer applicants who have earned fewer than 60 transferable units. Commonly referred to as “lower-division transfers,” these students are admitted when impactation is not in place, or by admission exception. Lower-division transfers fall into two types: (1) they may have been eligible for admission as first-time freshmen (addressed in section 40804); or (2) they may have achieved first-time freshmen eligibility later, based on academic work completed after high school graduation (addressed in section 40804.1). For both types of lower-division transfers, the proposed amendments require completion of a course in written communication and a course in mathematics or quantitative reasoning—each with a grade of C- or better, in keeping with the change to section 40803.

These changes will codify what is already admission practice at some CSU campuses, serving as part of an overall enrollment management strategy. The requirement ensures that these transfer students, at entry, have demonstrated baccalaureate proficiency in writing and quantitative reasoning, thereby making those two basic skills courses more available to freshmen students, whose progress depends on early successful completion of these foundational courses.

An item will be presented at the May 2017 meeting for board action to adopt the following recommended changes to Title 5.

Title 5, California Code of Regulations
Division 5 – Board of Trustees of the California State Universities
Chapter 1 – California State University
Subchapter 3 – Admission Requirements

Article 5 – Admission as an Undergraduate Transfer

§ 40804. Applicants Who Were Eligible for Admission As First-Time Freshmen and Who Have Completed Fewer Than the Prescribed Number of Units of College Credit

~~An~~ Subject to restrictions on admission due to impaction, an applicant who has completed fewer than ~~56 semester (84 quarter) units of college credit for admission prior to fall term 2005 and fewer than 60 semester (90 quarter) units of college credit commencing with admission to the fall 2005 term~~ may be admitted to a campus as an undergraduate transfer upon satisfaction of the requirements of each of the following lettered subdivisions:

- (a) The applicant was eligible for admission to a campus as a first-time freshman, either
 - (1) on the basis of the admission requirements in effect at the time of the application, other than the provisions of Sections 40757, 40758, 40900, or 40901, and including satisfactory completion of the comprehensive pattern of college preparatory subjects as defined in subsection (n) of Section 40601 or an alternative program determined by the Chancellor to be equivalent; or
 - (2) on the basis of the admission requirements in effect at the time of the applicant's graduation from high school, other than the provisions of Sections 40757, 40758, 40900, or 40901, including satisfactory completion of any college preparatory course requirements in effect at that time or an alternative program determined by the Chancellor to be equivalent, if the applicant has been in continuous attendance at a college since graduation;
- (b) The applicant shall have completed, with a grade of C- or better, a course in written communication in the English language and a course in mathematics or quantitative reasoning at a level satisfying CSU General Education Breadth Area A2 and B4 requirements, respectively.
- ~~(b)~~(c) The applicant has attained a grade point average of 2.0 (grade of C) or better in all transferable college units attempted; and
- ~~(c)~~(d) The applicant is in good standing at the last college attended.

NOTE: Authority cited: Section 89030, Education Code. Reference: Section 89030, Education Code.

Title 5, Education
Division 5 – Board of Trustees of the California State Universities
Chapter 1 – California State University
Subchapter 3 – Admission Requirements
Article 5 – Admission as an Undergraduate Transfer
§ 40804.1. Applicants Who Were Ineligible for Admission As First-Time
Freshmen for Failure to Meet Course Requirements and Who Have Completed
Fewer Than the Prescribed Number of Units of College Credit.

~~An~~ Subject to restrictions on admission due to impaction, an applicant who has completed ~~fewer than 56 semester (84 quarter) units of college credit for admission prior to fall term 2005 and fewer than 60 semester (90 quarter) units of college credit commencing with admission to the fall 2005 term~~ and who was not eligible for admission to a campus as a first-time freshman solely because of failure to complete satisfactorily the comprehensive pattern of college preparatory subjects defined in subdivision (n) of Section 40601 or an alternative program determined by the Chancellor to be equivalent may be admitted to a campus as an undergraduate transfer upon satisfaction of each of the following lettered subdivisions:

- (a) Except for satisfactory completion of the comprehensive pattern of college preparatory subjects defined in subdivision (n) of Section 40601 or an acceptable alternative program, the applicant who was eligible for admission to a campus as a first-time freshman, either
 - (1) on the basis of the admission requirements in effect at the time of the application, other than the provisions of Sections 40757, 40758, 40900, or 40901; or
 - (2) on the basis of the admission requirements in effect at the time of the applicant's graduation from high school, other than the provisions of Sections 40757, 40758, 40900, or 40901, if the applicant has been in continuous attendance at a college since graduation;
- (b) Subsequent to high school graduation, the applicant has completed satisfactorily whatever college preparatory course requirements were in effect at the time of the applicant's graduation from high school, or an alternative program determined by the Chancellor to be equivalent;
- (c) The applicant shall have completed, with a grade of C- or better, a course in

written communication in the English language and a course in mathematics or quantitative reasoning at a level satisfying CSU General Education Breadth Area A2 and B4 requirements, respectively.

~~(e)~~(d) The applicant has attained a grade point average of 2.0 (a grade of C) or better in all transferable college units attempted;

~~(d)~~(e) The applicant is in good academic standing at last college attended.

NOTE: Authority cited: Section 89030, Education Code. Reference: Section 89030, Education Code.

Sections 40806, 40900 and 40901

To achieve consistency with transfer units required for lower-division transfer, revision of three Title 5 sections is proposed to change the minimum number of transfer units required for admission from 56 to 60. Amendments are proposed for the following sections:

- **40806.** Other Applicants.
- **40900.** General Exceptions.
- **40901.** Exceptions for Applicants to Special Compensatory Programs.

An item will be presented at the May 2017 meeting for board action to adopt the following recommended changes to Title 5.

**Title 5, California Code of Regulations
Division 5 – Board of Trustees of the California State Universities
Chapter 1 – California State University
Subchapter 3 – Admission Requirements
Article 5 – Admission as an Undergraduate Transfer
§ 40806. Other Applicants.**

An applicant who does not meet the requirements of Sections 40803, 40804 and 40805, but who is eligible for admission as a first-time freshman on the basis of the admission requirements in effect at the time of the application for admission as an undergraduate transfer, other than the provisions of Section 40759, or who has completed ~~56 semester (84 quarter) units of transferable college credit for admission prior to fall term 2005 and 60 semester (90 quarter) units of transferable college credit commencing with admission to the fall 2005 term,~~ may be admitted to a campus as an undergraduate transfer, if in the judgment of the appropriate campus authority, the applicant can succeed at the campus, and:

(a) The applicant is in good academic standing at last college attended; and

(b) The admission status will be uniquely identified in the admission process.

NOTE: Authority cited: Sections 66600, 89030 and 89035, Education Code. Reference: Sections 66600 and 89030, Education Code.

**Title 5, California Code of Regulations
Division 5 – Board of Trustees of the California State Universities
Chapter 1–California State University
Subchapter 3–Admission Requirements
Article 6–Admission of Undergraduate Applicants Not Otherwise Eligible
§ 40900. General Exceptions.**

An applicant who is not otherwise eligible for admission as either a first-time freshman pursuant to Article 4 (commencing with Section 40751) or as a transfer student with fewer than ~~56 semester (84 quarter) units for admission prior to fall term 2005 and fewer than 60 semester (90 quarter) units commencing with admission to the fall 2005 term~~ pursuant to Article 5 (commencing with Section 40801) may be admitted to a campus provided that the number of applicants enrolled in ~~the~~ California State University pursuant to this Section for any college year shall not exceed ~~4% percent~~ of all undergraduate students who enrolled for the first time in ~~the~~ California State University during the previous college year exclusive of those who enrolled after being admitted under the provisions of this article. The Chancellor may prescribe, and may from time to time revise, procedures for the administration of this Section.

NOTE: Authority cited: Sections 66600, 89030 and 89035, Education Code. Reference: Sections 66600 and 89030, Education Code.

**Title 5, California Code of Regulations
Division 5 – Board of Trustees of the California State Universities
Chapter 1–California State University
Subchapter 3–Admission Requirements
Article 6–Admission of Undergraduate Applicants Not Otherwise Eligible
§ 40901. Exceptions for Applicants to Special Compensatory Programs.**

(a) An applicant who is not otherwise eligible for admissions either as a first-time freshman pursuant to Article 4 (commencing with Section 40751) or as a transfer student with fewer ~~56 semester (84 quarter) units for admission prior to fall term 2005 and fewer than 60 semester (90 quarter) units commencing with admission to the fall 2005 term~~ pursuant to Article 5 (commencing with Section 40801) may be admitted to a campus provided

that he or she is a disadvantaged applicant for whom special compensatory assistance is available, and provided further that the number of applicants enrolled in ~~T~~the California State University pursuant to this Section for any college year shall not exceed ~~4%~~ percent of all undergraduate students enrolled for the first time in ~~T~~the California State University during the previous college year exclusive of those who enrolled after being admitted under the provisions of this article. The Chancellor may establish, and may from time to time revise, procedures for the administration of this Section.

(b) As used in this Section, the term “disadvantaged applicant” means an applicant who comes from a low-income family; and who has the potential to perform satisfactorily on the college level; but who has been and appears to be unable to realize that potential without special assistance because of economic; or educational background.

NOTE: Authority cited: Section 89030, Education Code. Reference: Section 89030, Education Code.

COMMITTEE ON EDUCATIONAL POLICY

Academic Preparation

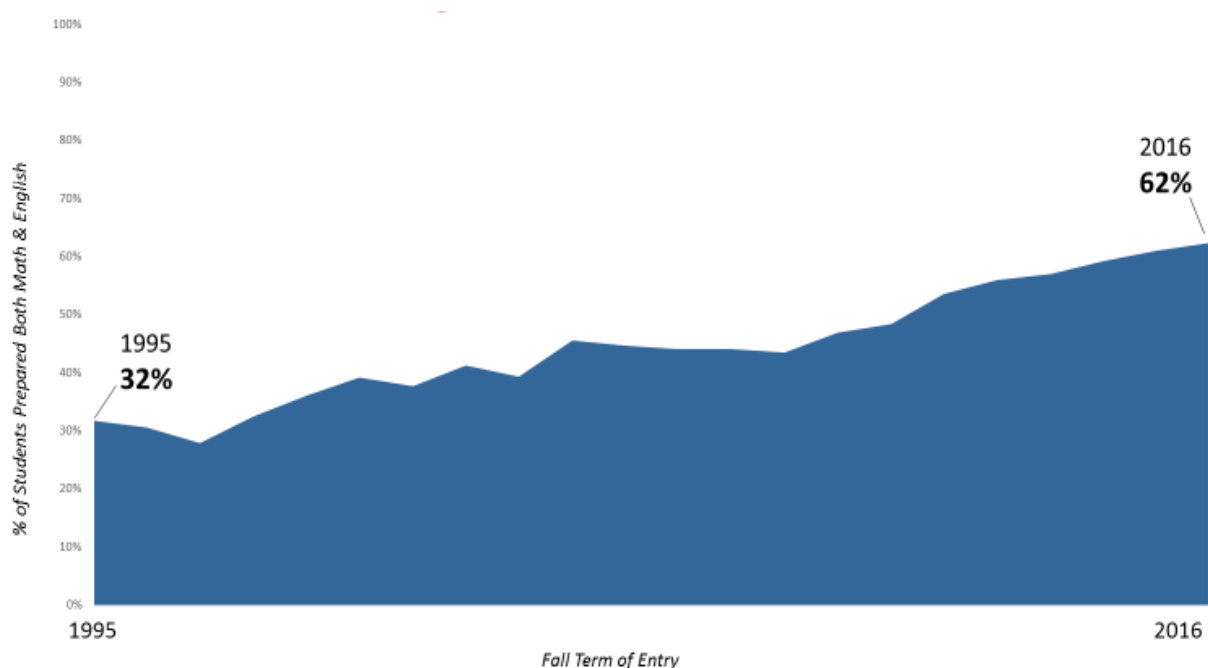
Presentation By

Loren J. Blanchard
Executive Vice Chancellor
Academic and Student Affairs

Background

The California State University has a long history of meeting students where they are and supporting them to degree completion. This includes work to improve new students' academic preparation and readiness in mathematics and English; foundational skills students need to be successful throughout their college experience.

Collection of CSU data on student preparation began with the fall 1995 entering class. Over the past 22 years, the percentage of students considered ready for college-level coursework in both English and mathematics upon entry at the CSU has nearly doubled, as demonstrated in the graph below.



These improvements are the result of the work by dedicated CSU faculty who have devoted their

careers to helping our most underprepared students achieve their academic goals. Through the Early Assessment Program (EAP), Early Start Program (ESP), strong partnerships with K-12 agencies and other academic preparation efforts, the CSU continues to provide students an opportunity to begin their first term of college better prepared for the academic challenges and rigor they will encounter throughout their time at the CSU.

This annual information item provides a progress update on academic preparation including an update on EAP efforts, data from the summer 2016 ESP, demonstrated proficiency of fall 2016 first-time freshmen, proficiency—measured one year later—of the fall 2015 freshmen needing additional preparation at entry and overall academic preparation trends.

While the data show overall improvements in college readiness, there are disparities by campus and by race and ethnicity which have a direct impact on progress to degree—and ultimately completion—for students from traditionally underserved communities. To achieve the Graduation Initiative 2025 goal of closing all equity gaps, we must address inequities in college readiness head-on to close gaps in degree attainment and afford all students the opportunity to succeed. This will require improvements to systemwide policies and programs, some of which are already underway.

Early Assessment Program

In 2001, the Early Assessment Program (EAP) was developed in collaboration with the State Board of Education, the California Department of Education (CDE) and the CSU in an effort to reduce the need for remediation of entering first-year students. The program provides students in their junior year of high school a measure of their readiness for college-level English and mathematics, and facilitates opportunities for them to improve their skills during their senior year.

2016 EAP Results

The use of the new Smarter Balanced Assessments starting in 2015 gives the CSU two years of data. While the results are positive, there is still much work to be done in the area of math. With the adoption of the new California state standards, the math curriculum saw the most significant changes. The CSU predicted that the initial number of ready students would decrease, but would begin to increase as students had more years of exposure to the new math. The first two years of data appear to demonstrate this upward direction.

During the spring of 2016, 434,097 students participated in the Smarter Balanced Assessments in English and 432,383 in mathematics. In English Language Arts, approximately 112,865 (26 percent) students demonstrated readiness, and an additional 143,252 (33 percent) demonstrated conditional readiness (based on EAP, ACT or SAT score a student is able to demonstrate readiness by successfully completing appropriate senior level coursework). 59 percent of students who participated in the Smarter Balanced Assessments were deemed ready for college level English

coursework. This represents an increase of three percentage points for students at the highest level of preparedness compared to the previous year while the percentage of conditionally ready students remained constant.

The results for mathematics also demonstrate an increase in college readiness at the highest level of preparedness. About 56,209 (13 percent) students were deemed college ready in mathematics, and an additional 86,476 (20 percent) were conditionally ready for a total of 33 percent. Mathematics-ready students increased by two percentage points from the previous year and conditionally ready students also increased by two percentage points from the previous year.

Communication

To facilitate students' understanding of their results, the CSU has worked with CDE to provide information regarding the EAP on the score report that students receive after participating in the Smarter Balanced Assessments. In addition, the CSU has created communication pieces for students and families. These materials are sent to every public and charter school in California with enough pieces for every 11th and 12th grade student. The CSU will continue to provide additional information and training to school personnel in the coming year.

Supplemental Preparation/Professional Learning

The Expository Reading and Writing Course (ERWC) is available to seniors at the majority of California high schools. The course focuses on the use of nonfiction and fiction text, both short journalistic pieces and full-length works and novels, emphasizing close reading, argument, critical thinking, rhetoric, and analytical writing. It is approved by both the University of California and the CSU as fulfilling the senior-level English course requirement. Fall 2016 freshmen demonstrating proficiency through completion of a senior-level English course rose to 9,885 from the previous high of 6,240 students in fall 2015.

Similarly, the Strengthening Mathematics Instruction (SMI) initiative provides professional learning for teachers to help students prepare for the quantitative reasoning and mathematics knowledge required for college-level work. The SMI components are aligned to the new California state standards. The CSU continues to support efforts to design a 12th grade mathematics course similar to the ERWC in collaboration with high school teachers, community college faculty and CSU faculty.

Community College EAP Participation

In 2010, the California Community Colleges (CCC) began accepting the CSU's EAP results on a volunteer basis. Currently more than 80 community colleges accept results in English and/or mathematics. Over the last year, the CSU has begun to provide training and information both at a

state level and regionally to community colleges that are using EAP results for placement purposes. As community colleges begin to understand how the CSU uses the new assessment, there will be an increase in the use of EAP to support students beginning their academic careers at the CCC.

Summer 2016 Early Start Program

The Early Start Program (ESP) officially began in summer 2012, following adoption at the March 2010 Board of Trustees meeting. First-time students who do not demonstrate college-readiness in mathematics and/or English are required to begin addressing these deficiencies in the summer before their first term. ESP courses provide foundational instruction for students in mathematics and English. Students choose from a 1-unit introductory course or a 3- or 4-unit course that provides more in-depth preparation.

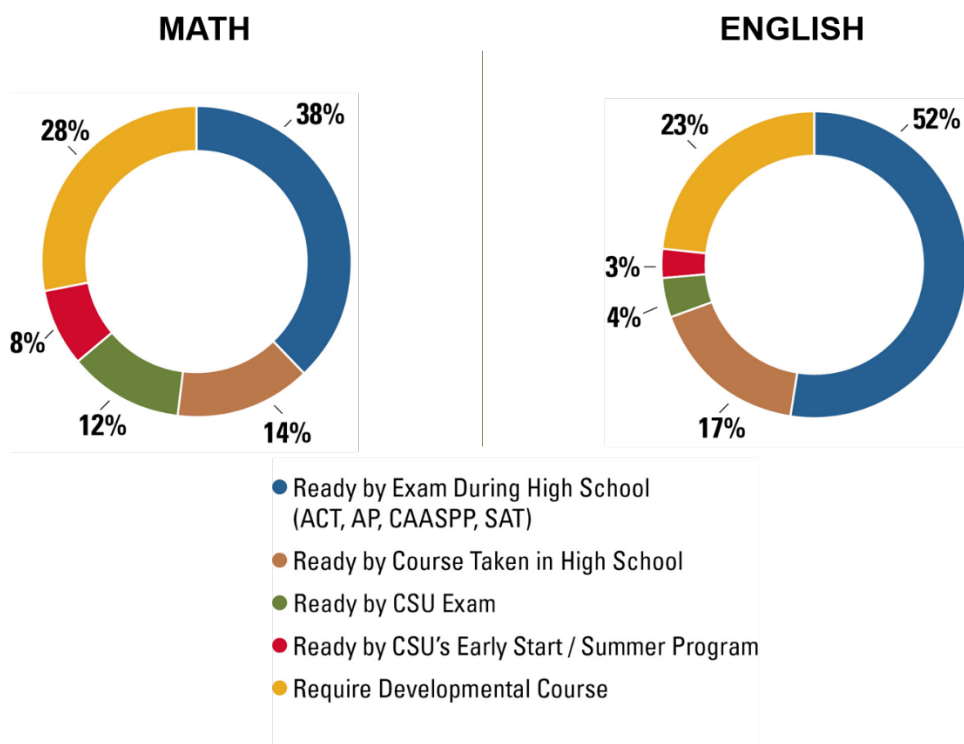
In fall 2016, just under 62,000 first-time students enrolled in the CSU and just under 25,000 of these students were required to participate in Early Start English (ESE) and/or Early Start mathematics (ESM). Slightly more than 9,500 participated in both. Eighty-six percent of ESP students enrolled at the campus from which they matriculated in the fall. The majority of students elected to take the 1-unit course (83 percent in English and 59 percent in mathematics).

Ninety-three percent of the 13,701 ESE enrolled students and ninety-four percent of the 20,648 ESM enrolled students satisfactorily met the ESP participation requirement. In addition to meeting the participation standard, nearly 2,000 finished their developmental college-preparation in English, and more than 5,000 finished their developmental college-preparation in mathematics as a result of summer 2016 ESP course completion.

Fall 2016 First-time Students Preparation at Entry

The CSU has long used multiple measures to assess readiness. Entering CSU first-time students have the opportunity to demonstrate readiness for college-level mathematics and English as early as their junior year of high school. Options include: EAP, standardized tests in high school, senior experiences augmenting testing in the junior year, Entry Level Mathematics (ELM) or English Placement Test (EPT) at the end of the senior year, high school coursework, college level coursework or ESP coursework. Chart 1 on the next page shows how the fall 2016 entering class demonstrated preparation in mathematics and English.

Chart 1: Multiple Measures



The entering class of fall 2016 was the best-prepared CSU class as it exited high school, up one percent from the previous year. Fifty-six percent graduated high school college-ready in both English and mathematics. Following summer 2016 ESP, 62 percent of the class were prepared for both college-level mathematics and English when they began their fall term, making this class also the best prepared CSU class at entry.

Despite our overall improvements in college readiness it is important to note that there are disparities by ethnicity-race which have a direct impact on progress to degree and ultimately completion for students from traditionally underserved communities. To successfully close all equity gaps, a central tenet of Graduation Initiative 2025, we must address inequities in college readiness.

The differences in preparation, as noted by ethnicity-race, are shown in Table 1 on the next page.

		Table 1: Preparation at Fall Entry				
Fall 2016 regularly admitted first-time Freshmen	Ethnicity - Race	Prepared Both	Needs additional English preparation only	Needs additional Math preparation only	Needs additional preparation in both English and Math	Grand Total
Head Count	American Indian or Alaska Native	85	8	20	24	137
	Asian or Pacific Islander	6,996	1,039	799	781	9,615
	Black or African American	1,041	232	603	666	2,542
	Hispanic / Latino	14,699	2,865	5,140	5,074	27,778
	White	10,775	594	1,234	596	13,199
	Two or More Races	2,383	141	388	239	3,151
	Unknown Race / Ethnicity	1,458	160	266	203	2,087
	Non-Resident Alien (Intl)	1,081	776	421	970	3,248
Total		38,518	5,815	8,871	8,553	61,757
Row Percent	American Indian or Alaska Native	62%	6%	15%	18%	100%
	Asian or Pacific Islander	73%	11%	8%	8%	100%
	Black or African American	41%	9%	24%	26%	100%
	Hispanic / Latino	53%	10%	19%	18%	100%
	White	82%	5%	9%	5%	100%
	Two or More Races	76%	4%	12%	8%	100%
	Unknown Race / Ethnicity	70%	8%	13%	10%	100%
	Non-Resident Alien (Intl)	33%	24%	13%	30%	100%
Total		62%	9%	14%	14%	100%

Fall 2015 First Time Student Cohort - Proficiency (One Year Later)

Of the regularly admitted first-time students who entered in fall 2015 needing additional preparation in English and/or mathematics, after completing their ESP requirement 83 percent (20,728 students) reached proficiency within one year. Four percent (1,001 students) failed to achieve proficiency in one or both subjects after their first year but were permitted by the campus to enroll in fall 2016. Thirteen percent (3,281 students) did not achieve proficiency in one or both subjects at the completion of their first year and were not allowed to re-enroll in fall 2016.

Academic Preparation Trends

The regularly admitted freshman class has grown from just under 55,000 students in 2011 to just under 62,000 students in fall 2016. The percentage of the entering freshmen class determined to be college-ready in both English and mathematics at the point of graduation from high school has increased from 52 percent (fall 2011) to 56 percent (fall 2016).

The Early Start Program provides our campuses with a final opportunity prior to the fall term to increase the number of first-time students prepared for college-level mathematics and English. In summer 2011, existing CSU programs improved proficiency in both English and mathematics by two percentage points, resulting in 54 percent of the 2011 CSU freshman class starting their first term college-ready in English and mathematics. Comparatively, summer 2016 Early Start courses increased proficiency in both English and mathematics by six percentage points, resulting in 62 percent of the entering freshman class prepared for college-level English and mathematics. Table 2 on the following page depicts these trends.

		Table 2: Preparation at Fall Entry				
Regularly admitted first-time freshmen	Cohort Entering	Prepared Both	Needs additional English preparation only	Needs additional Math preparation only	Needs additional preparation in both English and Math	Grand Total
Students	fall 2011	29,179	6,945	7,026	11,328	54,478
	fall 2012	30,924	7,641	6,085	11,042	55,692
	fall 2013	34,639	8,186	6,493	11,274	60,592
	fall 2014	37,249	8,362	6,729	10,600	62,940
	fall 2015	39,315	7,332	7,385	10,367	64,399
	fall 2016	38,518	5,815	8,871	8,553	61,757
		Preparation at Fall Entry				
Regularly admitted first-time freshmen	Cohort Entering	Prepared Both	Needs additional English preparation only	Needs additional Math preparation only	Needs additional preparation in both English and Math	Grand Total
Row Percent	fall 2011	54%	13%	13%	21%	100%
	fall 2012	56%	14%	11%	20%	100%
	fall 2013	57%	14%	11%	19%	100%
	Fall 2014	59%	13%	11%	17%	100%
	fall 2015	61%	11%	11%	16%	100%
	fall 2016	62%	9%	14%	14%	100%

Percentages subject to rounding.

Outcome by Preparation for Regularly Admitted First-Time Full-Time Students

Regularly admitted first-time full-time students fully prepared at high school graduation are the most likely to graduate in four, five and six years. Students who became college ready by the end of summer (through programs predating Early Start for the fall 2010 and 2011 cohorts plus Early Start for fall 2012) were more likely to graduate in a timely manner than those demonstrating preparation after fall entry, as demonstrated in Table 3.

Table 3: Retention and Graduation Rates by College Readiness

Cohort Entering	College Ready:	Initial Cohort	1yr Retention	2yr Retention	3yr Retention	Earned Degree in 4 yrs or less	Earned Degree in 5 yrs or less	Earned Degree in 6 yrs or less
Fall 2010	College Ready at HS end	22,074	88%	79%	75%	28%	57%	67%
	College Ready after Summer	556	87%	81%	75%	23%	53%	63%
	College Ready after Fall Term	13,759	90%	80%	75%	15%	47%	62%
	College Ready before following Fall	6,651	92%	81%	75%	7%	37%	55%
	Not College Ready after 1 year	3,393	21%	21%	20%	3%	8%	13%
	Total	46,433	84%	75%	71%	19%	48%	60%
Fall 2011	College Ready at HS end	27,786	88%	79%	74%	28%	57%	
	College Ready after Summer	819	92%	85%	78%	21%	54%	
	College Ready after Fall Term	13,873	90%	80%	75%	15%	46%	
	College Ready before following Fall	5,981	91%	80%	73%	7%	37%	
	Not College Ready after 1 year	4,402	32%	31%	29%	4%	14%	
	Total	52,861	84%	76%	71%	20%	48%	
Fall 2012	College Ready at HS end	28,882	89%	80%	75%	29%		
	College Ready after Summer	1,345	89%	80%	75%	22%		
	College Ready after Fall Term	14,669	90%	81%	75%	16%		
	College Ready before following Fall	5,556	92%	80%	73%	9%		
	Not College Ready after 1 year	3,431	27%	26%	23%	3%		
	Total	53,883	85%	77%	72%	21%		

Summary

Given the CSU's commitment to opportunity and excellence, we have an obligation to ensure that every student who is willing to work hard has the best possible chance to earn a degree. The data indicates that the Early Assessment Program and Early Start Program, in combination with other academic preparation efforts, continue to provide students with the opportunity to begin their first term better prepared for the academic rigor they will face at the CSU.

In order to build on this progress and achieve the Graduation Initiative 2025 goals of improving completion rates and closing equity gaps, we must improve our current systemwide policies, procedures and strategies related to academic preparation. The CSU will promote the completion of four years of coursework in mathematics and quantitative reasoning during high school, improve and expand our methods for assessing and placing students, strengthen our Early Start Program to include opportunities to complete college-level coursework and fundamentally restructure our approaches to developmental education. With continued consultation with faculty, staff and other stakeholders, we are now focused on advancing an enhanced set of academic preparation policies which will help more students effectively achieve CSU academic standards and position themselves for academic success and degree completion.

AGENDA

COMMITTEE ON FINANCE

Meeting: 8:00 a.m., Wednesday, March 22, 2017
Glenn S. Dumke Auditorium

Peter J. Taylor, Chair
Debra S. Farar, Vice Chair
Jane W. Carney
Adam Day
Jean P. Firstenberg
Hugo N. Morales
Lateefah Simon

Consent Items

Approval of Minutes of the Meeting of January 31, 2017

1. California State University Annual Debt Report, *Information*

Discussion Items

2. Report on Risk Management at the California State University, *Information*
3. Conceptual Approval of a Public-Private Partnership to Develop an Extended Learning and Student Services Project on Real Property Adjacent to California State University, San Marcos, *Action*
4. Tuition Increase Proposal for the 2017-2018 Academic Year, *Action*

MINUTES OF THE MEETING OF THE COMMITTEE ON FINANCE

**Trustees of the California State University
Office of the Chancellor
Glenn S. Dumke Conference Center
401 Golden Shore
Long Beach, California**

January 31, 2017

Members Present

Peter Taylor, Chair
Debra Farar, Vice Chair
Adam Day
Hugo N. Morales
Rebecca Eisen, Chair of the Board
Timothy P. White, Chancellor

Trustee Peter Taylor called the meeting to order.

Public Comments

Elizabeth Cabral, CSU Dominguez Hills student and John Walsh, CSU San Marcos student spoke about increasing student debt and urged the trustees not to increase tuition. Jose Guevarra, CSU Los Angeles student commented about the fear of undocumented students on campus, and urged administration to fight for the safety of all immigrant students. Dulce Lopez, student at CSU Dominguez Hills and Patrick Dorsey, Associated Students Inc. president at Sacramento State spoke about the struggle that students face daily in order to attend the university. They shared that many students already face homelessness and food insecurities, and raising tuition will only add to their problems. Jen McClellan, CSU Northridge student spoke about the importance of restoring state funding and rolling back tuition costs. She provided a roadmap to free education for the trustees' review and encouraged open dialogue. Jennifer Eagan, California Faculty Association president spoke about the decrease in public funding for the CSU and referred to a report issued by the CFA. She commented that not all students qualify for Cal Grants and will be affected by an increase in tuition. Antonio Gallo, CFA member from CSU Northridge spoke about the state's disinvestment from higher education and argued against raising tuition on students.

Approval of Minutes

The minutes of the November 15, 2016 meeting were approved as submitted.

Approval to Issue Trustees of the California State University Systemwide Revenue Bonds and Related Debt Instruments for Projects at California State University, Long Beach and California State Polytechnic University, Pomona

Trustee Taylor presented agenda item one as a consent action item. The committee recommended approval of the proposed resolution (RFIN 02-17-01).

Report on the Implementation of New Investment Authority

Trustee Taylor presented agenda item two as a consent information item.

Report on the 2017-2018 Support Budget

Assistant Vice Chancellor for Budget Ryan Storm provided an update on the state budget. The governor has proposed a \$157.2 million appropriation for the CSU, which is \$167.7 million less than what was requested by the board of trustees. This amount will only be enough to cover mandatory costs such as health benefits, and will not provide funding for many other trustee priorities. He stated it would be important to advocate for full state funding of the support budget request and highlighted a few of the methods available to advance advocacy efforts on behalf of the CSU.

Executive Vice Chancellor for Academic and Student Affairs Loren Blanchard also spoke about the importance of securing full funding of the CSU support budget, in particular for the goals of the Graduation Initiative 2025. The \$35 million one-time funding provided last year has allowed advancements in time to degree completion by providing additional course offerings and advising services to students. However, in order to continue making progress, funding cannot be one-time, but rather ongoing base support that will allow progress to continue.

CSU Chico President Gayle Hutchinson shared how the Chico campus has utilized its share of student success funding, including awarding \$500 winter session education grants for students to enroll in winter session classes.

Trustee Steven G. Stepanek asked what will be done with the Graduation Initiative work if full funding is not secured. Dr. Blanchard responded that it would not be possible to accomplish all of the goals and that efforts would need to be scaled down.

Trustee John Nilon asked how the CSU accounts for the possibility of declining state support when planning for the next year's budget. Executive Vice Chancellor and Chief Financial Officer Steve Relyea shared that planning by campus presidents and chief financial officers includes projecting revenues for current and future years as well as maintaining appropriate levels of reserves for capital and operational needs to help mitigate fluctuation in state appropriations.

Tuition Adjustment Proposal for the 2017-2018 Academic Year

Mr. Steve Relyea reiterated the importance of securing full support budget funding from the state. If full funding is not secured, decisions on what will and will not be funded will need to be made, including the possibility of increasing tuition. Mr. Ryan Storm shared that in order to meet statutory requirements, the tuition increase proposal was shared with the California State Student's Association in September 2016. He added that consultation with various other stakeholders has also occurred since then.

Mr. Storm next provided background on the CSU fee policy and the trustees' authority in setting systemwide tuition fees. He shared that revenue from the proposed tuition increase would be used to offer additional courses and hire more faculty and staff. He spoke about the potential effects of the increase on students. Mr. Storm also noted that if approved in March, the fee increase could be rescinded or reduced, once final state budget appropriations are known. Mr. Relyea concluded by re-enforcing the CSU's commitment to work in partnership with all stakeholders to secure full funding by the state of California.

Trustee Douglas Faigin noted that revenue from the proposed tuition increase would only cover about half of the support budget request and asked how the other half would be secured. Executive Vice Chancellor Relyea answered that CSU would look first to the state for funding, but ultimately if not successful, will need to make up the difference with the use of one-time funds and by cutting non-mandatory areas such as enrollment growth and new facility space. Funding priority would be given to mandatory costs and a portion of the Graduation Initiative.

Trustee Faigin requested information about the full cost of attendance for CSU students, including living expenses. Director of Student Financial Aid Services Dean Kulju provided estimates for students living on campus, off campus, and commuting from home. He also reviewed the various financial aid programs, grants, and loans that are available to students.

Trustee Faigin next asked what the plan is if in future years our budget request is not funded by the state. Mr. Relyea commented that the trustees have the option to adopt the recommendation of the Sustainable Financial Model task force, which calls for annual modest and predictable fee increases that would avoid large spikes in price and allow students and families to plan accordingly.

Trustee Stepanek commented that it was important to know that if approved in March, the decision to increase tuition could be revisited in the summer if full state funding is secured. He commented that the Academic Senate's stance is opposed to the tuition increase proposal, and places funding responsibility on the state. He shared that some senators agreed with the multi-year planned increases, while others called for a complete overhaul of the state tax code.

Trustee Silas Abrego asked if financial aid is capped by the state. Mr. Kulju responded that the state Cal Grant entitlement program prioritizes awards to students just out of high school, however for older and non-traditional students, available funding is less and far more competitive.

Mr. Storm added that the Cal Grant program is funded by the state general fund and has historically been fully funded, however increasing costs in Cal Grant programs will need to be balanced with all other funding priorities of the state.

In response to Trustee Abrego's question about how accountability for the Graduation Initiative will be handled, Jeff Gold, Assistant Vice Chancellor for Student Success Strategic Initiatives, shared that the six initiative goals serve as a high-level framework from which to track progress. Each campus is currently updating their student success plans, which will include trajectories that indicate the yearly progress needed in order for the campuses to meet their goals. The CSU Student Success Dashboard will also leverage predictive models to help campuses gauge their progress along the way.

Trustee Jorge Salinas expressed concern for the effect a tuition increase will have on students, especially those needing to take loans to pay for their education. He requested to hear alternative options before considering a tuition increase.

Trustee Rebecca Eisen commented that the Graduation Initiative is a worthwhile effort that will ultimately reduce a student's time at the university that will translate into savings for both the student and the state.

Lieutenant Governor Gavin Newsom commented about the elimination of the Middle Class Scholarship, and asked how many CSU students currently receive this award. Mr. Kulju responded that approximately 40,000 students are recipients. He added that the number is expected to drop by approximately one third because some students will reach the four year award limit on the program. In addition, the award is not guaranteed year to year, and requires students to re-apply and establish eligibility for the program each year.

Mr. Newsom asked if any increases in campus based fees are anticipated that may add to student expenses. Mr. Storm responded that it is unknown because the decision to raise these type of fees is made at the campus level and with student consultation.

In response to Trustee Maggie White's question, Mr. Kulju clarified that 61 percent of CSU students have their full tuition covered by grants and waivers, and that this percentage does not include any loans. Mr. Kulju provided additional information on the various loan programs and repayment interest rates.

Trustee Taylor spoke about the need to review other funding models which would allow for better planning by students and administration.

Chancellor Timothy White spoke of the value of quality higher education and the opportunities it creates for students in their lifetime. He commented that sacrifices are needed by all in order to achieve the greater good, which is graduating and preparing students to enter California's workforce.

Trustee Taylor adjourned the meeting on Finance Committee.

COMMITTEE ON FINANCE

California State University Annual Debt Report

Presentation By

Robert Eaton
Assistant Vice Chancellor
Financing, Treasury, and Risk Management

Summary

This item reports on the debt of the California State University Systemwide Revenue Bond program in accordance with the CSU Policy on Financing Activities (RFIN/CPBG 11-14-01).

Background

The Systemwide Revenue Bond (SRB) program, under the provisions and authorities of The State University Revenue Bond Act of 1947 (Education Code Sections 90010-90083), was established by the CSU Board of Trustees at its March 2002 meeting. Since the inception of the SRB program, the CSU Policy on Financing Activities has set forth the principles that serve as the basis for the SRB program and has provided the chancellor with authority to establish procedures for the management of the SRB program consistent with the board's objectives for the use of debt. In turn, the chancellor has established procedures through the issuance of executive orders. The current CSU Policy on Financing Activities (RFIN/CPBG 11-14-01) was amended by the board in November 2014 and is included as Attachment A. The current executive order governing the SRB program (Executive Order 994) is included as Attachment B.

The SRB program provides capital financing for projects of the CSU—student housing, parking, student union, health center, continuing education facilities, certain auxiliary projects, and other projects, including academic facilities – approved by the board. Revenues from these programs and revenues approved by the board, including CSU operating funds, are used to meet operational requirements for the projects and to pay debt service on the bonds issued to finance the projects. A strength of the SRB program is its consolidated pledge of gross revenues to the bondholders, which has resulted in strong credit ratings and low borrowing costs for the CSU.

Since the inception of the SRB program, the CSU has also issued commercial paper (CP) primarily to provide campuses with short term, lower cost capital financing on projects until long term bonds are sold. The CSU Institute, a systemwide auxiliary of the CSU, issues the CP, which is secured by Bond Anticipation Notes issued by the CSU. The CSU currently has a CP program in the amount of \$300 million, although both the board and the CSU Institute have authorized a CP program up to \$500 million. The CP program is supported by letters of credit from State Street and Wells Fargo N.A. that expire in July 2017.

SRB and CP Portfolio Profile

As of June 30, 2016 and December 31, 2016, the outstanding SRB debt of the CSU was approximately \$4.9 billion and approximately \$4.8 billion, respectively.

Key characteristics of the SRB portfolio are as follows:

Debt Ratings:	Aa2 (Moody's) AA- (Standard & Poor's)
Weighted Average Cost of Capital:	3.64%
Weighted Average Maturity:	15.9 Years
Interest Rate Mix:	95% Long Term Fixed Rate 5% Short Term Fixed Rate

As of December 31, 2016, outstanding CP was \$230.9 million at a weighted average interest rate of 0.73 percent

SRB Operating Performance and Debt Service Coverage Ratios

For the fiscal years ended June 30, 2014, June 30, 2015, and June 30, 2016, operating performance and debt service coverage ratios for the SRB program were as follows (amounts in millions):

	<u>June 30, 2014</u>	<u>June 30, 2015</u>	<u>June 30, 2016</u>
Operating Revenues ¹	\$1,571	\$1,701	\$4,852
Operating Expenses ¹	<u>1,122</u>	<u>1,232</u>	<u>1,502</u>
Net Revenues	449	469	3,350
Annual Debt Service	\$259	\$266	\$274
Debt Service Coverage ²	1.73	1.76	12.23

- (1) June 30, 2016 Operating Revenues and Operating Expenses reflect the addition of student tuition fee revenue to the SRB pledge of gross revenues and the addition of associated expenses, effective April 2016. Without these additions, debt service coverage for the year would have been 1.68.
- (2) The minimum benchmark for the system, as established by Executive Order 994, is 1.45.

Series 2016A and Series 2016B1-3 SRB Issuance

In April 2016, the CSU issued \$1,383,105,000 of Systemwide Revenue Bonds. Of this amount, \$729,160,000, including \$250,000,000 of three, five, and seven year put bonds (Series 2016B1-3), was issued to restructure State Public Works Board bond debt for cash flow savings. The remaining \$653,945,000 in bonds refinanced existing SRB debt, producing net present value savings of \$96 million, or 14 percent of the prior bonds. The refinancing of debt will save SRB programs across the system approximately \$5.7 million in combined cash flow per year.

Series 2017A, Series 2017B, and 2017C SRB Issuance

In March 2017 the CSU issued \$1,196,360,000 of SRBs (Series 2017A \$812,030,000 tax-exempt, Series 2017B \$335,155,000 taxable, and Series 2017C \$49,175,000 tax-exempt). Of this amount, \$1,080,470,000 was issued for new money projects at an all-in true interest cost of 3.72 percent. This new money component also included approximately \$576,000,000 for academic infrastructure and deferred maintenance. The CSU also issued \$115,890,000 in bonds to refund existing SRB debt, producing net present value savings of \$17.3 million. The refunding of debt will save SRB programs across the system approximately \$1.1 million in combined cash flow per year.

**CSU Policy for Financing Activities
Board of Trustees' Resolution
RFIN/CPBG 11-14-01**

WHEREAS, The Board of Trustees of the California State University ("the Board" or "the Trustees") finds it appropriate and necessary to use various debt financing programs afforded to it through the methods statutorily established by the legislature, and to use to its advantage those programs available to it through debt financing by recognized auxiliary organizations of the California State University; and

WHEREAS, The Board recognizes the capital needs of the CSU require the optimal use of all revenues to support its academic mission; and

WHEREAS, The Board wishes to establish and maintain policies that provide a framework for the approval of financing transactions for the various programs that enable appropriate oversight and approval by the Trustees; and

WHEREAS, Within a policy framework, the Board desires to establish appropriate delegations that enable the efficient and timely execution of financing transactions for the CSU and its recognized auxiliary organizations in good standing; and

WHEREAS, The Board recognizes that there is a need from time to time to take advantage of rapidly changing market conditions by implementing refinancings or restructurings; and

WHEREAS, The Board finds it appropriate to use the limited debt capacity of the CSU in the most prudent manner; and

WHEREAS, There are certain aspects of the tax law related to the reimbursement of up-front expenses from tax-exempt financing proceeds that would be more appropriately satisfied through a delegation to the Chancellor without affecting the Trustees' ultimate approval process for such financings; now, therefore be it

RESOLVED, by the Board of Trustees of the California State University as follows:

Section 1. General Financing Policies

1.1 The State University Revenue Bond Act of 1947 (1947 Bond Act) and Education Code Sections 89770-89774 (EC 89770-89774) (collectively, the "CSU Bond Acts") provide the Board of Trustees with the ability to acquire,

construct, finance, or refinance projects funded with debt instruments repaid from various revenue sources.

1.2 The long-term debt programs of the Board of Trustees established pursuant to the CSU Bond Acts shall be managed by the Chancellor, to the greatest extent possible, to credit rating standards in the "A" category, at minimum.

1.3 The intrinsic rating of any debt issued by the Trustees shall be at investment grade or better.

1.4 The Trustees' debt programs should include the prudent use of variable rate debt and commercial paper to assist with lowering the overall cost of debt.

1.5 The Trustees' programs shall be designed to improve efficiency of access to the capital markets by consolidating bond programs where possible.

1.6 The Chancellor shall develop a program to control, set priorities, and plan the issuance of all long-term debt consistent with the five-year capital outlay program.

1.7 The Chancellor shall annually report to the Trustees on the activity related to the issuance of long-term debt.

Section 2. Financing Structure of the CSU's Debt Programs

2.1 To use the limited debt capacity of CSU in the most cost effective and prudent manner, all on-campus student, faculty, and staff rental housing, parking, student union, health center, and continuing education capital projects will be financed by the Trustees using a broad systemwide multi-source revenue pledge under the authority of the CSU Bond Acts in conjunction with the respective authority of the Trustees to collect and pledge revenues.

Other on-campus and off-campus projects, including academic and infrastructure support projects, will also be financed through this structure under the authority of the CSU Bond Acts, unless there are compelling reasons why a project could not or should not be financed through this structure (see Section 3 below).

2.2 The Chancellor is hereby authorized to determine which revenues may be added to the broad systemwide multi-source revenue pledge under the authority granted by the CSU Bond Acts, to determine when such revenues may be added,

and to take appropriate action to cause such additional revenues to be pledged to CSU debt in accordance with the CSU Bond Acts.

2.3 The Chancellor shall establish minimum debt service coverage and other requirements for financing transactions undertaken under the CSU Bond Acts and/or for the related campus programs, which shall be used for implementation of the Trustees' debt programs. The Chancellor shall also define and describe the respective campus program categories.

2.4 The Chancellor, the Executive Vice Chancellor and Chief Financial Officer, the Assistant Vice Chancellor Financial Services, the Deputy Assistant Vice Chancellor for Financing, Treasury, and Risk Management, and each of them (collectively, "Authorized Representatives of the Trustees"), are hereby authorized and directed, for and in the name and on behalf of the Trustees, to take any and all actions necessary to issue bonds pursuant to the CSU Bond Acts to acquire or construct projects. Authorized Representatives of the Trustees, with the advice of the General Counsel, are authorized to execute, acknowledge and deliver, and to prepare and review, as each of them deems appropriate, all bond resolutions, bond indentures, official statements and all other documents, certificates, agreements and information necessary to accomplish such financing transactions.

2.5 The Chancellor, the Executive Vice Chancellor and Chief Financial Officer, the Assistant Vice Chancellor Financial Services, the Deputy Assistant Vice Chancellor for Financing, Treasury, and Risk Management, and each of them (collectively, "Authorized Representatives of the Trustees"), are hereby authorized and directed, for and in the name and on behalf of the trustees, to take any and all actions necessary to refinance any existing bonds issued pursuant to the CSU Bond Acts. Authorized Representatives of the Trustees, with the advice of the General Counsel, are authorized to execute, acknowledge and deliver, and to prepare and review, as each of them deems appropriate, all bond resolutions, bond indentures, official statements and all other documents, certificates, agreements and information necessary to accomplish such refinancing transactions.

Section 3. Other Financing Programs

3.1 The Board recognizes that there may be projects, or components of projects, that a campus wishes to construct that are not advantaged by, or financing is not possible for, or are inappropriate for financing under the CSU Bond Acts. A campus president may propose that such a project be financed as an auxiliary organization or third party entity financing, if there is reason to believe that it is more advantageous for the transaction to be financed in this manner than through the CSU Bond Acts financing program.

3.1.1 Such financings and projects must be presented to the Chancellor for approval early in the project's conceptual stage in order to proceed. The approval shall be obtained prior to any commitments to other entities.

3.1.2 These projects must have an intrinsic investment grade credit rating, and shall be presented to the Trustees to obtain approval before the financing transaction is undertaken by the auxiliary organization or other third party entity.

3.1.3 If a project is approved by the Trustees, the Chancellor, the Executive Vice Chancellor and Chief Financial Officer, the Assistant Vice Chancellor Financial Services, the Deputy Assistant Vice Chancellor for Financing, Treasury, and Risk Management, and each of them (collectively, "Authorized Representatives of the Trustees") are hereby authorized and directed, for and in the name and on behalf of the Trustees, to execute, acknowledge and deliver, and to prepare and review, as each of them deems appropriate, any and all documents and agreements with such insertions and changes therein as such Authorized Representatives of the Trustees, with the advice of the General Counsel, may require or approve, such approval to be conclusively evidenced by the execution and delivery thereof, in order to assist with the planning, design, acquisition, construction, improvement, financing, and refinancing of the projects.

3.2 The Chancellor may require campus presidents to establish campus procedures applicable to campus auxiliary organizations for the issuance of debt instruments to finance or to refinance personal property with lease purchase, line-of-credit, or other tax-exempt financing methods. The procedures issued by the

Chancellor need not contain a requirement for approval of the Trustees or the Chancellor but may include authority for campus presidents to take all actions to assist the auxiliary organization on behalf of the Trustees to complete and qualify such financing transactions as tax-exempt.

Section 4. State Public Works Board Lease Revenue Financing Program

4.1 The authorizations set forth in this section shall be in full force and effect with respect to any State Public Works Board project which has been duly authorized by the legislature in a budget act or other legislation and duly signed by the Governor and which is then in full force and effect.

4.2 The Chancellor, the Executive Vice Chancellor and Chief Financial Officer, the Assistant Vice Chancellor Financial Services, the Deputy Assistant Vice Chancellor for Financing, Treasury, and Risk Management, and Assistant Vice Chancellor for Capital Planning, Design and Construction each of them (collectively, "Authorized Representatives of the Trustees") are hereby authorized and directed, for and in the name and on behalf of the Trustees, to execute, acknowledge and deliver, and to prepare and review, as each of them deems appropriate, any and all construction agreements, equipment agreements, equipment leases, site leases, facility leases and other documents and agreements with such insertions and changes therein as such Authorized Representatives of the Trustees, with the advice of the General Counsel, may require or approve, such approval to be conclusively evidenced by the execution and delivery thereof, in order to provide for the planning, design, acquisition, construction, improvement, financing, and refinancing of the projects.

Section 5. Credit of the State of California

5.1 The delegations conferred by this resolution are limited and do not authorize the Chancellor or other Authorized Representatives of the Trustees to establish any indebtedness of the State of California, the Board of Trustees, any CSU campus, or any officers or employees of any of them. Lending, pledging or otherwise using the credit established by a stream of payments to be paid from funds appropriated from the State of California for the purpose of facilitating a financing transaction associated with a capital project is permitted only if specifically authorized by a bond act or otherwise authorized by the legislature.

Section 6. Tax Law Requirement for Reimbursement of Project Costs

6.1 For those projects which may be financed under the authority of the Trustees, the Chancellor, the Executive Vice Chancellor and Chief Financial Officer, the Assistant Vice Chancellor Financial Services, the Deputy Assistant Vice Chancellor for Financing, Treasury, and Risk Management, and each of them (collectively, "Authorized Representatives of the Trustees"), are hereby authorized to make declarations on behalf of the Trustees solely for the purposes of establishing compliance with the requirements of Section 1.150-2 of the U.S. Treasury Regulations; provided, however that any such declaration:

6.1.1 Will not bind the Trustees to make any expenditure, incur any indebtedness, or proceed with the project or financing; and

6.1.2 Will establish the intent of the Trustees at the time of the declaration to use proceeds of future indebtedness, if subsequently authorized by the Trustees, to reimburse the Trustees for expenditures as permitted by the U.S. Treasury Regulations.

Section 7. Effective Date and Implementation

7.1 Within the scope of this financing policy, the Chancellor is authorized to further define, clarify and otherwise make and issue additional interpretations and directives as needed to implement the provisions of this policy.

7.2 This resolution supersedes RFIN 03-02-02 and shall take effect immediately.

THE CALIFORNIA STATE UNIVERSITY
OFFICE OF THE CHANCELLOR



BAKERSFIELD

CHANNEL ISLANDS

CHICO

DOMINGUEZ HILLS

EAST BAY

FRESNO

FULLERTON

HUMBOLDT

LONG BEACH

LOS ANGELES

MARITIME ACADEMY

MONTEREY BAY

NORTHridge

POMONA

SACRAMENTO

SAN BERNARDINO

SAN DIEGO

SAN FRANCISCO

SAN JOSE

SAN LUIS OBISPO

SAN MARCOS

SONOMA

STANISLAUS

October 23, 2006

MEMORANDUM

TO: CSU Presidents

FROM: Charles B. Reed
Chancellor

SUBJECT: Financing and Debt Management Policy – Project Development and the Systemwide Revenue Bond Program Executive Order No 994

Attached is a copy of Executive Order No 994 relating to the CSU's Financing and Debt Management Policy.

In accordance with policy of the California State University, the campus president has the responsibility for implementing executive orders where applicable and for maintaining the campus repository and index for all executive orders.

If you have questions regarding this executive order, please contact Colleen Nickles, Senior Director, Financing & Treasury, at (562) 951-4570 or cnickles@calstate.edu.

CBR/rr

Attachment

cc: Vice Presidents for Business/Administration
Executive Staff, Office of the Chancellor

Executive Order 994

THE CALIFORNIA STATE UNIVERSITY
Office of the Chancellor
401 Golden Shore
Long Beach, California 90802-4210
(562) 951-4570

Executive Order: 994
Effective Date: October 23, 2006
Supersedes: Executive Order No. 876
Title: Financing and Debt Management Policy — Project Development and the Systemwide Revenue Bond Program

Section 1: General Policies Regarding Financing Activities of the CSU

1.1 Authority: This policy statement provides information and procedures in connection with financing activities of campuses and auxiliary organizations. It is issued pursuant to Standing Orders of the Board of Trustees, Section 2, and the authority delegated to the Chancellor in the Trustees CSU Policy for Financing Activities, (RFIN 03-02-02; see Attachment B).

1.2 General Rule: Use of the capital markets to finance revenue-based, and in some limited cases, non-revenue-based non-state funded capital outlay projects of CSU campuses, auxiliaries, and other affiliated organizations shall be limited to the use of the Chancellor's Office tax-exempt or taxable commercial paper programs and the issuance of notes, bonds and other instruments, as approved by the Trustees, within the CSU Systemwide Revenue Bond Program as described below, hereafter referred to as the SRB Program. Additionally, the tax-exempt or taxable commercial paper program may also be used for the purpose of financing Chancellor's Office, campus, auxiliaries, and other affiliated organizations' personal property needs. The aspects of the Systemwide Revenue Bond Program and this policy are based on the fact that debt management is a dynamic undertaking, that evaluation of debt capacity and credit quality involves many different measures, and that the choice to use the specific criteria and measures in this policy may require change over time.

1.3 Types of Debt: The Trustees have traditionally issued variable-rate, short-term commercial paper for the construction period of a project, and fixed-rate, long-term debt for the permanent financing of a project. With the introduction of the commercial paper program use for personal property financing, the Trustees may not refinance these commercial paper issuances with long-term, fixed-rate debt, and the financed amounts will be amortized while the issuance remains in commercial paper.

Given this change in approach, the Trustees will establish a parameter that not more than 25% of its debt be unhedged variable rate debt, including commercial paper, to be consistent with rating agency expectations and market targets appropriate for the CSU's debt rating.

1.4 Alternative Financing Activities: An alternative financing structure to Section 1.2 above may be utilized if the Chancellor's Office or the campus is able to demonstrate significant benefits and if the Trustees approve the alternative structure. The Chancellor's Office or campus must not only demonstrate benefits for the use of an alternative structure, but must

also identify the detailed structure of the proposed financing. In reviewing the proposed structure, the Trustees shall evaluate such things as 1) impacts on the CSU's financial statements, 2) the extent to which the financing will be counted as a use of the Trustees' credit, 3) the relative cost of the proposed financing, 4) the proposed use of financing techniques that involve greater repayment risk than are typically used in the SRB Program, and 5) any other short-term or long-term impacts to the Trustees' credit profile.

Section 2: Definitions

2.1 "Project": Construction of a facility or group of facilities related to the same use and constructed at the same approximate time (example; one or more dormitories constructed with one construction contract). Project may also be defined as personal property with a dollar value greater than \$100,000.

2.2 "Stand-Alone Project": For a campus, a Stand-alone Project is a campus self-supporting activity supported by an Established CSU Fee that provides the source for repayment of debt for only one campus-related Project (e.g. the first campus-operated student housing facility). For an auxiliary organization a Stand-alone Project is a single Project operated by the auxiliary that is supported by the project-related revenue, or all of the auxiliary organization's available revenue (e.g. the first auxiliary-operated bookstore facility).

2.3 "Debt Program": For a campus, a Debt Program is a campus self-supporting activity funded by an Established CSU Fee that provides the source for repayment of debt for more than one campus-related Project (e.g. two or more separately financed campus-operated student housing facilities). For an auxiliary organization, a Debt Program is a program operated by the auxiliary that provides the source for repayment of debt for more than one auxiliary-operated Project (e.g. two separately financed auxiliary-operated food service facilities). Note that a general revenue pledge of all available auxiliary organization revenue makes it possible for the entire auxiliary organization program to be classified as a single Debt Program.

2.4 "Established CSU Fees": The following fee categories established in the Education Code have been pledged to the repayment of bonds issued by the SRB Program:

- Parking Fees (Education Code Section 89701)
- Student Body Center Fees (i.e., Student Union Fees) (Education Code Section 89304)
- Rental Housing Fees (Education Code Section 89703)
- Health Center Facility Fees (Education Code Section 89702)
- Continuing Education Revenue Fund Fees (Education Code Section 89704)

2.5 "Net Revenue Debt Service Coverage Ratios" (DSCR): A DSCR consists of annual gross revenue, less annual operating expenses divided by annual debt service. This ratio serves as a benchmark at the systemwide and campus level for decisions about new debt and the management of debt (See Section 4).

2.6 "Operating Expenses": For a Project or Program, Operating Expenses are defined as all costs related to providing a good or service, including regular maintenance charges, expenses of reasonable upkeep, a properly allocated share of charges for insurance, direct or special administrative expenses directly chargeable to the Project or Program, and all other expenses incident to the operation of the Project or Program, but excluding depreciation expense and

Executive Order 994

other non-cash charges, general administrative expenses of the Board or the State, Extraordinary Expenses and Major Maintenance and Repairs, and Debt Service.

2.7 "Extraordinary Expenses and Major Maintenance and Repairs": For a Project or Program, Extraordinary Expenses and Major Maintenance and Repairs will not be included in the DSCR, and the expenses are expected to be paid from Building Maintenance and Equipment Reserves or from Prior Year Fund Balances.

Note: Operating Expenses, as defined in the SRB indenture, include extraordinary repairs in the calculation of debt service coverage; the indenture requires the Board to set rates, charges, and fees for all Projects so that Net Income Available for Debt Service is at least equal to Aggregate Debt Service for all indebtedness. Sections 2.5, 2.6, and 2.7 are intended for internal operations purposes and shall not result in a conflict with indenture requirements. Campuses are expected to monitor their Programs to ensure overall compliance with the indenture requirements for annual DSCR tests.

Section 3: Systemwide Revenue Bond Program (SRB)

3.1 Trustee Approval: Each issuance of debt instruments under the SRB Program shall be approved by the Trustees.

3.2 Gross Revenue Pledge: Bonds issued under the SRB Program are secured by a gross revenue pledge of all Established CSU Fees.

3.2.1 Lawfully available revenue may be pledged from a campus, auxiliary, or other organization through a formal binding agreement if approved by the Trustees.

3.3 Commercial Paper Program: Within the capacity of the CSU Chancellor's Office commercial paper program, each non-state funded capital outlay or personal property project may receive acquisition or construction funding through the issuance of commercial paper.

3.4 Auxiliary Organization Projects: Except as indicated in Section 1.3, Projects of auxiliary or other organizations (special purpose governmental units, such as a joint powers authority) shall be financed through the SRB Program.

3.4.1 Each auxiliary or other organization SRB project financing shall be supported by the execution of a financing lease between the auxiliary organization and the CSU with a legal structure that is permitted by the provisions of the State University Bond Act and the SRB Master Resolution.

3.4.1.1 For auxiliary or other organizations with no existing debt obligations, the lease shall contain provisions that 1) pledge all available corporation revenue to the Trustees for payment of the lease obligations; 2) require deposit of all pledged revenues (i.e., all revenues) into a pledged "gross revenue fund" bank account; 3) establish criteria for issuance of additional bonds; and 4) covenant that the auxiliary or other organization will set rates or otherwise maintain pledged income that will generate the required net revenue (See Section 4.4).

3.4.1.2 For auxiliary or other organizations with existing debt obligations, the lease shall contain provisions that 1) require the corporation to abide by the criteria of existing bonds for the issuance of "parity" debt; 2) establish that Trustees share in

Executive Order 994

pledged revenue with all other bondholders on a parity basis; and 3) require that Trustees receive the same covenants as existing bondholders for the issuance of additional bonds and the same coverage required for a rate covenant for the existing bonds.

3.4.1.3 The financing lease shall be considered parity debt with all other, existing auxiliary or other organization debt.

3.4.1.4 The financing lease payment from the auxiliary or other organization to the CSU shall be calculated to include: 1) debt service associated with the bonds including the cost of participation in the commercial paper program, interest and principal on bonds issued to permanently finance the project and other debt management related costs of the CSU; and 2) any costs incurred by the auxiliary organization's campus for operation and maintenance for the financed facility. (See Executive Order No. 753)

3.4.2 At each campus the aggregate annual direct and indirect debt service for other third-party financings and for auxiliary or other financings that are either part of or separate from the SRB Program is limited to a maximum amount of 25% of the respective allocation of debt capacity to the respective campus (See Section 5).

3.5 Structure and Timing of Bond Transactions: The structure and timing of each issuance of SRB bonds shall be determined by the Chancellor's Office.

3.6 Allocation of Costs: Debt service and other debt management costs shall be allocated to campuses on the basis of a formula determined by the Chancellor's Office.

Section 4: DSCR Benchmarks

4.1 Systemwide (DSCR): For the system, the DSCR is computed using the total of the gross revenue of the Established CSU Fee plus any pledged revenue supporting SRB capital lease payments from auxiliary or other organizations. Operating expenses and debt service for the computation consist of the total operating expenses and debt service relating to these programs. The systemwide DSCR should be maintained at or above 1.45. If the SRB systemwide DSCR falls below 1.45, the campus benchmarks may be changed to strengthen the credit position of the Program. (See also Attachment A)

4.2 Combined Campus and Auxiliary Organizations (DSCR): At the combined campus and auxiliary organization level, the DSCR is similar to the systemwide DSCR test except that the amounts of pledged revenue, operating expenses, and debt service are related to the combined pledged revenues of the campus and auxiliary organizations' Established CSU Fees plus pledged revenue, operating expense and debt service that is related to the specific auxiliary organization Debt Program. The minimum requirement of the DSCR for a Combined Campus and its Auxiliary Organizations is 1.35.

4.3 Campus Debt Program (DSCR): The DSCR for a campus Debt Program must be equal to a minimum of 1.10. The DSCR for a campus Stand-alone Project must be equal to a minimum of 1.10. For these requirements the DSCR is computed from pledged revenue, operating expense and debt service that is related to the specific Debt Program or the Stand-alone Project.

4.4 Auxiliary Organization Project and Debt Program (DSCR): The DSCR for a campus auxiliary organization Debt Program must be equal to a minimum of 1.25. The DSCR for a campus SRB auxiliary organization Stand-alone Project must equal a minimum of 1.25. For these requirements the DSCR is computed from pledged revenue, operating expense and debt service that is related to the specific auxiliary organization Debt Program or the Stand-alone Project.

4.5 DSCR and Effective Year: The chief financial officer of a campus is responsible to implement plans and budgets so that the required DSCRs for campus CSU Established Fee programs and campus auxiliary organizations be supportable and maintained at or above the minimum level for the first operating year, and at or above the minimum for all subsequent years of operation for Stand-alone or Debt Program Projects.

Section 5: Debt Capacity

5.1 General Rule: Financing shall not be recommended by the Chancellor's Office if the issuance of new bonds will cause the total amount of issued and outstanding SRB bonds to exceed the CSU's debt capacity as determined by the Trustees.

5.2 Calculation of the CSU's Debt Capacity: Debt service on all issued and outstanding SRB bonds shall not at any time exceed an amount that would cause the quality of the CSU's credit to fall below a minimum level as determined by the Trustees.

5.3 Allocation of Debt Capacity to Campuses: Capacity, as measured by debt service on campus debt, shall be allocated to CSU campuses as follows:

5.3.1 Campus general allocation: The aggregate debt service related to a campus' individual projects shall not exceed an amount computed from its net unrestricted expenditures times two-thirds (2/3) of the same ratio that the Trustees have recognized as appropriate for the system.

5.3.2 Chancellor's Office special allocation: With concurrence of the Trustees, the Chancellor's Office may allocate portions of up to an additional one-third (1/3) of the CSU's debt service capacity to individual campuses for special priority purposes.

Section 6: General Financial Planning Principles For Projects

6.1 Project Size: The CSU SRB Program is intended to provide a mechanism to finance revenue based, and in some limited cases, non-revenue-based non-state capital outlay projects pursuant to the State University Revenue Bond Act of 1947 and the issuance of debt to the public through a complex legal structure and financial marketing process. As such, the Program is suitable for projects of greater than \$3 million, and with a useful life of greater than ten years. For personal property financed through the commercial paper program, financings should be \$100,000 to \$5,000,000, with a useful life of 1-8 years. See Section 7 for program-related costs that should be funded through a reserve plan rather than through the issuance of debt.

6.2 Allocation of Debt Service: The plan of finance for SRB Projects shall assume level debt service and allocation of long-term debt over 25 or 30 years unless the useful life of the asset

Executive Order 994

financed is less. In some cases, the debt service may be structured to allow for accelerating debt service, bullet repayments of principal, shorter repayment terms, or other special arrangements as determined appropriate for a project. The Trustees will be notified in the Financing item at the time of approval if an alternative debt service repayment schedule will be utilized.

6.3 Timing of Bond Sale: The plan of finance shall assume the sale of long-term debt at the time of initiation of construction (i.e., including capitalized construction period interest) to meet net revenue debt coverage ratio tests.

6.4 Interest Rate Assumptions: The plan of finance for Projects shall incorporate a moderate interest rate contingency for unfavorable changes in interest rates between the time of the initial financial plan and the time long-term bonds will be sold.

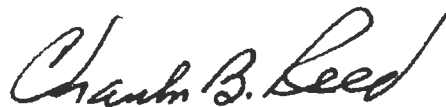
6.5 Consistency of Computations: Upon request the Chancellor's Office will provide the debt service information to be used in all financial plans relating to debt issuance in order to ensure that information regarding the debt is consistently prepared.

Section 7: Reserves

7.1 Reserve Development: The campus president and chief financial officer are responsible for developing and maintaining a campus policy to provide reserves from Project revenues for projects funded by debt issued by the Board of Trustees. The campus reserve policies, at a minimum, should address the following needs:

- Major Maintenance and Repair/Capital Renovation and Upgrade
- Working Capital
- Capital Development for New Projects
- Catastrophic Events

7.2 Reserve Review: At a minimum of once every three years, each campus shall conduct an in-depth review to assess the adequacy of the reserves and the campus reserve policies applicable to the projects funded by debt, and shall make necessary adjustments and changes to account for changing conditions. For Major Maintenance and Repair/Capital Renovation and Upgrade Reserves, the reviews should include formal studies of facility systems and necessary funding levels to cover all aspects of cost of replacement through the reserve-funding plan.



Charles B. Reed, Chancellor

Date: October 23, 2006

Executive Order 994

Attachment A

CSU Systemwide Revenue Bond (SRB) Program
Requirements
CSU Debt Capacity: $\leq .06$
CSU System DS Coverage: ≥ 1.45

Debt Capacity, Combined Campus and Auxiliary
Organizations: $\leq .04$
Coverage Requirements: ≥ 1.35

Campus
PROGRAM DEBT SERVICE
COVERAGE
REQUIREMENT:
 ≥ 1.10

Campus New Stand-Alone
Project
PROJECT DEBT SERVICE
COVERAGE
REQUIREMENT:
 ≥ 1.10

Auxiliary Organization
PROGRAM DEBT SERVICE
COVERAGE REQUIREMENT:
 ≥ 1.25

Auxiliary Org New Stand-Alone
Project
PROJECT DEBT SERVICE
COVERAGE REQUIREMENT:
 ≥ 1.25

New SRB Funded PROJECT of
a Campus Auxiliary DEBT
Program: ≥ 1.10

Attachment B

CSU Policy for Financing Activities Board of Trustees' Resolution RFIN 03-02-02

WHEREAS, The Board of Trustees of The California State University ("the Board" or "the Trustees") finds it appropriate and necessary to use various debt financing programs afforded to it through the methods statutorily established by the legislature, and to use to its advantage those programs available to it through debt financing by recognized auxiliary organizations of the California State University; and

WHEREAS, The Board wishes to establish and maintain policies that provide a framework for the approval of financing transactions for the various programs that enable appropriate oversight and approval by the Trustees; and

WHEREAS, Within a policy framework the Board desires to establish appropriate delegations that enable the efficient and timely execution of financing transactions for the CSU and its recognized auxiliary organizations in good standing; and

WHEREAS, The Board recognizes that there is a need from time to time to take advantage of rapidly changing market conditions by implementing refinancings that will lower the cost of debt financing for the CSU and its auxiliary organizations and that such refinancings could be better implemented by reducing the time required to authorize such refinancings; and

WHEREAS, The Board finds it appropriate to establish the lowest cost debt financing programs for the CSU, and to use the limited debt capacity of the CSU in the most prudent manner; and

WHEREAS, There are certain aspects of the tax law related to the reimbursement of up-front expenses from tax-exempt financing proceeds that would be more appropriately satisfied through a delegation to the Chancellor without affecting the Trustees' ultimate approval process for such financings; now, therefore be it

RESOLVED, by the Board of Trustees of The California State University as follows:

Section 1. General Financing Policies

1.1 The State University Revenue Bond Act of 1947 (Bond Act) provides the Board of Trustees with the ability to acquire, construct, or refinance projects funded with debt instruments repaid from various revenue sources.

1.2 The long-term debt programs of the Board of Trustees established pursuant to the Bond Act shall be managed by the Chancellor to credit rating standards in the "A"e category.

1.3 The intrinsic rating of any debt issued by the Trustees shall be at investment grade or better.

1.4 The Trustees debt programs should include the prudent use of variable rate debt and commercial paper to assist with lowering the overall cost of debt.

1.5 The Trustees programs shall be designed to improve efficiency of access to the capital markets by consolidating revenue bond programs where possible.

1.6 The Chancellor shall develop a program to control, set priorities and plan the issuance of all long-term debt consistent with the five-year non-state capital outlay program.

1.7 The Chancellor shall annually report to the Trustees on the activity related to the issuance of long-term debt.

Section 2. Financing Program Structure of the CSU's Debt Program

2.1 To use the limited debt capacity of CSU in the most cost effective and prudent manner, all on-campus student, faculty and staff rental housing, parking, student union, health center, and continuing education capital projects will be financed by the Trustees using a broad systemwide multi-source revenue pledge under the authority of the Bond Act in conjunction with the respective authority of the Trustees to collect and pledge revenues.

Other revenue-based on-campus and off-campus projects will also be financed through this program and the Bond Act unless there are compelling reasons why a project could not or should not be financed through this program (see Section 3 below).

2.2 The Chancellor shall establish minimum debt service coverage and other requirements for Bond Act financing transactions and/or for the related campus programs, which shall be used for implementation of the Trustees' debt programs. The Chancellor shall also define and describe the respective campus program categories.

2.3 The Chancellor, the Executive Vice Chancellor and Chief Financial Officer, the Assistant Vice Chancellor Financial Services, the Senior Director of Financing and Treasury, and each of them (collectively, "Authorized Representatives of the Trustees"), are hereby authorized and directed, for and in the name and on behalf of the trustees, to take any and all actions necessary to refinance any existing bonds issued pursuant to the Bond Act of 1947 if the refinancing transaction will result in net present value savings, as determined by an Authorized Representative of the Trustees and which determination shall be final and conclusive. Authorized Representatives of the Trustees are authorized to execute, acknowledge and deliver, and to prepare and review, as each of them deems appropriate, all bond resolutions, bond indentures, official statements and all other documents, certificates, agreements and information necessary to accomplish such refinancing transactions.

Section 3. Other Financing Programs

3.1 The Board recognizes that there may be projects, or components of projects, that a campus wishes to construct that are not advantaged by, or financing is not possible, or is inappropriate for the Bond Act financing program. A campus president may propose that such a project be financed as an auxiliary organization or third party entity financing if there is reason to believe that it is more advantageous for the transaction to be financed in this manner than through the Bond Act financing program.

3.1.1 Such financings and projects must be presented to the Chancellor for approval early in

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the project's conceptual stage in order to proceed. The approval shall be obtained prior to any commitments to other entities.

3.1.2 These projects must have an intrinsic investment grade credit rating, and shall be presented to the Trustees to obtain approval before the financing transaction is undertaken by the auxiliary organization or other third party entity.

3.1.3 If a project is approved by the Trustees, the Chancellor, the Executive Vice Chancellor and Chief Financial Officer, the Assistant Vice Chancellor Financial Services, the Senior Director of Financing and Treasury, and each of them (collectively, "Authorized Representatives of the Trustees") are hereby authorized and directed, for and in the name and on behalf of the Trustees, to execute, acknowledge and deliver, and to prepare and review, as each of them deems appropriate, any and all documents and agreements with such insertions and changes therein as such Authorized Representatives of the Trustees, with the advice of the General Counsel, may require or approve, such approval to be conclusively evidenced by the execution and delivery thereof, in order to assist with the planning, design, acquisition, construction, improvement, financing, and refinancing of the projects.

3.2 The Chancellor may require campus presidents to establish campus procedures applicable to campus auxiliary organizations for the issuance of debt instruments to finance or to refinance personal property with lease purchase, line-of-credit, or other tax-exempt financing methods. The procedures issued by the Chancellor need not contain a requirement for approval of the Trustees or the Chancellor but may include authority for campus presidents to take all actions to assist the auxiliary organization on behalf of the Trustees to complete and qualify such financing transactions as tax-exempt.

Section 4. State Public Works Board Lease Revenue Financing Program

4.1 The authorizations set forth in this section shall be in full force and effect with respect to any State Public Works Board project which has been duly authorized by the Legislature in a budget act or other legislation and duly signed by the Governor and which is then in full force and effect.

4.2 The Chancellor, the Executive Vice Chancellor and Chief Financial Officer, the Assistant Vice Chancellor Financial Services, the Senior Director of Financing and Treasury, and each of them (collectively, "Authorized Representatives of the Trustees") are hereby authorized and directed, for and in the name and on behalf of the Trustees, to execute, acknowledge and deliver, and to prepare and review, as each of them deems appropriate, any and all construction agreements, equipment agreements, equipment leases, site leases, facility leases and other documents and agreements with such insertions and changes therein as such Authorized Representatives of the Trustees, with the advice of the General Counsel, may require or approve, such approval to be conclusively evidenced by the execution and delivery thereof, in order to provide for the planning, design, acquisition, construction, improvement, financing, and refinancing of the projects.

Section 5. Credit of the State of California

5.1. The delegations conferred by this resolution are limited and do not authorize the Chancellor or other Authorized Representatives of the Trustees to establish any indebtedness

of the State of California, the Board of Trustees, any CSU campus, or any officers or employees of any of them. Lending, pledging or otherwise using the credit established by a stream of payments to be paid from funds appropriated from the State of California for the purpose of facilitating a financing transaction associated with a capital project is permitted only if specifically authorized by a bond act or otherwise authorized by the legislature.

Section 6. Tax Law Requirement for Reimbursement of Project Costs

6.1 For those projects which may be financed under the authority of the Trustees, the Chancellor, the Executive Vice Chancellor and Chief Financial Officer, the Assistant Vice Chancellor Financial Services, the Senior Director of Financing and Treasury, and each of them (collectively, "Authorized Representatives of the Trustees"), are hereby authorized to make declarations on behalf of the Trustees solely for the purposes of establishing compliance with the requirements of Section 1.150-2 of the U.S. Treasury Regulations; provided, however that any such declaration:

6.1.1 Will not bind the Trustees to make any expenditure, incur any indebtedness, or proceed with the project or financing; and

6.1.2 Will establish the intent of the Trustees at the time of the declaration to use proceeds of future indebtedness, if subsequently authorized by the Trustees, to reimburse the Trustees for expenditures as permitted by the U.S. Treasury Regulations.

Section 7. Effective Date and Implementation

7.1 Within the scope of this financing policy, the Chancellor is authorized to further define, clarify and otherwise make and issue additional interpretations and directives as needed to implement the provisions of this policy.

7.2 This resolution supercedes RFIN 11-98-18 and shall take effect immediately. However, the Chancellor shall have the authority to authorize on a individual basis, auxiliary organization projects that are in the planning stage as of the adoption of this policy to proceed under the previous policy in order to prevent situations that would result in additional project costs or additional time-to-completion.

COMMITTEE ON FINANCE

Report on Risk Management at the California State University

Presentation By

Steve Relyea
Executive Vice Chancellor and
Chief Financial Officer

Robert Eaton
Assistant Vice Chancellor
Financing, Treasury, and Risk Management

Summary

This item provides information about the insurance and risk management programs of the California State University.

Organizational Structure of CSU Risk Management

The insurance and risk management needs of the CSU are managed by the California State University Risk Management Authority (CSURMA), a joint powers authority formed by the CSU on January 1, 1997 to reduce and stabilize the cost of risk by broadening insurance coverage, increasing buying power, and providing quality risk management services to CSU campuses and auxiliaries. In addition, Systemwide Risk Management, a department of the Chancellor's Office, and risk management personnel at each campus, work closely with CSURMA to implement and monitor insurance and risk management programs throughout the CSU.

CSURMA is governed by a board of directors, comprised of up to forty members—up to thirty appointed by the CSU chief financial officer, including at least one representative from each campus, and ten elected by auxiliary organizations that also participate in CSURMA. Officers of CSURMA include a chair and vice chair elected by the board of directors, a treasurer appointed by the CSU chief financial officer, and a secretary/auditor who is the individual serving as the director of Systemwide Risk Management. The day-to-day business of CSURMA is managed by an executive committee consisting of seven CSU representatives and two auxiliary representatives elected from the board of directors, including the chair, vice chair, and treasurer.

Within CSURMA, there are two additional committees: the Auxiliary Organizations Risk Management Alliance, which oversees programs serving auxiliary organization needs, and the Athletic Injury Medical Expense (AIME) committee, which focuses on risk management needs associated with athletic programs and dovetails with NCAA coverage programs.

Insurance Programs and Financial Structure

CSURMA provides insurance coverage for the CSU and its auxiliaries in a number of areas, including:

- General liability
- Property
- Workers' compensation
- Cyber risk
- Disability and unemployment
- Athletic injury
- Student internships
- Construction
- Foreign travel
- Fidelity
- Student travel
- Auto
- Errors and Omissions
- Fine arts

CSURMA negotiates with and purchases insurance from third party insurance providers for its programs at amounts appropriate for the program. However, depending upon the cost and terms of insurance for particular programs, CSURMA also self-insures up to certain amounts, relying upon third party insurance above those amounts. To assist in determining the appropriate amount of coverage and self-insurance reserve levels on its main programs, CSURMA engages independent actuaries to prepare actuarial studies.

Based upon the total amount of coverage needed, the required self-insurance reserve levels, the amount of expected premium cost for each program, and administrative costs, CSURMA collects premiums from the campuses and auxiliaries based upon metrics appropriate for the program (e.g. workers compensation is based upon payroll) and, in some cases, adjusted for campus or auxiliary loss history. In addition, for some programs, campuses and auxiliaries can choose deductible levels that adjust their premium.

Each year, following a review of actual and expected claims, any adjustments to required self-insurance reserve levels, and the amount of expected premium cost for each program, CSURMA determines if funds can be refunded to campuses and auxiliaries. Due to the success of risk management efforts at the campuses and auxiliaries, CSURMA has been able to refund approximately \$133 million of premium over the last ten years.

Amounts held by CSURMA to fund self-insurance reserves and other needs are invested in securities allowed by state law and consistent with CSU investment policy. At the end of the fiscal year ended June 30, 2016, CSURMA had total assets of approximately \$181 million, with approximately \$170 million held in reserves.

Risk Management Programs

In addition to insurance for the campuses and auxiliaries, risk management programs are an integral component for managing the overall cost of risk at the CSU. CSURMA, Systemwide Risk Management, campuses, and auxiliaries continually work together to reduce the number of risk incidents, as well as the impact—both insurable and uninsurable—of such incidents should they occur. The sharing of best practices through systemwide affinity groups, development and maintenance of sound policy, regular training, sponsorship of risk management grant programs, and use of third party advisors or vendors are all tools utilized by the CSU to manage risk.

Evaluation of a Captive Insurance Option

The joint powers authority structure of CSURMA has allowed the CSU to significantly lower its overall cost of risk. Another structure that the CSU is currently evaluating is a captive insurance option, whereby the CSU creates its own insurance company. While a joint powers authority structure and a captive structure share many similarities, a captive provides two key benefits compared to a joint powers authority:

- Ability to invest reserves in a broader array of investment options, thereby providing the potential to earn a higher return on existing assets; and
- Ability to offer insurance products to third parties, such as CSU employees, students, and alumni, thereby providing a potentially lower cost of insurance to the third parties, while providing CSURMA with an additional revenue stream to meet risk management objectives.

A captive option would require additional administrative cost, including significant regulatory compliance and reporting due to its status as an insurance company. One option under consideration is partnering with the University of California and utilizing its existing captive to test the captive option and reduce cost.

Staff will continue to evaluate a captive option to see if such an option can be beneficial to the CSU.

COMMITTEE ON FINANCE

Conceptual Approval of a Public-Private Partnership to Develop an Extended Learning and Student Services Project on Real Property Adjacent to California State University, San Marcos

Presentation By

Steve Relyea
Executive Vice Chancellor and Chief Financial Officer

Robert Eaton
Assistant Vice Chancellor
Financing, Treasury, and Risk Management

Karen Haynes
President
California State University, San Marcos

Summary

This item requests conceptual approval to pursue a public-private partnership to construct a new Extended Learning and Student Services Building on privately owned land adjacent to the California State University, San Marcos campus. If the concept is approved, the proposed partnership project would return to the board for final approval of the real property development agreement.

Background

Over the last five years, CSU San Marcos has experienced strong enrollment growth and increased demand for academic programs, particularly in the Extended Learning program. Over the next ten years, enrollment in credit programs is expected to grow five to seven percent annually and by 20 percent annually in non-credit programs. In 2015, the campus engaged a third party real estate advisory firm to conduct an analysis of space needs over the next five years. The analysis determined a need for additional space to accommodate the projected growth, and based upon the analysis, the campus estimates that it will require an additional 86,000 gross square feet (GSF) of new or expanded Extended Learning space and an additional 34,000 GSF of core campus academic and student support service space by 2019-2020.

The campus has considered several options to accommodate the additional space needs, including development on-campus utilizing traditional CSU delivery and financing methods under the Systemwide Revenue Bond program, and development through a public-private partnership structure, either on or off campus.

Project Description

The campus has identified an opportunity with a developer and land owner, North City Development (also known as “University Village San Marcos” or “UVSM”) which will construct a 135,000 GSF building on approximately two acres of land owned by UVSM directly adjacent to the campus.

The project will include a 500-600 space parking structure, 15,000 GSF of retail space, and 120,000 GSF of academic and student support space to be occupied and operated by various campus programs. Currently, the project cost is estimated at approximately \$70 million. Additionally, the developer will construct a pedestrian bridge at its sole cost to connect the new building with the campus.

On behalf of the campus, University Auxiliary Research and Services Corporation (UARSC), a campus auxiliary organization in good standing, is expected to enter into an agreement with UVSM. Under the agreement, UARSC and UVSM will jointly create a special purpose entity to facilitate the financing and construction of the project. Upon completion of the project, UARSC will lease the academic space from the special purpose entity for a term of thirty years. At the end of the thirty year lease term when the financing debt has been paid off, ownership of the project will be shared under a condominium structure, whereby ownership of the academic space and a pro-rata share of the land, parking, and other physical components of the project will transfer to CSU San Marcos, and UVSM will own the retail space, along with the remaining pro-rata share of the land, parking, and other physical components of the project. Under the condominium structure, the campus will ultimately own approximately 90% of the project and UVSM will own approximately 10% of the project.

UVSM will contribute the land and will be responsible for arranging the financing and constructing the project. UVSM will also be responsible for all costs associated with environmental and entitlement processes and for all costs associated with operating and maintaining the retail space. UARSC and the campus will be responsible for operating, and maintaining the academic space. Operating and maintenance costs for the rest of the project will be shared on a pro rata basis. The pedestrian bridge will be maintained by the city.

Financing

The campus Extended Learning and Parking programs will contribute \$10 million of reserves toward the construction of the project. UVSM, through the special purpose entity, will issue bonds to finance the balance of construction costs. UARSC’s payments under the thirty year lease will be set at an amount to cover the debt service on the bonds. In turn, UARSC will receive payments from the campus Extended Learning and Parking programs to meet the lease payments to UVSM, thus the bond financing will rely upon CSU’s credit strength.

Educational Benefits

With this project, the campus has an opportunity to partner with the private sector to provide instructional classrooms and laboratories, thereby providing relief for bottleneck courses and enhancing student pathways to graduation. Four academic programs with faculty offices will be located in the building, along with space for the growing Extended Learning programs, Global Education, the American Language and Culture institute, and other support service departments. The campus sponsored programs auxiliary will be relocated providing closer access to support faculty research. Importantly, the secondary effects of vacated space on the campus creates additional capacity for various campus uses, including the emerging engineering program, additional classroom space, and faculty offices. Student success centers will be brought together in one location, including the Academic Success Center, STEM Center, Math Lab, Language Learning Center, and Writing Center, along with the faculty and staff that support them.

Due Diligence

As the project moves forward, amendments of the non-state capital outlay program, any proposed schematic plans, financial plans, and proposed key business points of the finalized development plan will be presented at future meetings for approval by the Board of Trustees.

Recommendation

The following resolution is presented for approval:

RESOLVED, by the Board of Trustees of the California State University, that the Trustees:

1. Approve the concept of a public/private partnership for the construction of academic facilities on privately owned land adjacent to the CSU San Marcos campus;
2. Authorize the chancellor, the campus, and UARSC to enter into negotiations for agreements as necessary to develop a final plan for the public/private partnership as explained in Agenda Item 3 of the March 21-22, 2017 meeting on the Committee on Finance;
3. Will consider the following additional action items relating to the final plan:
 - a) Certification of Final California Environmental Quality Act (CEQA) documentation.
 - b) Approval of a development and financial plan negotiated by the campus and the developer with the advice of the chancellor;
 - c) Approval of an amendment to the Non-State Capital Outlay Program;
 - d) Approval of the schematic design.

COMMITTEE ON FINANCE

Tuition Increase Proposal for the 2017-2018 Academic Year

Presentation By

Timothy White
Chancellor

Steve Relyea
Executive Vice Chancellor and
Chief Financial Officer

Ryan Storm
Assistant Vice Chancellor
Budget

Summary

The California State University (CSU) Board of Trustees is considering all possible funding strategies as part of the 2017-2018 support budget plan. The CSU's first priority and commitment is to pursue full funding from the state and to work with partners across the system to make the case in Sacramento for the level of new funding that supports student success as reflected in the CSU 2017-2018 Support Budget Request. However, to ensure the university can meet its 2017-2018 budget priorities, the Chancellor's Office recommends that the trustees adopt a tuition increase at the March 2017 meeting. If adopted, the increase would generate \$77.5 million in net revenue, after spending an additional \$38 million on State University Grants to students. The tuition increase would take effect beginning in fall 2017 and would align with the timeline and requirements of the Working Families Student Fee Transparency and Accountability Act (Act).

The CSU remains committed to keeping costs as low as possible for students. Several financial aid grant and waiver programs cover the full cost of tuition for more than 60 percent of all CSU undergraduate students. Because these programs are designed to pay the full cost of tuition, the proposed tuition increase would have no financial effect on more than 255,000 undergraduate students.

Background

The trustees have the sole authority to establish and adjust systemwide tuition. In order to increase tuition in a fiscal year without state appropriation cuts, the CSU must follow a precise timeline and meet several requirements outlined by the Act codified in 2012 by Assembly Bill 970. The

following steps have been taken, the Chancellor's Office prepared and delivered to the California State Student Association (CSSA) the "Possible 2017-2018 Tuition Proposal for Consideration" on September 29, 2016, with information about the proposal available online at <http://www.calstate.edu/tuition-increase>. A consultation meeting between CSSA executive leadership and Chancellor's Office representatives to discuss the proposal followed on October 5 and subsequent meetings occurred on October 26 and November 6, 2016. Next, the Act requires public notice of the proposed tuition increase, followed by a meeting of the trustees to discuss the proposal and gather public comment (the January 31–February 1, 2017 meeting and agenda materials met this requirement).

Justification for the Proposed Tuition Increase

Over the last two decades, state tax revenues that support public higher education institutions have fluctuated significantly, with a trend toward a decrease in real dollars, across the country and in California. This decline came as states responded to the condition of the economy and shifted public dollars to other priorities.

The decrease in public investment has come at a time of increased student and industry demand for bachelors, masters, and other advanced degrees. Universities including the CSU have responded over the past two decades by making programmatic cuts while increasing tuition and fees in order to balance budgets. These cuts, coupled with shifting of costs from states to students and the connected reduction in educational opportunities for students were unfortunate, yet necessary steps to continue to operate quality educational programs.

State support made up 80 percent of the CSU support budget in the mid-1990s and now makes up closer to 50 percent in 2016-2017, with the remaining revenue provided by tuition and fees. In spite of this fiscal trend, the CSU has remained committed to providing students a high-quality education and admitting qualified students from California's high schools and community colleges.

Over the last four years CSU advocacy efforts coincided with an important increase in state tax revenues, which recovered by \$33.2 billion between the low point of the recession and today. However, it is only as of 2016-2017 that the CSU is funded at prerecession levels of 2007-2008, despite serving 20,000 additional students.

Over the past four years, the CSU has made support budget requests to reinvest in the most critical priority areas. However, the CSU's request was fully funded only once in these last four years. Put another way, the state has not provided a total of \$425 million of recurring funding requested by the CSU since the recovery began.

The governor's multi-year funding plan for the CSU from 2013-2014 through 2016-2017 provided increases in general fund support with a caveat requirement that tuition be held at 2011-2012 levels. These state funds have allowed modest recoveries in course sections, faculty and staff hires, and technology and infrastructure, while providing employees with salary increases for the first time since the beginning of the recession.

Based on information in the governor's January budget proposal, the governor proposed an increase of \$157.2 million to the CSU support budget for 2017-2018. This continues the limited and incremental nature of investment that has dominated state funding for the CSU during California's recovery.

2017-2018 CSU Support Budget

The final support budget request, as adopted by the trustees, concluded that priority areas would require \$324.9 million in additional state investment. The governor proposed allocating \$157.2 million in new funding to the CSU in his January budget proposal. This leaves a \$167.7 million funding gap between anticipated state funding and the real needs of the university. The proposed tuition increase would generate \$77.5 million in revenue systemwide, after a one-third set-aside of \$38 million that would increase the State University Grant pool to help cover the cost of the increase for students who receive the grant.

The trustees' priorities detailed in the 2017-2018 support budget request will continue the CSU's commitment to the Graduation Initiative 2025, maintain access to the university, increase compensation for faculty and staff, attend to the highest priority academic infrastructure and deferred maintenance needs, and fund mandatory cost obligations. The current funding assumptions from the state fall short of providing the necessary resources to properly invest in each of the following Board of Trustees priorities.

1. *Graduation Initiative 2025*: The CSU is committed to improving time to degree for all our students, including doubling the four-year graduation rate from 19 percent to 40 percent, achieving a 70 percent six-year graduation rate, shortening time to degree for transfer students, and eliminating the achievement gap among low income and underserved students. The tools and strategies that will help support more students earn degrees in a timely manner are directly tied to the ability to invest new funding to offer more courses, increase tenure-track faculty hiring, improve student-advisor ratios, install eAdvising platforms, enhance college readiness, and use of data to ensure resources are dedicated to the most important factors leading to overall student success.

2. *Funded Enrollment Growth:* The CSU confers the most baccalaureate degrees in the state and contributes to the California workforce in significant ways. Increased enrollment funding contributes to new sections of high-demand courses, hiring new tenure-track and temporary faculty, providing more academic and student support services, and bolstering overall institutional support and operation of the campus to serve additional students. With a total student body of more than 470,000 students, the CSU continues to see increased demand from qualified applicants each year.
3. *Facilities & Campus Infrastructure:* Leading-edge academic facilities support quality degree programs setting the stage for CSU graduates to be workforce ready and equipped to excel in their chosen field. A significant portion of CSU facilities are dated and in need of renovation. Specifically, 55 percent of all CSU buildings are more than 40 years old. While the CSU has maintained its buildings as best as it could with available resources, the state funded most of the costs associated with the construction and maintenance of academic buildings and physical infrastructure. The state shifted this obligation to the CSU in 2014, making facilities a significant consideration when developing and implementing the CSU support budget. Dedicating a portion of the CSU support budget to facilities and infrastructure is essential to address the most pressing needs on campuses.
4. *Employee Compensation:* Central to the student experience is the ability to interact, learn from, and be guided by outstanding faculty and staff. The CSU is proud of the thousands of employees who are dedicated to students and their success. As such, compensation increases are a significant priority for the CSU in order to remain competitive to recruit and retain faculty, staff, and administrators who are committed to students' well-being and academic success.
5. *Mandatory Costs:* Mandatory costs are the expenditures in the operating budget that increase annually due to inflation and other state, federal, or statutory mandates that apply to the CSU. These include changes in the cost of health care and retirement for employees, changes in state and federal wage laws, and the increased cost of operating and maintaining new facilities. Without funding for mandatory cost increases, campuses would have to make cuts and redirect resources from other program areas to meet these obligations.

Proposed Tuition Increase

The state budget cycle is asynchronous from the planning decisions of the CSU, as well as the planning that current and potential students must undertake to prepare for the 2017-2018 academic year. The outcome of the 2017-2018 state budget cycle will not be known until June 2017. Therefore in order to provide students and families adequate time to plan, the tuition increase proposal is presented as an action item at this meeting. If the trustees approve a tuition increase at this meeting, the tuition increase would go into effect beginning in the fall 2017 term and apply to the full 2017-2018 academic year.

The proposed tuition increase, of \$270 per resident undergraduate student will take the annual tuition price from \$5,472 per undergraduate student to \$5,742 and will generate up to \$77.5 million of net revenue in 2017-2018 to support the trustees' budget priorities. Similar increases are proposed to non-resident tuition, as well as graduate, doctoral, and teacher credential programs.

Potential Impact to Students and Mitigation of Impact on Students with Financial Need

The CSU remains committed to keeping costs as low as possible for students. More than 60 percent of all CSU undergraduate students receive grants and waivers to cover the full cost of tuition (over 255,000 undergraduates). Nearly 80 percent of all CSU students receive some form of financial assistance. The CSU does not expect these percentages to change as the result of a potential tuition increase.

Financial Aid Awareness

Campuses use many ways to inform students and families of the availability of financial aid. Each campus maintains a robust internet site that provides information to students and families. They also communicate with students on a regular basis by sending reminders and notices of key application periods and deadlines. Financial aid and cost of attendance information is also available via www.CSUMentor.edu (the admission application site) and www.calstate.edu. Families also receive financial aid information as part of student outreach, the admission process, and orientation events. Workshops both on and off campus are also provided to prospective and current students and their families.

State Grants and Waivers

A student who receives a Cal Grant tuition award would not be affected by a potential tuition increase because the award amount for this state program is designed to pay the entire tuition cost. This would include students utilizing the California Dream Act Application. Similarly, a student who receives a state-mandated tuition fee waiver would not be affected by the potential tuition increase because these state programs are also designed to waive the entire cost of tuition.

Institutional Grants

The State University Grant (SUG) is available to undergraduates, teacher credential candidates, and graduate students. A student who receives a full SUG would not be affected by a potential tuition increase because this CSU-administered institutional aid program waives the entire tuition charge. For students who do not receive the maximum award to cover the full tuition cost and absent any other financial aid, SUG may cover the potential increase in tuition. However, individual SUG awards vary by student. CSU doctoral programs and graduate business

professional programs also offer need-based grant programs similar to SUG. As part of the proposed tuition increase, systemwide SUG funding would grow by approximately \$38 million to accommodate eligible students' additional need resulting from the tuition increase.

Federal Grant and Loans

Federal programs, such as the Pell Grant or loan programs may partially or fully cover tuition and may partially or fully cover any potential tuition increase.

The maximum full-time Pell Grant award is \$5,815. If tuition is increased by an additional \$270 per year, CSU tuition would be \$5,742, which means a resident undergraduate student who qualifies for the maximum Pell Grant award would still have the entire cost of tuition covered by this program. Pell Grant award amounts can vary based upon income and enrolled units. For students who do not receive the maximum award, and absent any other financial aid, the Pell Grant may partially cover the potential increase in tuition. However, individual Pell Grant awards vary by student.

Loan programs can also be used to cover tuition costs for a student. Based on CSU financial aid packaging policies in which grants and waivers are applied first, and loans second, it is unlikely that student loan debt would increase materially, if at all, in order to pay for a potential increase.

Employment

CSU financial aid policies do not include or establish a minimum workload expectation for students. Student may work to cover tuition and other college-related expenses and if they qualify, can participate in the federal work-study programs for this purpose. For students who work to meet their full cost of attendance, at the current minimum wage of \$10.50 per hour, a resident undergraduate student would have to work approximately 33 additional hours per academic year—equivalent to 1 hour per week—to cover a \$270 increase in tuition (assuming taxes and other withholdings).

Student Indebtedness

While 49 percent of all CSU students graduate with some loan debt for college-related expenses, the amount of the debt is substantially lower than the California and national average, as shown in the table below.

AY 2013-14	Amount of Debt	% with Debt
National Average	\$28,950	69%
California Average	\$21,382	55%
CSU Average	\$14,388	49%

Average indebtedness would increase slightly if a student needs to borrow additional funds to cover the proposed tuition increase. For example, if a student borrows an additional \$270 a year for four years of enrollment (total \$1,080), the anticipated monthly payment upon graduation would increase by approximately \$13, based on the maximum interest rate and a standard 10-year repayment schedule. Similarly for six years of enrollment (total \$1,620), the anticipated monthly payment would increase by approximately \$19. Average indebtedness at the CSU would still be significantly lower than the California and national average.

Purpose/Use of New Tuition Revenue

State general fund and student tuition and fees are the two primary revenue sources that support general operations of the university including instruction, academic support, student services, institutional support, operations and maintenance of academic facilities, and institutional financial aid. The current support budget is made up of approximately 56 percent state general funds and 44 percent student tuition and fees.

Now that the trustees have finalized the budget plan and submitted it to the state for consideration, it is the responsibility of the governor and legislature to determine the appropriate amount of state general fund for the CSU. Subject to final trustee decisions, and subsequent action by the governor and legislature on the CSU budget, revenue generated by a potential tuition increase would be used in combination with state funding to support the categories of incremental expenditure increases in the following table.

2017-2018 Support Budget Request	
Incremental Expenditures	In Millions
Graduation Initiative 2025	\$75.0
Enrollment Growth: 3,600 FTES	\$38.5
Compensation: Existing Contracts	\$139.1
Compensation: New Contracts & Non-Represented Employees	\$55.1
Academic Facilities & Infrastructure Needs	\$10.0
Mandatory Costs	\$26.0
Total	\$343.7

Anticipated Incremental Revenue if Tuition Increase is approved	
General Fund:	
Administration's Funding Plan	\$157.2
Tuition Revenue:	
Net Tuition from Enrollment Growth	\$18.8
Total	\$176.0
CSU Remaining Need	\$167.7

Addressing Support Budget Shortfall

In January 2017, the governor's budget proposed a \$157.2 million increase for the CSU, consistent with the governor's funding plan for the CSU. This amount is approximately a two percent increase in total operating funds, and is \$167.7 million short of the trustees' support budget request to the state.

As a result, the CSU has three primary approaches for addressing its fiscal priorities in the coming year. These approaches are not mutually exclusive and may be combined in varying proportions by the end of the budget process. In general, the three approaches are described below.

Increase state funding to cover the full support budget request

The CSU's first priority and commitment is to pursue this course. The CSU is working with partners across the system including students, faculty, staff, business, union leaders, alumni, and friends to advocate in Sacramento for full funding that will support student success. With the historic gains made in four-year and six-year graduation rates, the aggressive targets set out in Graduation Initiative 2025, and the state recently focusing on these same goals, CSU arguments for increased state funding have never been stronger. While additional state funding is the preferred course, the state allocation will not be known until a final budget agreement is reached in June 2017.

Increase tuition to partially cover the support budget request while continuing to advocate for more state funding

The tuition increase of \$270 per resident undergraduate student will take the annual tuition price from \$5,472 per student to \$5,742 and will generate approximately \$77.5 million of new revenue to support the 2017-2018 budget plan. Similar, increases are proposed to non-resident tuition, as well as graduate, doctoral, and

teacher credential programs. While the revenue raised from a tuition increase would not fully fund the support budget request, it would allow for a significant investment in Graduation Initiative 2025, coupled with continued advocacy efforts to fully fund the rest of the trustee support budget request.

In lieu of additional state funding or a potential tuition increase, reduce programs and services, both academic and non-academic

The CSU's required financial obligations exceed anticipated new revenues for 2017-2018. If additional funding is not secured, many priority areas of the support budget would be reduced or eliminated while campuses would have to redirect funding from existing programs, services, and priorities. Fewer course sections would be available to students, average unit load would go down, and ultimately it could take longer for students to graduate.

Efforts by the Chancellor's Office and campuses to identify and employ administrative efficiencies and effectiveness will continue to be a high priority, regardless of the approaches taken to increase state support. However, it is important to manage expectations and dispel misconceptions about improved efficiency and effectiveness. With the 2017-2018 support budget plan funding gap of approximately \$167.7 million, these efforts will have a very small impact. Past successes have yielded on average, savings and cost avoidance of tens of millions of dollars per year. CSU anticipates that several, single-digit million-dollar cost savings and cost avoidance opportunities could culminate in 2017-2018, but will not significantly narrow the budget gap. Lastly, it is not always possible to align cost savings or avoidance with annual budget cycles, making it difficult to plan and redirect resources from one function to another.

CSU will examine ongoing investments to ensure they are in line with the mission of the university so that the money invested in CSU by the state and students is spent thoughtfully and with student success at its core.

Proposed Systemwide Tuition and Fee Increases for the 2017-2018 Academic Year

The proposed systemwide tuition and fee rate increases below consider the longstanding trustee policy to maintain differential pricing between undergraduate and graduate/postbaccalaureate tuition and fee levels.

Undergraduate, Credential and Graduate Programs

Table 1 shows the current and proposed tuition levels for undergraduate, credential, and graduate programs.

Table 1: Undergraduate, Credential and Graduate Tuition per Academic Year			
	Current Rate	Proposed Rate	Dollar Change
Undergraduate Programs			
6.1 or more units	\$5,472	\$5,742	\$270
0 to 6.0 units	3,174	3,330	156
Credential Programs			
6.1 or more	6,348	6,660	312
0 to 6.0	3,684	3,864	180
Graduate and Other Post-Baccalaureate Programs			
6.1 or more	6,738	7,176	438
0 to 6.0	3,906	4,164	258

Summer rates would increase beginning with the summer 2018 term.

Doctoral Programs

The following table shows the current and proposed tuition rates for the three doctoral programs offered by the CSU.

Table 2: Doctoral Program Tuition Per Academic Year			
	Current Rate	Proposed Rate	Dollar Change
Doctor of Education	\$11,118	\$11,838	\$720
Doctor of Nursing Practice	14,340	15,270	930
Doctor of Physical Therapy	16,148	17,196	1,048

State laws (Education Code 66042.1 and 66040.5) require the tuition for the Doctor of Physical Therapy program and for the Doctor of Education program to be no higher than that of the University of California (UC). The law does not limit the tuition that may be assessed for the CSU Doctor of Nursing Practice program and does not link the CSU tuition and UC tuition and fees for doctoral nursing programs.

Non-Resident Students

Non-resident tuition is in addition to applicable systemwide tuition. Table 3 shows the current and proposed per semester and per quarter unit rates for non-resident students, who also pay state university tuition.

Table 3: Non-Resident Tuition				
	Current Per Semester Unit Rate	Current Per Quarter Unit Rate	Proposed Per Semester Unit Rate	Proposed Per Quarter Unit Rate
Non-Resident Tuition	\$372	\$248	\$396	\$264

Graduate Business Professional Program

The Graduate Business Professional Fee is in addition to applicable systemwide tuition. The board resolution authorizing this fee requires that whenever the board takes action to increase tuition for graduate students, the same increase will be made to the Business Professional Fee. Table 4 shows the current and proposed per semester and per quarter unit rates.

Table 4: Graduate Business Professional Fee				
	Current Per Semester Unit Rate	Current Per Quarter Unit Rate	Proposed Per Semester Unit Rate	Proposed Per Quarter Unit Rate
All Students	\$254	\$169	\$270	\$180

Further Information and Summary of Public Comment

In order to provide students and their families with as much information as possible for planning purposes, the <http://www.calstate.edu/tuition-increase> website includes information on the proposal. It provides information about the proposed tuition increase, general and campus specific financial aid resources, and other information.

The webpage also provides the opportunity for public comment. Comments were collected from the webpage and from public comment provided during the January 31, 2017 Board of Trustees meeting. Most comments opposed the tuition increase proposal. A few respondents indicated they understand the need for the increase, but they believe the state should be the primary funding source for the CSU. Other respondents raised issues that were unrelated to the tuition increase proposal, and outside of the university's control.

Public feedback fell into three main themes: 1) overall affordability, time to degree and student debt, 2) transparency in the use of new revenue to support students, and 3) the state's responsibility to fund the CSU rather than charging students higher tuition.

Conclusion

The CSU's first priority continues to be to secure full funding from the state by working with partners across the system to make the case in the capitol for the level of new funding that supports student success. However, to ensure the university has the revenue available to meet its 2017-2018 priorities, especially those of the Graduation Initiative 2025, the CSU must act now on the tuition increase. The proposed increase seeks to balance the need to keep tuition affordable, add \$38 million to the State University Grant pool, yielding and add enough revenue to make meaningful investments in new courses, new faculty and the necessary academic and student services to support students on their path to graduation.

This action item requests that the trustees adopt a tuition increase to support the 2017-2018 trustee budget plan. If the proposal is adopted at the March 2017 Board of Trustees meeting, it will take effect in fall 2017 and meet the timeline and requirements of the Working Families Student Fee Transparency and Accountability Act. In addition, the CSU will publish approved increases in communications to students and on campus websites in order to provide advance notice to current and prospective students and their families. As advocacy efforts for the 2017-2018 budget are underway, it is the CSU's priority that the state fully-fund the CSU's support budget request in lieu of a tuition increase.

Recommendation

The following resolution is presented for approval:

RESOLVED, By the Board of Trustees of the California State University that the following 2017-2018 academic year schedule of systemwide tuition and per-unit fees be approved, effective fall term 2017:

Undergraduate, Graduate and Credential Tuition	2017-18
Undergraduate Programs	
6.1 or more units	\$5,742
0 to 6.0 units	3,330
Credential Programs	
6.1 or more	6,660
0 to 6.0	3,864
Graduate and Other Post-Baccalaureate Programs	
6.1 or more	7,176
0 to 6.0	4,164

Doctoral Program Tuition	2017-18
Doctor of Education	\$11,838
Doctor of Nursing Practice	15,270
Doctor of Physical Therapy	17,196

Non-Resident Per-Unit Tuition	
Semester Campuses	Quarter Campuses
\$396	\$264

Graduate Business Professional Program Per-Unit Fee	
Semester Campus Rate	Quarter Campus Rate
\$270	\$180

The systemwide tuition and fees provided in the above table are for the academic year. The applicable per term fee schedules consistent with these academic year fees for campuses based on a semester or quarter calendar for regular students (6.1 units or more per term) and part time students (up to 6.0 units per term), and for the academic year are provided on the System Budget Office webpage.

And, be it further

RESOLVED, The chancellor is delegated authority to further adopt, amend, or repeal the systemwide tuition and fee rate increase(s) if such action is required by the state budget act approved for 2017-2018 or by other state law, and that such changes made by the chancellor are communicated promptly to the trustees.

TRUSTEES OF THE CALIFORNIA STATE UNIVERSITY

California State University
Office of the Chancellor
Glenn S. Dumke Auditorium
401 Golden Shore
Long Beach, CA 90802

March 22, 2017

Presiding: Rebecca D. Eisen, Chair

10:00 a.m.	Board of Trustees	Dumke Auditorium
	Call to Order	
	Roll Call	
	Public Speakers	
	Chair's Report	
	Chancellor's Report	
	Report of the Academic Senate CSU: <i>Chair—Christine Miller</i>	
	Report of the California State Student Association: <i>President—David Lopez</i>	
	Report of the California State University Alumni Council: <i>President—Dia S. Poole</i>	

Board of Trustees

Consent

Approval of the Minutes of the Board of Trustees Meeting of February 1, 2017

1. Appointment of Five Members to the Committee on Committees for 2017-2018, *Action*
2. General Counsel's Annual Litigation Report, *Information*
3. Approval of Committee Resolutions as follows:

Committee on Institutional Advancement

1. Naming of the Lawrence de Graaf Center for Oral and Public History – California State University, Fullerton, *Action*

Committee on Educational Policy

1. Academic Planning, *Action*

Committee on Finance

3. Conceptual Approval of a Public-Private Partnership to Develop an Extended Learning and Student Services Project on Real Property Adjacent to California State University, San Marcos, *Action*

Discussion

Committee on Finance

4. Tuition Increase Proposal for the 2017-2018 Academic Year, *Action*

*The Board of Trustees is a public body, and members of the public have a right to attend and participate in its meetings. This schedule of meetings is established as a best approximation of how long each scheduled meeting will take to complete its business. Each meeting will be taken in sequence, except in unusual circumstances. Depending on the length of the discussions, which are not possible to predict with precision in advance, the scheduled meeting times indicated may vary widely. The public is advised to take this uncertainty into account in planning to attend any meeting listed on this schedule.

**MINUTES OF THE MEETING
OF BOARD OF TRUSTEES**

**Trustees of the California State University
Office of the Chancellor
Glenn S. Dumke Auditorium
401 Golden Shore
Long Beach, California**

February 1, 2017

Trustees Present

Rebecca D. Eisen, Chair
Adam Day, Vice Chair
Silas H. Abrego
Douglas Faigin
Debra S. Farar
Hugo N. Morales
John Nilon
J. Lawrence Norton
Jorge Reyes Salinas
Steven Stepanek
Peter Taylor
Maggie K. White
Timothy P. White, Chancellor

Chair Eisen called the meeting of the Board of Trustees to order.

Public Comment

The board heard from the following individuals during the public comment period: Madiha Patel, CSU Long Beach Student; Alyssa Juguilon, CSU Long Beach Student; Justin Blakely, CSU Dominguez Hills, Student; Jordan Sylvestre, CSU Dominguez Hills, Student; Marquel Reid, CSU Dominguez Hills, Student; Marvin Flores, CSU Long Beach, ASI President; Dale Landrum, CSU Long Beach, Student; Celia LoBuono Gonzalez, SFSU, Student; Steven H Gomez, SFSU Student; Pat Gantt, President (CSUEU); Loretta Seva'aetasi, VP (CSUEU); Rocky Sanchez, Chair (CSUEU); Ricardo Uc, Vice Chair (CSUEU); Hector Fernandez, Manager/CEO (SETC-United); Jordan James Harvill, SFSU Student; William Blischke, President, CSU Emeritus (CSU-ERFA)

Chair's Report

Chair Eisen's complete report can be viewed online at the following URL:
<https://www2.calstate.edu/csu-system/board-of-trustees/reports-of-the-chair>

Chancellor's Report

Chancellor Timothy P. White's complete report can be viewed online at the following URL:
<https://www2.calstate.edu/csu-system/chancellor/the-chancellors-communications/Pages/state-of-the-csu-feb-2017.aspx>

Report of the Academic Senate CSU

CSU Academic Senate Chair, Christine M. Miller's complete report can be viewed online at the following URL:
http://www.calstate.edu/AcadSen/Records/Chairs_Reports/

Report of the California State University Alumni Council

Alumni Council President, Dia S. Poole's complete report can be viewed online at the following URL: <http://www.calstate.edu/alumni/council/bot/20170201.shtml>

Report from the California State Student Association

CSSA President David M. Lopez complete report can be viewed online at the following URL:
http://www.csustudents.org/wp-content/uploads/2017/02/01-17-bot_report_lopez.pdf

Board of Trustees

The minutes of the meeting of November 16, 2016 were approved as submitted. Chair Eisen asked to move all the consent items for approval. There was a second. The Board of Trustees approved the following resolutions:

Committee on Finance

Approval to Issue Trustees of the California State University Systemwide Revenue Bonds and Related Debt Instruments for Projects at California State University, Long Beach and California State Polytechnic University, Pomona (RFIN 02-17-01)

Orrick, Herrington & Sutcliffe LLP, as bond counsel, prepared resolutions presented at the January 31-February 1, 2017 meeting of the CSU Board of Trustees that authorize interim and permanent financing for the projects described in Agenda Item 1 of the Committee on Finance. The proposed resolutions will achieve the following:

1. Authorize the sale and issuance of Systemwide Revenue Bond Anticipation Notes and/or the related or stand-alone sale and issuance of the Trustees of the California State University Systemwide Revenue Bonds in an aggregate amount not-to-exceed \$172,690,000 and certain actions relating thereto.
2. Provide a delegation to the chancellor; the executive vice chancellor and chief financial officer; the assistant vice chancellor, Financial Services; and the assistant vice chancellor, Financing, Treasury, and Risk Management; and their designees to take any and all necessary actions to execute documents for the sale and issuance of the bond anticipation notes and the revenue bonds.

Committee on Campus Planning, Building and Grounds

California Polytechnic State University, San Luis Obispo Gold Tree Solar Photovoltaic Project: Approval of the Amended 2016-2017 Capital Outlay Program (RCPBG 02-17-01)

RESOLVED, by the Board of Trustees of the California State University, that the 2016-2017 Capital Outlay Program be amended to include \$7,796,000 for preliminary plans, working drawings, and construction for the California Polytechnic State University, San Luis Obispo Gold Tree Solar Photovoltaic.

California State University, Long Beach College of Continuing and Professional California State Polytechnic University, Pomona and California State University, San Bernardino: Approval of the Amended 2016-2017 Capital Outlay Program and Schematic Plans (RCPBG 02-17-02)

RESOLVED, by the Board of Trustees of the California State University, that:

1. The California State Polytechnic University, Pomona Student Housing Replacement, Phase 1 project is consistent with the Campus Master Plan approved in November 2016.
2. The project will benefit the California State University.
3. The 2016-2017 Capital Outlay Program is amended to include \$185,000,000 for preliminary plans, working drawings, construction, and equipment for the California State Polytechnic University, Pomona Student Housing Replacement, Phase 1 project.
4. The schematic plans for the California State Polytechnic University, Pomona Student Housing Replacement Project, Phase 1 are approved at a project cost of \$185,000,000 at CCCI 6255.

RESOLVED, by the Board of Trustees of the California State University, that:

1. The California State University, San Bernardino College of Extended Learning Expansion is consistent with the Campus Master Plan.
2. The project will benefit the California State University.
3. The 2016-2017 Capital Outlay Program is amended to include \$50,895,000 for preliminary plans, working drawings, construction, and equipment for the California State University, San Bernardino College of Extended Learning Expansion project.
4. The schematic plans for the California State University, San Bernardino College of Extended Learning Expansion are approved at a project cost of \$50,895,000 at CCCI 6255.

**Approval of Schematic Plans for CSU Projects at Sacramento and Stanislaus
(RCPBG 02-17-03)**

RESOLVED, by the Board of Trustees of the California State University, that:

1. The California State University, Sacramento Science II Replacement Building, Phase 2 is consistent with the Campus Master Plan approved in July 2015.
2. The project will benefit the California State University.
3. The schematic plans for California State University, Sacramento Science II Replacement Building, Phase 2 are approved at a project cost of \$91,558,000 at CCCCI 6255.

RESOLVED, by the Board of Trustees of the California State University, that:

1. The California State University, Stanislaus University Union Renovation and Expansion is consistent with the Campus Master Plan approved in March 2009.
2. The project will benefit the California State University.
3. The schematic plans for California State University, Stanislaus University Union Renovation and Expansion are approved at a project cost of \$53,400,000 at CCCCI 6255.

Committee on Governmental Relations

**Statement of State Legislative Principles for 2017-2018
(RGR 02-17-01)**

RESOLVED, by the Board of Trustees of the California State University, that the Statement of Legislative Principles for 2017-2018 be adopted; and be it further

RESOLVED, that the chancellor is authorized to take positions on pending legislation on behalf of the California State University system; but prior to taking or changing such positions on legislative matters, the chancellor shall consult, when practical, with the chair and vice chair of the Committee on Governmental Relations; and be it further

RESOLVED, that any unresolved positions on a legislative proposal will be decided by the chancellor in consultation with the chair of the board; and be it further

RESOLVED, that the chancellor shall keep the Board of Trustees regularly informed of the positions taken and of such other matters affecting governmental relations as deemed necessary and desirable.

**Sponsored State Legislative Program for 2017
(RGR 02-17-02)**

RESOLVED, by the Board of Trustees of the California State University, that the legislative proposals described in this item are adopted as part of the 2017 Board of Trustees' Legislative Program.

**Federal Agenda for 2017
(RGR 02-17-03)**

RESOLVED, by the Board of Trustees of the California State University, that the Federal Agenda for 2017 be adopted.

Committee on Institutional Advancement

**Naming of the Patricia A. Chin School of Nursing and the Chin Family Institute for Nursing – California State University, Los Angeles
(RIA 02-17-01)**

RESOLVED, by the Board of Trustees of the California State University, that the School of Nursing in the Rongxiang Xu College of Health and Human Services at California State University, Los Angeles be named the Patricia A. Chin School of Nursing and the Chin Family Institute for Nursing be established.

**Naming of the Clorinda Donato Center for Global Romance Languages and Translation Studies – California State University, Long Beach
(RIA 02-17-02)**

RESOLVED, by the Board of Trustees of the California State University, that the new academic center at California State University, Long Beach, be named the Clorinda Donato Center for Global Romance Languages and Translation Studies.

**Annual Report on Philanthropic Support for 2015-2016
(RIA 02-17-03)**

RESOLVED, by the Board of Trustees of the California State University, that the Annual Report on Philanthropic Support for 2015-2016 be adopted for submission to the California Joint Legislative Budget Committee and the California Department of Finance.

Committee on Educational Policy

Recommended Amendments to Title 5 Regarding Admission of Veterans (REP 02-17-01)

RESOLVED by the Board of Trustees of the California State University that Title 5, California Code of Regulations sections 40756.1 and 40805.1 be amended as follows:

Title 5. Education

Division 5 – Board of Trustees of the California State Universities

Chapter 1 – California State University

Subchapter 3 – Admission Requirements

Article 4. Admission as First-Time Freshman

§ 40756.1 Veterans.

An applicant who is not otherwise eligible under the provisions of this Article may be admitted to a campus as a first-time freshman if the applicant is an eligible veteran ~~as that term is defined in subdivision (a) (1) of Section 1652, Title 38, United States Code~~ and a California resident. For purposes of this section only, eligible veteran means a person who served, or is currently serving, in the active Armed Forces or Reserve Component. Eligible discharged servicemembers at a minimum must be released under conditions other than dishonorable. Armed Forces means the United States Army, Navy, Marine Corps, Air Force, and Coast Guard, including their Reserve components. Reserve Component means the Army, Naval, Marine Corps, Air Force, and Coast Guard Reserves and the National and Air National Guard of the United States. The Chancellor is authorized to establish and from time to time to revise procedures appropriate for the administration of this section.

Note: Authority cited: Sections 66600, 89030 and 89035, Education Code. Reference: Sections 66600 and 89030, Education Code.

Title 5. Education

Division 5 – Board of Trustees of the California State Universities

Chapter 1 – California State University

Subchapter 3 – Admission Requirements

Article 5 – Admission as an Undergraduate Transfer

§ 40805.1. Veterans.

An applicant who does not meet the requirements of Sections 40803, 40804 and 40805, but who has completed less than 60 accredited units of college transfer work may be admitted to a campus under the requirements for a first-time freshman if the applicant is an eligible veteran and a California resident. Additionally, an eligible veteran who has completed 60 semester (90 quarter) units or more of transferable college credit, and who is not otherwise eligible under the provisions of this Article, may be admitted. The exception to this rule is Section 40759, Early Admission.

An applicant who is not eligible for admission as a first-time freshman on the basis of the admission requirements in effect at the time of application for admission as an undergraduate transfer, who has not completed ~~56 semester (84 quarter) units of college credit for admission prior to fall term 2005 and~~ 60 semester (90 quarter) units of college credit ~~commencing with admission to the fall 2005 term~~, and who is not otherwise eligible under the provisions of this Article, may be admitted to a campus as an undergraduate transfer; ~~provided, that the applicant is an “eligible veteran” as that term is defined in subdivision (a)(1) of Section 3452, Title 38, United States Code and~~ if the applicant is an eligible veteran and a California resident.

For purposes of this section only, eligible veteran means a person who served, or is currently serving, in the active Armed Forces or Reserve Component. Eligible discharged servicemembers at a minimum must be released under conditions other than dishonorable. Armed Forces means the United States Army, Navy, Marine Corps, Air Force, and Coast Guard, including their Reserve components. Reserve Component means the Army, Naval, Marine Corps, Air Force, and Coast Guard Reserves and the National and Air National Guard of the United States. The Chancellor is authorized to establish and from time to time revise procedures appropriate for the administration of this section.

NOTE: Authority cited: Sections 66600, 89030 and 89035, Education Code. Reference: Sections 66600 and 89030, Education Code.

BOARD OF TRUSTEES

Appointment of Five Members to the Committee on Committees for 2017-2018

Presentation By

Rebecca D. Eisen
Chair of the Board

Summary

At the January 31-February 1, 2017 meeting of the CSU Board of Trustees, five trustees were nominated to serve as members of the Committee on Committees for the 2017-2018 term.

The following resolution is recommended for approval:

RESOLVED, by the Board of Trustees of The California State University, that the following trustees are appointed to constitute the board's Committee on Committees for the 2017-2018 term:

Peter J. Taylor, Chair
Silas H. Abrego, Vice Chair
John Nilon
Lateefah Simon
Maggie K. White

BOARD OF TRUSTEES

General Counsel's Annual Litigation Report

Presentation By

Fram Virjee
Executive Vice Chancellor and General Counsel

Summary

Attached with this item is the Office of General Counsel's (OGC) annual report on the status of significant litigation confronting the California State University (CSU), and is presented for information. "Significant" for purposes of this report is defined as litigation: (1) with the potential for a systemwide impact on the CSU; (2) that raises significant public policy issues; (3) brought by or against another public agency; or (4) which, for other reasons, has a high profile or is likely to generate widespread publicity.

The cases contained in this report have been selected from 107 currently active litigation files.

**Board of Trustees
Annual Litigation Report 2017**

Channel Islands

Matter Name and Number	Khosh v. CSU, et al. (14-0084)		
Date Filed	01/09/2014	Matter Type	Personal Injury (Lit)
Court/Forum	Superior Court of State of California, Ventura	Case/Docket #	56-2014-00447304-CU-
Litigation Report Text	On March 16, 2013, Al Khosh, an employee of an outside electrical contractor, sustained catastrophic arc flash burn injuries while working on a construction project on the CSUCI campus. Following the accident, Khosh sued CSU, the general contractor, and the electrical subcontractor, alleging three causes of action: general negligence, product liability, and premises liability. Thus far, Khosh has already incurred nearly \$5,000,000 in medical bills. The general contractor filed a motion for summary judgment, arguing that it was not liable because it acted only in a general supervisory role, and the Court granted it. Plaintiff's appeal was denied, and the case remains in the discovery phase.		

Chico

Matter Name and Number	CSU v. Pacific Gas & Electric Company (14-0156)		
Date Filed	02/04/2014	Matter Type	Environmental (Lit)
Court/Forum	Superior Court of State of California, Butte	Case/Docket #	161356
Litigation Report Text	The campus and its Research Foundation have sued PG&E to recover money spent on costly remedial activities and disposal of waste discovered during the construction of an activity center on the Chico campus. The waste was created by an old manufactured gas plant. PG&E is responsible for the manufactured gas plant. The Court vacated all dates so the parties can pursue ongoing settlement discussions. The case is in the discovery phase.		

Matter Name and Number	Doe v. CSU (Chico) (17-0211)		
Date Filed	12/30/2016	Matter Type	Student (Lit)
Court/Forum	Los Angeles Superior Court - Central District	Case/Docket #	BS167261
Litigation Report Text	John Doe, a student at CSU Chico, brought this writ action challenging his expulsion following a disciplinary proceeding where Doe was found to have committed sexual misconduct. Doe alleges that CSU's disciplinary procedures did not afford him due process and that CSU's decision and findings were not supported by the evidence. The case is in the pleadings stage.		

Matter Name and Number	Fayek v. CSU, et al. (13-0798)		
Date Filed	06/19/2013	Matter Type	Employment (Lit)
Court/Forum	Superior Court of State of California, Butte	Case/Docket #	159799
Litigation Report Text	<p>Plaintiff, Abdel-Moaty Fayek, was a faculty member in the Department of Computer Science. He contends he entered into a self-funded buy out agreement with the campus where he would gain industry experience while on an approved leave. From approximately 1997 to 2006, plaintiff received his campus salary and reimbursed it to the Research Foundation as part of the alleged agreement. The campus discovered this arrangement and immediately contacted CalPERS and the State Controller's Office to correct the employee's payroll records. Plaintiff has sued the campus, the Research Foundation, three individual defendants and CalPERS to restore his service credit. The Court granted CSU Defendants' motion challenging all claims except one, and dismissing CalPERS. Plaintiff accepted CSU's offer of \$27,000 to resolve the remaining claim, and the Court entered judgment. Plaintiff is appealing the CSU motions dismissing the claims against CSU and CalPERS.</p>		

Matter Name and Number	Feaster, et al. v. CSU Chico, et al. (14-0358)		
Date Filed	03/27/2014	Matter Type	Other (Lit)
Court/Forum	Superior Court of State of California, Butte	Case/Docket #	161637
Litigation Report Text	<p>Over the past several years, community member Jeff Sloan has issued a number of California Public Records Act requests to CSU Chico regarding emails sent and received by several CSU employees who also hold positions or roles with the Chico Unified School District. CSU agreed to provide some responsive documents, and the School District objected. The School District then filed a petition for writ of mandate, seeking a court ruling that CSU Chico is not legally required to produce emails that pertain to School District business. The case was referred to a special master, who issued a recommendation finding that the records were indeed public records and overruling most of the School District's claimed exemptions. The parties then stipulated to release most of the records to Mr. Sloan. The court then dismissed the petition, reserving jurisdiction over the issue of entitlement to attorney's fees and costs. The District agreed to pay CSU's court costs, and CSU is now out of the case.</p>		

Matter Name and Number	Hutchinson v. Mendez (17-0282)		
Date Filed	02/27/2017	Matter Type	Other (Lit)
Court/Forum	Butte County Superior Court	Case/Docket #	17-0102
Litigation Report Text	<p>Axel Flores Mendez, a student at CSU Chico, threatened University President Gayle Hutchinson, while he was in a counseling session with a therapist, soon after he was interim suspended for threatening behavior from the campus. After receiving the counselor's notification, the campus sought and was granted a temporary restraining order against the student. The matter is set for hearing on the permanent restraining order on March 20, 2017.</p>		

Matter Name and Number	Lor, et al. v. CSU, et al. (14-0826)		
Date Filed	06/26/2014	Matter Type	Personal Injury (Lit)
Court/Forum	Superior Court of State of California, Butte	Case/Docket #	162480
Litigation Report Text	On August 27, 2013, Pa Houa Lor, a student at CSU Chico, was struck by two falling tree limbs while sitting in a courtyard on the CSU Chico campus. Ms. Lor died as a result of the injuries she sustained. The complaint, filed by Ms. Lor's parents, is asserted against the University, as well as Richard's Tree Service, Inc., the CSU vendor responsible for tree maintenance at CSU Chico. The single cause of action is for wrongful death. Co-defendant Richard's Tree Service cross-claimed against CSU for equitable indemnity. The case settled at mediation. CSU paid \$1,000,000.00 and Richard's Tree Service paid \$500,000.00.		

Dominguez Hills

Matter Name and Number	Butts v. CSU, et al. (09-0260)		
Date Filed	12/31/2008	Matter Type	Employment (Lit)
Court/Forum	Superior Court of State of California, Los Angeles	Case/Docket #	TC 022325
Litigation Report Text	After Sheila Butts was nonretained as the Director of Alumni Relations at CSUDH, she filed a complaint alleging age, gender, and race discrimination, harassment, retaliation and violations of the Equal Pay Act. Because she had been employed as a represented employee on campus in various positions for the previous 27 years, she also sought retreat rights. In 2012, after a month-long trial, the jury returned a unanimous verdict in favor of CSU. The appellate court reversed the trial court judgment solely with regard to plaintiff's right to claim retreat rights under California Code of Regulations, Title V section 42723 as an MPP employee who had permanent status prior to January 1, 1984. The case was remanded to the trial court to determine whether plaintiff was actually entitled to retreat rights.		

East Bay

Matter Name and Number	City of Hayward v. CSU* LEAD CASE (09-1195)		
Date Filed	10/29/2009	Matter Type	Environmental (Lit)
Court/Forum	California Court of Appeal	Case/Docket #	RG09-480852
Litigation Report Text	<p>The City of Hayward filed a CEQA challenge to the 2009 CSUEB Master Plan Environmental Impact Report, claiming the University failed to adequately analyze impacts on public services, including police, fire, and emergency services. The City demanded that the University provide funding for additional fire facilities.</p> <p>The Hayward Area Planning Association and Old Highlands Homeowners Association, two local residential homeowners' associations, filed a second CEQA challenge to the 2009 CSUEB Master Plan EIR, alleging shortcomings in nearly every aspect of the environmental findings, with an emphasis on the University's alleged failure to consider bus and other improvements to public transit access to the campus. On September 9, 2010, the trial court ruled in favor of the petitioners on nearly every issue and enjoined the University from proceeding with construction. The University appealed.</p>		

Matter Name and Number	Hayward Area Planning Assoc. v. CSU (09-1196)		
Date Filed	11/02/2009	Matter Type	Environmental (Lit)
Court/Forum	Superior Court of State of California, Alameda	Case/Docket #	RG09-481095
Litigation Report Text	See Matter no. 09-1195		

Fresno

Matter Name and Number	O'Brien v. Welty, et al. (12-1446)		
Date Filed	11/14/2012	Matter Type	Student (Lit)
Court/Forum	United States District Court, Fresno	Case/Docket #	12-cv-02017-AWI-DLB
Litigation Report Text	<p>Student Neil O'Brien filed a civil rights complaint against university administrators and faculty members, alleging they violated his First Amendment rights when they reported him to campus police after he videotaped them without consent. He also claims campus administrators violated his due process rights during a student disciplinary process which resulted in his probation. The CSU filed a motion to dismiss the complaint that was granted by the court without leave to amend. The court entered judgment on behalf of the defendants and dismissed the case. O'Brien appealed to the Ninth Circuit.</p> <p>On April 7, 2016, the Ninth Circuit issued its decision upholding the CSU student conduct code regulation as being compliant with the First Amendment. Further the Ninth Circuit held that the regulation as applied to O'Brien supported the University's decision to impose discipline. The Ninth Circuit, however, reversed the district court's complete dismissal of the case and held that O'Brien's complaint stated a plausible First Amendment retaliation claim against some defendants. The Court remanded the case to the District Court for further proceedings solely on the retaliation claims. After the case was remanded to the District Court, the parties submitted initial pleadings. The parties also initiated settlement negotiations and ultimately CSU agreed to a settlement and release of all claims with prejudice for \$25,000. The case was then dismissed on December 1, 2016.</p>		

Fullerton

Matter Name and Number	Barrett v. Greenup, et al. (12-1374)		
Date Filed	09/21/2012	Matter Type	Other (Lit)
Court/Forum	United States District Court, Santa Ana	Case/Docket #	30-2012-00600019
Litigation Report Text	<p>John Barrett, a CSU Fullerton student, is suing another CSU Fullerton student, Nolan Greenup, a CSU Fullerton Parking Services Officer. Greenup wrote Mr. Barrett a ticket for not displaying a disabled parking placard while parked in a disabled parking space. Barrett backed out of the space as the ticket was being written, ran over Greenup's foot, and drove away. Barrett was later arrested and subjected to student discipline. Barrett is suing Greenup for defamation, malicious prosecution, violation of federal civil rights and false imprisonment. CSU filed a motion to strike on Greenup's behalf, arguing that in writing his report and speaking to the police, he was supporting a criminal prosecution and immune. CSU's motion was sustained on the defamation, malicious prosecution and false imprisonment claims. CSU was awarded approximately \$5,500 for its fees and costs in filing (and winning) the motion to strike. In a second amended complaint Plaintiff added two new CSU defendants Jose Rosales and Peter Dupree, University police officers involved in his arrest. Plaintiff then filed a third amended complaint adding new causes of action against new non-CSU defendants, the Orange County Sheriff's Department and certain employees of the Orange County Jail. In September 2014, CSU's motion to move the case to federal court was granted. In September 2014 the Orange County defendants settled with the Plaintiff. Trial started on January 6, 2015; at the end of the second day CSU successfully moved for mistrial based on Plaintiff's failure to comply with pre-trial orders of the court excluding evidence of the disposition of the criminal charges against the defendant. Thereafter CSU was granted summary judgment. Plaintiff appealed and appellate court upheld the district court's grant of summary judgment.</p>		

Matter Name and Number	Case v. CSU, et. al. (15-0176)		
Date Filed	01/13/2015	Matter Type	Employment (Lit)
Court/Forum	Superior Court of State of California, Orange	Case/Docket #	30-2015-00765845-CU-
Litigation Report Text	<p>Eve Himmelheber and Debra Lockwood, female tenured faculty members in the Department of Theatre and Dance, and Evelyn Case, a lecturer in the Department of Theatre and Dance, complained of gender discrimination, Labor Code violations, and discrimination in violation of public policy on the grounds that each of them were paid less than male employees performing the same work. CSU successfully moved to have the three lawsuits consolidated into one. At a mediation in April 2016, the case settled for \$30,000. CSU also agreed to provide modest salary increases, retroactive to January 1, 2016. Lockwood received a \$768.00 annual increase; Himmelheber got \$1,860.00; and Case got \$1,380.00.</p>		

Matter Name and Number	Dell'Osso v. CSU, et al. (14-1025)		
Date Filed	07/29/2014	Matter Type	Student (Lit)
Court/Forum	Superior Court of State of California, Orange	Case/Docket #	30-2014-00736841
Litigation Report Text	Gary Dell'Osso, a former student, alleged liability based on Government Code section 815.2 and U.S.C. section 1983 and violation of the due process clause under the U.S. and California constitutions based on his administrative graduation from Fullerton, in January 2014. He sought to be re-enrolled to complete a B.S. in Mathematics and monetary damages. Trial started on October 19, 2015. After Petitioner/Plaintiff rested his case-in-chief, CSU moved for judgment, wherein Petitioner/Plaintiff agreed to settle the matter. The matter has been dismissed and the parties are finalizing settlement.		

Matter Name and Number	Himmelheber v. CSU, et al. (15-0175)		
Date Filed	01/13/2015	Matter Type	Employment (Lit)
Court/Forum	Superior Court of State of California, Orange	Case/Docket #	30-2015-00765743-CU-
Litigation Report Text	Eve Himmelheber and Debra Lockwood, female tenured faculty members in the Department of Theatre and Dance, and Evelyn Case, a lecturer in the Department of Theatre and Dance, complained of gender discrimination, Labor Code violations, and discrimination in violation of public policy on the grounds that each of them were paid less than male employees performing the same work. CSU successfully moved to have the three lawsuits consolidated into one. At a mediation in April 2016, the case settled for \$30,000. CSU also agreed to provide modest salary increases, retroactive to January 1, 2016. Lockwood received a \$768.00 annual increase; Himmelheber got \$1,860.00; and Case got \$1,380.00.		

Matter Name and Number	Lockwood v. Board of Trustees of the CSU, et al. (15-1137)		
Date Filed	08/07/2015	Matter Type	Employment (Lit)
Court/Forum	Superior Court of State of California, Orange	Case/Docket #	30-2015-00803333-CU-
Litigation Report Text	Eve Himmelheber and Debra Lockwood, female tenured faculty members in the Department of Theatre and Dance, and Evelyn Case, a lecturer in the Department of Theatre and Dance, complained of gender discrimination, Labor Code violations, and discrimination in violation of public policy on the grounds that each of them were paid less than male employees performing the same work. CSU successfully moved to have the three lawsuits consolidated into one. At a mediation in April 2016, the case settled for \$30,000. CSU also agreed to provide modest salary increases, retroactive to January 1, 2016. Lockwood received a \$768.00 annual increase; Himmelheber got \$1,860.00; and Case got \$1,380.00.		

Humboldt

Matter Name and Number	Bolman v. CSU, et al. (15-0704)		
Date Filed	05/07/2015	Matter Type	Employment (Lit)
Court/Forum	Superior Court of State of California, Humboldt	Case/Docket #	DR150245
Litigation Report Text	Plaintiff Jacquelyn Bolman, former director for the Center for Academic Excellence in STEM at Humboldt State, claims her First Amendment and procedural due process rights were violated, and she was retaliated and discriminated against based on her race and/or national origin (Native American) in violation of FEHA when she was nonretained in October 2014. She further claims Humboldt State failed to prevent the retaliation and discrimination. CSU removed the case to federal court and is in the discovery phase. This case settled. CSU agreed to pay \$105,000.00, split nearly equally between Bolman and her attorney, in exchange for dismissal of her complaint and an agreement not to seek future employment with CSU or its auxiliaries.		

Matter Name and Number	Doe v. CSU, et al. (Humboldt) (17-0268)		
Date Filed	02/10/2017	Matter Type	Employment (Lit)
Court/Forum	Los Angeles Superior Court - Central District	Case/Docket #	BS167545
Litigation Report Text	John Doe, a student at Humboldt State, brought this writ action challenging his proposed year-long suspension following a disciplinary proceeding where Doe was found to have committed sexual misconduct. The case is in the pleading stage.		

Matter Name and Number	Doe v. Humboldt State University, et al. (16-0667)		
Date Filed	05/09/2016	Matter Type	Student (Lit)
Court/Forum	Los Angeles Superior Court - Central District	Case/Docket #	BS162417
Litigation Report Text	John Doe, a student at Humboldt State, brought this writ action challenging his proposed year-long suspension following a disciplinary proceeding where Doe was found to have committed sexual misconduct. After the student conduct charges were resolved in a Resolution Agreement providing for a suspension of less than a year, the writ was dismissed with prejudice.		

Long Beach

Matter Name and Number	Lane, et al. v. CSU (15-0600)		
Date Filed	04/07/2015	Matter Type	Employment (Lit)
Court/Forum	Superior Court of State of California, Los Angeles	Case/Docket #	LC102821
Litigation Report Text	<p>Plaintiffs and former CSULB faculty Brian Lane, Micheal Pounds, Maria Beatty, and Hamid Hefazi claim CSU incorrectly calculated and reported to CalPERS their salary, resulting in CalPERS under-calculating their respective retirement benefits. Plaintiffs claim CSU should have recorded monthly pay as what they earned each academic year (annual salary ÷ 9 months), which would have resulted in a larger monthly figure for purposes of determining Plaintiffs' retirement benefits with CalPERS.</p> <p>The court dismissed Plaintiff's lawsuit against CSU, but Plaintiffs have appealed.</p>		

Los Angeles

Matter Name and Number	Hicks v. CSU (16-1234)		
Date Filed	08/30/2016	Matter Type	Student (Lit)
Court/Forum	Los Angeles Superior Court - Central District	Case/Docket #	BC631669
Litigation Report Text	Student Angela Hicks sues following a student conduct hearing decision suspending her from CSU for one year after she perpetrated an attack on her roommates involving pepper spray. She claims gender discrimination and emotional distress. The case is in the pleading stage.		

Matter Name and Number	Hicks v. CSU (Writ) (17-0206)		
Date Filed	11/10/2016	Matter Type	Student (Lit)
Court/Forum	Los Angeles Superior Court - Central District	Case/Docket #	BS166112
Litigation Report Text	Student Angela Hicks was suspended by CSULA after she was found culpable for a physical altercation with her roommates, who she attacked with pepper spray. She filed a writ to challenge her suspension sanction following the student conduct hearing process. The court dismissed the case on a technicality (Hicks failed to pay mandatory court filing fees).		

Matter Name and Number	Hudson v. CSU, et al. (16-1227)		
Date Filed	08/29/2016	Matter Type	Employment (Lit)
Court/Forum	Superior Court of State of California, Los Angeles, Central District of California, Stanley Mosk Courthouse	Case/Docket #	BC631894
Litigation Report Text	Plaintiff Sheila Hudson, the Senior Associate Athletics Director, is suing for violation of the Fair Employment and Housing Act, intentional infliction of emotional distress, violation of the California Equal Pay Act, violation of the California Family Leave Rights Act, and violation of Labor Code section 1102.5 (whistleblower statute). She seeks monetary damages and appointment by the court to the position of Athletic Director. The case is in the discovery phase. Trial is set for October 23, 2017.		

Matter Name and Number	Mendez v. CSU (14-1342)		
Date Filed	11/12/2014	Matter Type	Personal Injury (Lit)
Court/Forum	Superior Court of State of California, Los Angeles	Case/Docket #	BC563496
Litigation Report Text	Oscar Mendez, a student, sued the University for personal injury after his chair collapsed during an engineering class. The case settled for \$250,000.		

Matter Name and Number	Park v. Board of Trustees (14-0855)		
Date Filed	05/27/2014	Matter Type	Employment (Lit)
Court/Forum	Superior Court of State of California, Los Angeles	Case/Docket #	BC546792
Litigation Report Text	Sungho Park, an assistant professor of education, was denied tenure due to unsatisfactory professional achievement. He sued the University for national origin discrimination and failure to prevent discrimination. The University's special motion to strike the complaint was denied by the trial court, but then granted by the Court of Appeal. The California Supreme Court accepted review of the case, and oral argument took place on February 7, 2017. A decision should arrive in or before May, 2017.		

Matter Name and Number	Suppanade v. CSU (15-0630)		
Date Filed	05/27/2015	Matter Type	Personal Injury (Lit)
Court/Forum	Superior Court of State of California, Los Angeles	Case/Docket #	BC577081
Litigation Report Text	Nathan Danny Suppanade, a student, suffered second degree burns to his face and body when a rocket malfunctioned during an Engineering department field trip to a model rocketry competition. The case was settled for \$67,500.		

Matter Name and Number	Young America's Foundation; et al. v. Covino, et al. (16-0737)		
Date Filed	05/19/2016	Matter Type	Other (Lit)
Court/Forum	United States District Court, Central District of California	Case/Docket #	2:16-cv-03474
Litigation Report Text	Young Americans for Freedom, Ben Shapiro, and two students sued four administrators and five faculty members for damages based on Section 1983 violations. They alleged that the University's employees failed to allow Shapiro to exercise his first amendment rights by making a policy regarding security fees, which were ultimately not charged, too vague; by ordering public safety not to enforce laws; and by blocking or allowing the blocking of the entrance to the auditorium. Motions to dismiss and strike were granted, with leave to amend on some causes of action. After the filing of new motions to dismiss and strike, the plaintiffs agreed to settle by dismissing the lawsuit without any payment by defendants. Each side agreed to be responsible for its own attorneys fees and costs, and the University employees agreed to abide by the campus time, place, and manner policy.		

Monterey Bay

Matter Name and Number	Keep Fort Ord Wild v. County of Monterey, et al. (11-1411)		
Date Filed	11/10/2011	Matter Type	Environmental (Lit)
Court/Forum	Superior Court of State of California, Monterey	Case/Docket #	M114961
Litigation Report Text	Keep Fort Ord Wild filed a petition against the Fort Ord Reuse Authority and the County of Monterey alleging they failed to comply with the California Environmental Quality Act (CEQA) in connection with a proposed roadway project. Keep Fort Ord Wild also named CSU as a party because a portion of the roadway is on property that will be deeded to the CSU Monterey Bay campus in the future, though no relief was sought against CSU. The Court entered an intended decision in favor of Keep Fort Ord Wild on January 11, 2017 ordering the Fort Ord Reuse Authority and County of Monterey Bay to comply with CEQA. The Fort Ord Reuse Authority's and County of Monterey's objections to the Court's intended decision are pending.		

Matter Name and Number	Villarreal v. County of Monterey, et al. (16-1637)		
Date Filed	11/17/2016	Matter Type	Other (Lit)
Court/Forum	United States District Court, Northern District of California	Case/Docket #	5:16-CV-06672-HRL
Litigation Report Text	Brandon Villarreal and James Gregory are the minor dependents of Larra Ann Gillis, who was arrested by CSU Monterey Bay police officers for walking in traffic while possibly under the influence of drugs before being transported to the Monterey County Jail. Ms. Gillis died 15 days later while in the custody of the Monterey County Jail. The case is in the pleading stage.		

Northridge

Matter Name and Number	Armitage v. CSU, et al. (14-0914)		
Date Filed	07/22/2014	Matter Type	Employment (Lit)
Court/Forum	Superior Court of State of California, Los Angeles	Case/Docket #	BC552314
Litigation Report Text	Plaintiff Mark Armitage asserts religious discrimination claims arising out of his part-time, temporary staff position serving as a microscope scientist in CSUN's Biology Department, where he assisted faculty and students with the complex lab equipment. Armitage is an Evangelical Christian and Creationist, and claims he was wrongfully terminated for sharing his religious views with students and others and for engaging in research that supports his Creationist views. An early mediation in August 2015 was unsuccessful, and trial was set for August 22, 2016. On August 10, 2016, the parties settled the matter for \$399,500.		

Matter Name and Number	Serrato, et al. v. Pi Kappa Phi Fraternity, et al. (LEAD) (15-1228)		
Date Filed	07/01/2015	Matter Type	Personal Injury (Lit)
Court/Forum	Los Angeles Superior Court	Case/Docket #	BC586769
Litigation Report Text	<p>The mother and stepfather of former CSUN student Armando Villa assert claims for negligence and violation of "Matt's Law" (hazing) against CSUN, CSUN's President and CSUN's Vice President, Student Affairs, arising out of Armando's death while on a fraternity-led pledge hike. Also named are the fraternity (PKP) and various national PKP officials, and multiple PKP fraternity members. Armando's father has filed a similar companion case. The two cases have now been consolidated</p> <p>In March 2016, the court granted CSU's demurrers, with leave to amend. The CSU's demurrers to the amended complaints were granted without leave to amend in October 2016, thus effectively dismissing the CSU from the case.</p>		

Matter Name and Number	SUPA, et al. v. CSU (16-0609)		
Date Filed	04/21/2016	Matter Type	Employment (Lit)
Court/Forum	Los Angeles Superior Court	Case/Docket #	BC617813
Litigation Report Text	SUPA and CSUN police officers Anthony Vargas, Matthew Dunwoody & Thomas Finnerty allege that they suffered unspecified adverse employment actions (whistleblower retaliation) for having complained about purported illegal parking ticket quotas. Plaintiffs also seek declaratory relief from the court that the alleged parking citation quota system is illegal. The Court granted CSU's demurrer to the Complaint, with leave to amend.		

Office of the Chancellor

Matter Name and Number	Roy-Condron v. Nazario, et al. (13-0108)		
Date Filed	05/02/2012	Matter Type	Personal Injury (Lit)
Court/Forum	Superior Court of State of California, Los Angeles	Case/Docket #	NC057546
Litigation Report Text	Plaintiff, Liliane Roy-Condron, was a pedestrian crossing the street at the intersection of Ocean and Golden Shore, when she was hit by a car driven by Chancellor's Office employee Evelyn Nazario in October 2011. Plaintiff filed suit for her personal injuries against Nazario in May 2012, and added CSU as a defendant in January 2013, asserting that Nazario was driving in the course and scope of her employment. CSU filed a motion to dismiss the case based on plaintiff's failure to file a timely government claim. The court granted the motion and CSU was dismissed from the case. Plaintiff appealed. The Court of Appeal ruled in favor of CSU and reaffirmed the dismissal.		

Sacramento

Matter Name and Number	Naghash v. CSU, et al. (11-1408)		
Date Filed	11/14/2011	Matter Type	Personal Injury (Lit)
Court/Forum	Superior Court of State of California, Sacramento	Case/Docket #	34-2011-00113923
Litigation Report Text	Ashley Naghash, a freshman at CSU Sacramento, alleges she was sexually assaulted in a campus dormitory by a fellow student after she had consumed numerous alcoholic beverages. She claimed that CSU failed to prevent the incident from occurring and failed to provide adequate protection in the dorm. The court granted CSU's motion to dismiss. Plaintiff filed an appeal. The court of appeal upheld the dismissal. Plaintiff filed a petition for review with California Supreme Court. The Court denied the petition and judgment for the University is now final.		

San Bernardino

Matter Name and Number	Choi v. Aurora Wolfgang, et al. (14-1048)		
Date Filed	08/09/2014	Matter Type	Employment (Lit)
Court/Forum	United States District Court, Riverside	Case/Docket #	5:14-CV-01707
Litigation Report Text	This is the second of three concurrent cases filed by Plaintiff Myung Choi, a former tenure-track professor, stemming from a denial of promotion and tender. This federal action was filed against the individuals involved in the promotion and tenure review process and asserts claims of civil rights violations for alleged race discrimination, retaliation, violation of freedom of speech, equal protection violations, and conspiracy. In light of Plaintiff's appeal of the state court's ruling in a parallel action granting CSU's special motion to strike the complaint as an impermissible attack on protected activity, Plaintiff stipulated to stay this action pending the ruling on the appeal.		

Matter Name and Number	Choi v. CSU (14-1293)		
Date Filed	10/28/2014	Matter Type	Employment (Lit)
Court/Forum	United States District Court, Los Angeles	Case/Docket #	2:14-CV-08337-MRP
Litigation Report Text	This is the third of three concurrent lawsuits filed by Plaintiff Myung Choi, a former tenure-track professor, after a denial of tenure and promotion. In this federal action, Plaintiff alleges employment discrimination based on race and retaliation. In light of Plaintiff's appeal from the court's granting of CSU's special motion to strike the complaint as protected activity under the anti-SLAPP statutes, the court stayed this action pending the ruling on the appeal in the state court action.		

Matter Name and Number	Choi v. CSU (LEAD CASE Superior Court) (14-1035)		
Date Filed	08/13/2014	Matter Type	Employment (Lit)
Court/Forum	Superior Court of State of California, Los Angeles	Case/Docket #	BC554054
Litigation Report Text	This is first of three concurrent actions filed by Plaintiff Myung Choi, a former tenure-track professor as a result of a denial of promotion and tenure. Plaintiff alleges four claims against CSU for employment discrimination based on race, retaliation and failure to prevent discrimination and retaliation. The trial court granted CSU's special motion to strike the complaint as an impermissible attack on protected activity. Plaintiff has appealed the trial court's ruling and the briefing is complete. On the Court's own motion the case is stayed pending the California Supreme Court's ruling in the case entitled Park v. Board of Trustees of the California State University.		

San Diego

Matter Name and Number	Burns v. CSU, et al. (14-0194)		
Date Filed	02/19/2014	Matter Type	Employment (Lit)
Court/Forum	Superior Court of State of California, San Diego	Case/Docket #	37-2014-00003408-CU-CO-CTL
Litigation Report Text	Former women's basketball coach Beth Burns has sued the University for breach of contract, breach of the covenant of good faith and fair dealing, and retaliation. She contends that she was forced to resign for having demanded that women's basketball be given all of the same facilities, equipment, marketing, and staffing as the men's basketball program. She further contends that the reasons given by the University were a pretext. Following a four-week trial, the jury awarded \$3,356,250 in economic and non-economic damages. On December 5, 2016, the Court denied our motions for new trial and judgment notwithstanding the verdict. Plaintiff's motion for attorney's fees is scheduled to be heard March 10, 2017. We have appealed the judgment on the verdict.		

Matter Name and Number	Byrd v. SDSU, et al. (16-1489)		
Date Filed	09/22/2016	Matter Type	Employment (Lit)
Court/Forum	San Diego Superior Court	Case/Docket #	37-2016-00033305-CU-BC-CTL
Litigation Report Text	Clare Byrd is a former SDSU employee who was terminated for dishonesty in connection with the interactive dialogues regarding purported disabilities. She appealed the termination to the SPB where the parties settled the matter. CalPERS subsequently refused to honor part of the settlement. Following unsuccessful efforts to renegotiate the settlement around CalPERS' position, Byrd filed this lawsuit. She alleged rescission of the settlement agreement; breach of written contract; breach of implied covenant of good faith and fair dealing; equitable indemnity; disability/medical condition discrimination; failure to accommodate; failure to engage in the interactive process; retaliation; and wrongful termination of public policy. The case was dismissed after the parties entered into an agreement to allow Byrd to first file a legal challenge to the SPB's decision before pursuing any potential civil claims against CSU.		

Matter Name and Number	City of San Diego, et al. v. CSU -- LEAD CASE FOR DOCUMENT PURPOSES (07-1441)		
Date Filed	12/14/2007	Matter Type	Environmental (Lit)
Court/Forum	Superior Court of State of California, San Diego	Case/Docket #	37-2007-00083773-CU-MC-CTL
Litigation Report Text	Case is consolidated with 05-1170 for reporting purposes.		

Matter Name and Number	City of San Diego v. Trustees, et al. (05-1166)		
Date Filed	12/14/2007	Matter Type	Environmental (Lit)
Court/Forum	Superior Court of State of California, San Diego	Case/Docket #	GIC 855701
Litigation Report Text	SEE 05-1170. Cases have been consolidated for reporting purposes.		

Matter Name and Number	Del Cerro Action Council v. Trustees, et al. - LEAD CASE FOR (05-1170)		
Date Filed	12/14/2007	Matter Type	Environmental (Lit)
Court/Forum	Superior Court of State of California, San Diego	Case/Docket #	GIC 855643
Litigation Report Text	<p>The Environmental Impact Report ("EIR") for the 2005 SDSU Master Plan was challenged in three lawsuits filed by the City of San Diego, Alvarado Hospital and Del Cerro Neighborhood Association, each alleging the EIR did not adequately address necessary mitigation measures. The Alvarado lawsuit was dismissed.</p> <p>After the Supreme Court's City of Marina decision, SDSU prepared a revised 2007 Master Plan EIR which was challenged again by the City of San Diego, the San Diego Metropolitan Transit System and the San Diego Association of Governments. Each alleged that the EIR did not adequately address necessary mitigation measures and that the University must fund all mitigation costs, irrespective of Legislative funding. The Del Cerro lawsuit and these three lawsuits have been consolidated.</p> <p>In February 2010, the court denied the challenges to SDSU's 2007 Master Plan EIR, finding the University met all of the requirements of the City of Marina decision and CEQA by requesting legislative funding to cover the cost of local infrastructure improvements. The University is not required to fund those projects on its own, or to consider other sources of funding for them. The decision also held that the EIR properly considered potential impacts and was supported by substantial evidence, that the University properly consulted with SANDAG, and that petitioners were barred from proceeding on other sources of funding because it was not raised in the underlying administrative proceedings. Del Cerro agreed to dismiss its lawsuit for the University's waiver of costs; the City of San Diego, SANDAG and MTS appealed. On December 13, 2011, the Court of Appeal reversed the trial court's decision and ordered the Master Plan be vacated.</p> <p>The California Supreme Court granted CSU's petition to review whether CSU's determination that mitigation was infeasible satisfied its obligations under CEQA. On August 3, 2015, the Court ruled that CSU did not meet its CEQA obligations. The Court held that CSU cannot rely on the absence of separate funding from the legislature to justify a finding of infeasibility; CSU must examine other sources of funding. In reaching this decision, the Court recognized that education is a core function of the University, but that CSU must still mitigate environmental effects of its projects. The Court noted that, if we determine it is truly infeasible to mitigate off-campus effects of a project, a decision by CSU that the benefits of the project outweigh its environmental effects will be subject to review based on abuse of discretion.</p> <p>In November 2015, the trial court entered judgment for the City, SANDAG, and MTS, and issued a peremptory writ of mandate. The trial court discharged the writ following CSU's filing of its response ("return") acknowledging compliance with the writ.</p>		

Matter Name and Number	Doe v. Superior Court, et al. (San Diego) (15-1237)		
Date Filed	08/27/2015	Matter Type	Student (Lit)
Court/Forum	San Diego Superior Court	Case/Docket #	30-2015-00029558-CU-WM-CTL
Litigation Report Text	<p>In August 2015, SDSU initiated student conduct proceedings against John Doe, alleging that he had violated the terms of a prior disciplinary probation and suspension held in abeyance when he used and offered drugs to a female student. In addition, John Doe was alleged to have sexually assaulted the female student. Doe was placed on an interim suspension during the pendency of the disciplinary process. Doe filed this petition, alleging that neither the investigatory findings nor the interim suspension are supported by the evidence and that he was denied due process. Doe filed an ex parte application seeking a temporary restraining order to end the interim suspension. The trial court denied his request, finding that Doe would not likely prevail on the merits. The trial court cited Doe's extensive disciplinary record and the thoroughness of CSU's investigation. Doe appealed the trial court's decision, but the Court of Appeal denied his appeal. Subsequent to the Court of Appeal decision, and following a hearing on the merits, the campus expelled Doe. Doe petitioned for a writ to overturn the expulsion. On February 1, 2017, the trial court ruled that Does was not fully afforded due process rights with respect to the assault allegation but affirmed the expulsion based on the non-sexual assault allegations. The trial court has requested briefing whether CSU can hold a new discipline hearing on the sexual assault allegations if it corrects the due process concerns.</p>		

Matter Name and Number	Hammond v. CSU, et al. (15-1038)		
Date Filed	05/03/2015	Matter Type	Personal Injury (Lit)
Court/Forum	Superior Court of State of California, San Diego	Case/Docket #	37-2015-00016117
Litigation Report Text	<p>Plaintiff Bryan Hammond is a Duke tennis player who injured his hand during an intercollegiate match at San Diego State University. He seeks damages based on a theory that the design of the tennis court was a dangerous condition of property. Trial is scheduled for March 24, 2017.</p>		

Matter Name and Number	Johnson v. CSU, et al. (15-1454)		
Date Filed	10/02/2015	Matter Type	Employment (Lit)
Court/Forum	San Diego Superior Court	Case/Docket #	37-2015-00033527-CU-WM-CTL
Litigation Report Text	<p>On April 16, 2015, the State Personnel Board upheld the dismissal of former San Diego State Sergeant, Michael Johnson. Johnson had been dismissed for engaging in a number of actions in the course of a few months, constituting unprofessional conduct and failure or refusal to perform the normal and reasonable duties of his position as a police officer. After unsuccessfully petitioning the State Personnel Board for a rehearing, Johnson filed this writ petition, seeking to overturn the State Personnel Board's decision. Johnson claims that the Board's findings are not supported by the evidence, that San Diego State failed to provide a draft investigation report depriving Johnson of a fair Skelly hearing, that the notice of discipline failed to provide any facts supporting dishonesty, and that the campus retaliated against Johnson for union-related activity. The Court granted the writ in part, ruling that discipline was appropriate, but reversed as to the level of discipline. CSU has appealed. The appeal is in the briefing stage.</p>		

Matter Name and Number	Jones v. Augustine Development (HPI) (16-1568)		
Date Filed	08/10/2015	Matter Type	Personal Injury (Lit)
Court/Forum	San Diego Superior Court	Case/Docket #	37-2015-00026741-CU-PO-CTL
Litigation Report Text	Eric Jones, filed this lawsuit, alleging that he was electrocuted while installing cabinets at SDSU. He alleges that he contacted wires that were exposed and uncapped. A defendant in the case, HPI Contracting, dba ADC Corp., filed a cross-complaint against the Board for equitable indemnity, contribution & indemnity, and declaratory relief, alleging that an employee of SDSU flipped the circuit breaker, making the wire "live." Trial is scheduled for September 8, 2017.		

Matter Name and Number	SANDAG v. CSU, et al. (07-1432)		
Date Filed	12/14/2007	Matter Type	Environmental (Lit)
Court/Forum	Superior Court of State of California, San Diego	Case/Docket #	37-2007-00083768-CU-TT-CTL
Litigation Report Text	SEE 05-1170. Cases have been consolidated for reporting purposes.		

Matter Name and Number	San Diegans For Open Government v. SDSU, et al. (15-0615)		
Date Filed	04/09/2015	Matter Type	Other (Lit)
Court/Forum	Superior Court of State of California, San Diego	Case/Docket #	37-2015-00011951-CU-MC-CTL
Litigation Report Text	Plaintiff filed this action seeking to set aside lease agreements between CSU and Investigative Newsource, a company that provides investigative reporting for KPBS, a station operated at San Diego State by the San Diego State University Research Foundation. As consideration for the leases, Investigative Newsource provides news reports to KPBS. The complaint alleges that the lease agreements constitute gifts of public funds and misappropriate the campus' intellectual property. CSU filed a motion to strike the complaint on the grounds that it is a strategic lawsuit against public participation (SLAPP) in that it challenges agreements entered to provide services protected by the First Amendment and plaintiff cannot show it has a reasonable likelihood of prevailing. On September 8, 2015, the Court granted CSU's motion to strike the complaint. SanDOG appealed and the case is fully briefed. Oral argument is scheduled for April 14, 2017.		

Matter Name and Number	SDMTS v. CSU, et al. (07-1431)		
Date Filed	12/14/2007	Matter Type	Environmental (Lit)
Court/Forum	Superior Court of State of California, San Diego	Case/Docket #	37-2007-00083692-CU-WM-CTL
Litigation Report Text	SEE 05-1170. Cases have been consolidated for reporting purposes.		

San Francisco

Matter Name and Number	Chili, Enrica by and Through Her Guardian Ad Litem v. CSU (16-0276)		
Date Filed	08/25/2015	Matter Type	Personal Injury (Lit)
Court/Forum	Superior Court of State of California, San Francisco, Civil	Case/Docket #	CGC 15 547632
Litigation Report Text	Plaintiff Enrica Chili is an Italian citizen and former SFSU student who was injured in a vehicle accident while participating in a research program in Tanzania. She asserts claims of negligence and negligent supervision against the CSU, alleging that the vehicle that transported the students was inadequate and the individual who operated the vehicle was reckless. The case is in the discovery stage.		

Matter Name and Number	City and County of San Francisco v. Regents of the University of (14-0065)		
Date Filed	01/14/2014	Matter Type	Other (Lit)
Court/Forum	Superior Court of State of California, San Francisco	Case/Docket #	CPF-14-513434
Litigation Report Text	The City and County of San Francisco filed a lawsuit against the CSU, the University of California, and U.C. Hastings College of Law attempting to compel the Defendants to collect and remit to the City a 25% parking tax on all university parking spaces. Defendants asserted a sovereign immunity defense. The court ruled in favor of the Defendants and denied Plaintiff's petition. The City appealed the ruling. Oral argument took place in the Court of Appeal on March 1, 2017, and we are waiting for a decision.		

Matter Name and Number	Ellis v. SFSU (15-0764)		
Date Filed	05/21/2015	Matter Type	Employment (Lit)
Court/Forum	United States District Court	Case/Docket #	3:15-02273-LB
Litigation Report Text	Plaintiff was a tenured professor in the Museum Studies Program. She claims San Francisco State discriminated against her because of her disability when it terminated from her for failing to take a required fitness for duty examination. Plaintiff alleges the required medical examination was unnecessary and unrelated to her faculty position. The case settled at mediation for \$375,000.		

Matter Name and Number	Gupta v. SFSU (15-0327)		
Date Filed	02/10/2015	Matter Type	Employment (Lit)
Court/Forum	Superior Court of State of California, San Francisco	Case/Docket #	CGC-15-544050
Litigation Report Text	Dr. Rashmi Gupta was a probationary faculty member in the Department of Social Work. SFSU denied her request for tenure and promotion in 2011. Following a hearing held under the faculty grievance procedure, an arbitrator awarded her an additional year of employment and permitted her to apply for tenure again. In 2012, SFSU again denied her tenure request and her employment at the university ended. In this case, Dr. Gupta claimed the university's decision was discriminatory, retaliatory, and based on age, gender, national origin and ancestry rather than legitimate academic reasons. The case went to trial in August 2016, and the jury returned a verdict for plaintiff in the amount of \$378,461. Plaintiff has filed a motion for reinstatement into a tenured faculty position. The CSU opposed the motion and asked the court to enter judgment with monetary damages in lieu of reinstatement. The court tentatively ruled in the university's favor and denied reinstatement with certain conditions that would subject the university to court monitoring and reporting for five years. The CSU opposed the court's conditions. Plaintiff will also be entitled to seek attorney's fees as the prevailing party.		

Matter Name and Number	Hofmann v. CSU (16-0075)		
Date Filed	01/12/2016	Matter Type	Employment (Lit)
Court/Forum	San Francisco Superior Court	Case/Docket #	CGC-16-549831
Litigation Report Text	Plaintiff Mig Hoffman, a former information security officer at SFSU, claims the university terminated her in retaliation for reporting a Trojan virus and notifying outside government agencies of a potential data breach on campus. She alleges claims of whistleblower retaliation and wrongful termination. On February 21, 2017, the parties attempted mediation but did not reach a settlement. The case is in the discovery stage and is set for trial on May 1, 2017.		

Matter Name and Number	Nevatt v. CSU (15-0323)		
Date Filed	01/30/2015	Matter Type	Employment (Lit)
Court/Forum	Superior Court of State of California, San Francisco	Case/Docket #	CGC-15-543905
Litigation Report Text	Aaron Nevatt was hired in 2012 to work as the Director of the Department of Environmental Health and Safety. He was dismissed from his position in March 2014. Nevatt alleges he was dismissed in retaliation for uncovering hazardous conditions in the Science Building which resulted in the building closure for the Spring 2014 semester. CSU filed and lost a summary judgment motion. The case settled for payment to Nevatt of \$650,000.		

San Jose

Matter Name and Number	CSU, et al. v. Perkins & Will (16-0702)		
Date Filed	04/29/2016	Matter Type	Construction (Lit)
Court/Forum	Santa Clara Superior Court	Case/Docket #	16CV294532
Litigation Report Text	<p>CSU is suing the architect on the SJSU Student Union expansion and renovation project, Perkins & Will, on the basis that it failed to adequately and timely perform its services, failed to meet the standard of care, and failed to manage its sub-consultants or adequately staff the project. As a result of P&W's failures, the project experienced millions of dollars in cost overruns.</p> <p>Perkins & Will has filed cross-claims against the contractor, construction manager and five other entities involved with the project, adding them as defendants to the lawsuit. The contractor, Lathrop Construction, and one of the subcontractors, Fundament, have filed motions to dismiss, asking the court to dismiss them from the lawsuit. A hearing on the motions is scheduled for March 17, 2017. Meanwhile, discovery continues as to Perkins & Will.</p>		

Matter Name and Number	J.A.L. v. Santos, et al. (15-0219)		
Date Filed	01/26/2015	Matter Type	Personal Injury (Lit)
Court/Forum	United States District Court, San Jose	Case/Docket #	CV 15-00355 LHK
Litigation Report Text	<p>SJSU police officers Mike Santos and Frits Van Der Hoek confronted Antonio Guzman Lopez, a homeless man holding a sharp object, on the edge of campus. After Lopez ignored their instructions and moved quickly toward Van Der Hoek, Officer Santos fired, killing Lopez. Plaintiff J.A.L. is Lopez' minor son; through his guardian ad litem, J.A.L. brought claims against Santos and Van Der Hoek, for unreasonable search and seizure, violation of due process, wrongful death and negligence. The court granted our motion for summary judgment on qualified immunity and dismissed the case, and plaintiff appealed. We are waiting on a decision from the Court of Appeals.</p>		

Matter Name and Number	Tiggs v. CSU, et al. (15-0929)		
Date Filed	05/07/2015	Matter Type	Personal Injury (Lit)
Court/Forum	Superior Court of State of California, Santa Clara	Case/Docket #	115CV280317
Litigation Report Text	<p>Brenden Tiggs was an SJSU freshman who, in February 2014, committed suicide in his SJSU dorm room. Plaintiffs, his parents, contend SJSU was negligent in failing to monitor their son's mental and emotional health and breached its obligation to provide him a safe and secure environment. CSU's motion to dismiss the lawsuit on timeliness grounds was granted and the case was dismissed. Plaintiff appealed. The appeal is in the briefing stage.</p>		

Matter Name and Number	Williams v. CSU, et al. (SJSU) (15-1338)		
Date Filed	08/14/2015	Matter Type	Personal Injury (Lit)
Court/Forum	Superior Court of State of California, Santa Clara, Civil	Case/Docket #	115CV284396
Litigation Report Text	Plaintiff Donald Williams, Jr., an African-American student at SJSU, was subjected to harassment and abuse by four of his white suitemates in Fall 2013, when he was a freshman. The incidents included putting a bike lock around his neck and referring to him as "Fraction" and "Three-Fifth." He alleges SJSU was negligent and violated his civil rights in failing to properly supervise and control the suitemates' conduct, and in failing to prevent him from being subjected to racial discrimination. SJSU expelled the suitemates, and they were criminally prosecuted for hate crimes and battery. The jury convicted them of battery charges, but exonerated them on the hate crime allegations. The civil case then settled during a mediation. CSU paid \$225,000, and two individually named suitemates contributed a confidential sum to a separate settlement with the plaintiff.		

San Luis Obispo

Matter Name and Number	Doe v. CSU, et al. (SLO) (17-0271)		
Date Filed	02/10/2017	Matter Type	Student (Lit)
Court/Forum	Los Angeles Superior Court - Central District	Case/Docket #	BS168172
Litigation Report Text	After student Doe was found responsible for engaging in sexual misconduct (nonconsensual intercourse) with complaining student Roe pursuant to CSU Executive Order 1097, Doe was expelled after being afforded a full evidentiary disciplinary hearing pursuant to the University's student conduct process (CSU Executive Order 1098). Doe filed this writ claiming that his due process rights were violated and that he was not afforded a fair hearing. Doe also claims there was not enough evidence to warrant expulsion. This case is in the pleading stage.		

Matter Name and Number	Pergis, J. and Kinkel Estate, et al. v. Fuentes, CSU et al. (16-1038)		
Date Filed	04/26/2016	Matter Type	Personal Injury (Lit)
Court/Forum	San Luis Obispo Superior Court	Case/Docket #	16CVP0109
Litigation Report Text	This wrongful death action involves members of the recognized student organization (club) "Cal Poly Motor Car Association" who engaged in an off-campus, high speed, high risk road race in January 2016 resulting in a head on collision that caused the death of Joni Marie Kinkel, mother of plaintiff Joscelyn Pergis. The Estate and Pergis claim that the students engaged in these activities as a sanctioned organization of the University, and that the club advisor, a faculty member, was negligent in his duties to advise and supervise this off-campus event. The matter is in the pleading stage.		

San Marcos

Matter Name and Number	Doe v. CSU (San Marcos) (16-1478)		
Date Filed	10/21/2016	Matter Type	Student (Lit)
Court/Forum	San Diego Superior Court	Case/Docket #	37-2016-00036982-CU-WM-NC
Litigation Report Text	A CSUSM student (Doe) was placed on interim suspension after an investigation concluded he had engaged in sexual misconduct with another student. Doe subsequently filed a petition for writ of mandate in San Diego County Superior Court, alleging that “prejudicial procedural errors impacted the investigation outcome to such a degree that the investigation did not comply with [CSU Executive Orders 1095, 1097 and 1098, resulting in a denial of [his] right to federal and state due process of law.”		

Matter Name and Number	Jennum v. CSU, et al. (15-0199)		
Date Filed	01/23/2015	Matter Type	Other (Lit)
Court/Forum	Superior Court of State of California, San Diego	Case/Docket #	37-2015-00002485
Litigation Report Text	Ms. Jennum is the former women's basketball coach at CSU San Marcos. She contends that the defendants wrongfully concluded after an investigation that she had discriminated against, harassed, and retaliated against players on her team on the bases of race and disability. Based on the results of this investigation, she alleges, the campus notified her that her employment was being terminated. In this lawsuit, she alleges causes of action for defamation based on the campus having disclosed her termination prior to it being final and intentional infliction of emotional distress.		

Matter Name and Number	Lo v. CSU San Marcos (16-0489)		
Date Filed	03/23/2016	Matter Type	Student (Lit)
Court/Forum	San Diego Superior Court	Case/Docket #	37-2016-00009600-CU-WM-NC
Litigation Report Text	CSUSM student, Jason Lo, filed a petition for writ of mandate, challenging the University's decision to place him on interim suspension following a classroom disruption that involved a female co-ed. In his writ petition, Lo requested that the Court issue an order instructing the University to: (1) provide any and all documents obtained through their on-going student conduct investigation; (2) communicate with Lo only through his privately retained counsel and (3) waive the University's requirement that Lo personally appear at the then, upcoming student misconduct hearing. The parties settled the case with each party responsible for its own costs. The court dismissed this case on August 10, 2016.		

Matter Name and Number	Mackey, et al. v. CSU, et al. (15-0596)		
Date Filed	04/06/2015	Matter Type	Student (Lit)
Court/Forum	Superior Court of State of California, San Diego	Case/Docket #	37-2015-00011529-CU-
Litigation Report Text	Plaintiffs Lynette Mackey, Kianna Williams, Danielle Cooper, Sierra Smith, and Crystal Hicks, all current or former African American basketball players on the CSU San Marcos women's basketball team, filed a lawsuit alleging claims against the University and Coach Sheri Jennum for race discrimination, harassment, retaliation, and negligence. Mediation in November 2015 was not successful. On March 3, 2017, the court granted CSU's motion for summary judgment.		

Sonoma

Matter Name and Number	Benjamin v. CSU, et al. (16-0340)		
Date Filed	02/16/2016	Matter Type	Employment (Lit)
Court/Forum	Sonoma Superior Court	Case/Docket #	SCV-258408
Litigation Report Text	Steven Benjamin, a former electrician at SSU, alleges four causes of action for retaliation for alleged whistleblower activity. Benjamin alleges SSU fired him during his probationary period after he complained of alleged unsafe working conditions and alleged violations of the Labor Code. This case is in the pleading stage.		

Matter Name and Number	Riley v. CSU (13-0871)		
Date Filed	06/28/2013	Matter Type	Employment (Lit)
Court/Forum	United States District Court, San Francisco	Case/Docket #	C13-2983
Litigation Report Text	Susan Riley, employed as Executive Assistant to the Vice President of Development, sued CSU for discrimination based on gender and age, as well as for violation of the Equal Pay Act. She seeks lost wages and benefits, emotional distress, and attorneys' fees. The case settled for \$100,000, and Riley agreed to resign in 2016.		

Matter Name and Number	Sargent v. CSU (14-0715)		
Date Filed	06/06/2014	Matter Type	Employment (Lit)
Court/Forum	Superior Court of State of California, Sonoma	Case/Docket #	SCV-255399
Litigation Report Text	Plaintiff Thomas Sargent, a current facilities department employee, claims he was retaliated against when he complained about alleged health and safety issues resulting from asbestos-related remediation efforts. He also claims he has been discriminated against, harassed, and retaliated against as a result of an alleged disability. The trial is ongoing before a Sonoma County jury.		

Systemwide

Matter Name and Number	CSU v. SELF (14-1263)		
Date Filed	10/15/2014	Matter Type	Other (Lit)
Court/Forum	Superior Court of State of California, Los Angeles	Case/Docket #	BC560824
Litigation Report Text	<p>This lawsuit involves an insurance coverage dispute between CSU and School Excess Liability Fund ("SELF"). SELF is a Joint Powers Authority which provides insurance to its membership. CSU was a member of and insured by SELF. CSU alleges that SELF wrongfully and improperly refused to fully indemnify CSU in connection with five discrimination lawsuits filed against CSU while it was insured by SELF.</p> <p>The parties were required to first address the coverage dispute through non-binding arbitration. The required, but non-binding, arbitration resulted in an award of \$5.24 million to CSU. SELF did not pay the award, and CSU filed this lawsuit seeking approximately \$7.14 million in damages. SELF finally agreed to settle the dispute by paying CSU \$4.5 million.</p>		

Matter Name and Number	Donselman, et al. v. CSU (09-0874)		
Date Filed	07/31/2009	Matter Type	Student (Lit)
Court/Forum	Superior Court of State of California, San Francisco	Case/Docket #	CGC-09-490977
Litigation Report Text	<p>Five students brought this class action to challenge the state university fee and non-resident tuition increases, and newly implemented Graduate Business Professional fee, from Fall 2009. The court granted plaintiffs' motion to certify two subclasses that exclude four campuses where fees were posted late and/or students received financial aid to cover their increased fees. The two subclasses comprise approximately 175,000 students. CSU filed writs in the Court of Appeal and the California Supreme Court to challenge the class certification decision. Both were denied. After plaintiffs changed their legal theories to add alternative contract formation arguments, CSU filed a motion to decertify the class, but that was denied. CSU prevailed on pre-trial motions dismissing the breach of implied contract claims. CSU then successfully sought bifurcation of all claims regarding the Graduate Business Professional Fee, and they were separated from the rest of the case. The remaining claim for breach of the implied covenant of good faith and fair dealing was tried to a jury in April 2015, and CSU won a defense verdict. Plaintiffs have appealed that portion of the case. In the meantime, both sides reached an amicable settlement of \$1.4 million for all claims involving the Graduate Business Professional Fee, so the claims of that subclass are resolved.</p> <p>Plaintiffs' appeal challenges of our partial summary judgment ruling and our defense verdict at trial. We have appealed the granting of class certification and the partial denial of our summary judgment motion. The appeal has been fully briefed, and is awaiting a date for oral argument in the Court of Appeal.</p>		

Matter Name and Number	OnTheGo Wireless v. Cellco Partnership, et al. (15-1667)		
Date Filed	07/05/2012	Matter Type	Contracts (Lit)
Court/Forum	Sacramento County Superior Court	Case/Docket #	34-2012-00127517
Litigation Report Text	<p>This is a multi-party action to challenge how a number of wireless cell providers charged various public agencies for mobile phone services. Originally, a qui tam (whistleblower) plaintiff sued the major wireless carriers asserting various false claims violations, alleging that the carriers overbilled public agencies in violation of contractual terms that required "optimization" (i.e., shifting to lower cost plans when usage goes down). A number of public agencies, including the State of California, the Regents of the University of California, and the CSU, joined the case as intervenors. Collectively, the parties contend that the cell carriers overcharged the agencies by over \$100 million. The case is in the discovery phase.</p>		